

FY 2014

SUPPLEMENTAL APPROPRIATIONS

RECOMMENDATIONS

HOUSE BILL 14

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|---|------|---------|--------|-------------|---|------|---------|--------|--------|
| Office of Administration | | | | | Budget Unit <u>34847C</u> | | | | |
| Board of Fund Commissioners | | | | | | | | | |
| Third State Building Bonds Transfer Incr | | | | DI# 2300005 | Original FY 2014 House Bill Section, if applicable <u>N/A</u> | | | | |
| 1. AMOUNT OF REQUEST | | | | | | | | | |
| FY 2014 Supplemental Budget Request | | | | | FY 2014 Supplemental Governor's Recommendation | | | | |
| | GR | Federal | Other | Total | | GR | Federal | Other | Total |
| PS | 0 | 0 | 0 | 0 | PS | 0 | 0 | 0 | 0 |
| EE | 0 | 0 | 0 | 0 | EE | 0 | 0 | 0 | 0 |
| PSD | 0 | 0 | 0 | 0 | PSD | 0 | 0 | 0 | 0 |
| TRF | 0 | 0 | 57,000 | 57,000 | TRF | 0 | 0 | 57,000 | 57,000 |
| Total | 0 | 0 | 57,000 | 57,000 | Total | 0 | 0 | 57,000 | 57,000 |
| FTE | | | | | FTE | | | | |
| | 0.00 | 0.00 | 0.00 | 0.00 | | 0.00 | 0.00 | 0.00 | 0.00 |
| POSITIONS | | | | | POSITIONS | | | | |
| | 0 | 0 | 0 | 0 | | 0 | 0 | 0 | 0 |
| NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | | NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | |
| Est. Fringe | 0 | 0 | 0 | 0 | Est. Fringe | 0 | 0 | 0 | 0 |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | |
| Other Funds: Third State Building Bond Interest and Sinking Fund - Series A 2002 (0201) Third State Building Bond Interest and Sinking Fund - Series A 2003 (0204) | | | | | Other Funds: Third State Building Bond Interest and Sinking Fund - Series A 2002 Third State Building Bond Interest and Sinking Fund - Series A 2003 | | | | |
| 2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM. | | | | | | | | | |
| The Third State Building Bonds are retired, and the excess interest in the funds is being transferred back to General Revenue per resolution of the Board of Fund Commissioners approved on July 23, 2013. | | | | | | | | | |
| 3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.) | | | | | | | | | |
| This request is for the cash balance in the Third State Building Bond funds plus accrued interest. \$44,800 for the Third State Building Bond Interest and Sinking Fund - Series A 2002, and \$12,200 for the Third State Building Bond Interest and Sinking Fund - Series A 2003. | | | | | | | | | |

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|---|--|--|--------------------|--|--|--|--|--|--|
| Office of Administration | | | | | Budget Unit <u>34847C</u> | | | | |
| Board of Fund Commissioners | | | | | | | | | |
| Third State Building Bonds Transfer Incr | | | DI# 2300005 | | Original FY 2014 House Bill Section, if applicable <u>N/A</u> | | | | |

| 4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS. | | | | | | | | | |
|---|-------------------|----------------|-------------------|-----------------|-------------------|-------------------|-------------------|-------------------|----------------------|
| Budget Object Class/Job Class | Dept Req GR | Dept Req GR | Dept Req FED | Dept Req FED | Dept Req OTHER | Dept Req OTHER | Dept Req TOTAL | Dept Req TOTAL | Dept Req One-Time |
| | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS |
| Transfers | <u> </u> | | <u> </u> | | <u>57,000</u> | | <u>57,000</u> | | <u>57,000</u> |
| Total TRF | 0 | | 0 | | 57,000 | | 57,000 | | 57,000 |
| Grand Total | 0 | 0.0 | 0 | 0.0 | 57,000 | 0.0 | 57,000 | 0.0 | 57,000 |

| Budget Object Class/Job Class | Gov Rec GR | Gov Rec GR | Gov Rec FED | Gov Rec FED | Gov Rec OTHER | Gov Rec OTHER | Gov Rec TOTAL | Gov Rec TOTAL | Gov Rec One-Time |
|-------------------------------|-------------------|---------------|-------------------|----------------|------------------|------------------|------------------|------------------|---------------------|
| | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS |
| Transfers | <u> </u> | | <u> </u> | | <u>57,000</u> | | <u>57,000</u> | | <u>57,000</u> |
| Total TRF | 0 | | 0 | | 57,000 | | 57,000 | | 57,000 |
| Grand Total | 0 | 0.0 | 0 | 0.0 | 57,000 | 0.0 | 57,000 | 0.0 | 57,000 |

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|--|----------|----------|----------|----------|--|----------|----------|-------------------|-------------------|
| Department of Elementary and Secondary Education | | | | | Budget Unit <u>50131C</u> | | | | |
| Division of Financial and Administrative Services | | | | | DI# <u>2500006</u> | | | | |
| Foundation - Equity Formula | | | | | Original FY 2014 House Bill Section, if applicable <u>2.015</u> | | | | |
| 1. AMOUNT OF REQUEST | | | | | | | | | |
| FY 2014 Supplemental Budget Request | | | | | FY 2014 Supplemental Governor's Recommendation | | | | |
| | GR | Federal | Other | Total | | GR | Federal | Other | Total |
| PS | 0 | 0 | 0 | 0 | PS | 0 | 0 | 0 | 0 |
| EE | 0 | 0 | 0 | 0 | EE | 0 | 0 | 0 | 0 |
| PSD | 0 | 0 | 0 | 0 | PSD | 0 | 0 | 35,156,305 | 35,156,305 |
| TRF | 0 | 0 | 0 | 0 | TRF | 0 | 0 | 0 | 0 |
| Total | 0 | 0 | 0 | 0 | Total | 0 | 0 | 35,156,305 | 35,156,305 |
| FTE | 0.00 | 0.00 | 0.00 | 0.00 | FTE | 0.00 | 0.00 | 0.00 | 0.00 |
| POSITIONS | 0 | 0 | 0 | 0 | POSITIONS | 0 | 0 | 0 | 0 |
| NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | | NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | |
| <i>Est. Fringe</i> | 0 | 0 | 0 | 0 | <i>Est. Fringe</i> | 0 | 0 | 0 | 0 |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | |
| Other Funds: | | | | | Other Funds: State School Moneys (0616) | | | | |
| 2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM. | | | | | | | | | |
| <p>The education funding formula distributes funds to eligible education agencies. Adopted in SB 287 (2005) and effective July 1, 2006, the formula follows a student needs philosophy and seeks to assure that sufficient funds are available in all districts to provide an adequate education for all students. The formula is: weighted average daily attendance x state adequacy target x dollar value modifier - local effort = state funding. The formula combines the funding from FY 2006 for Equity, Line 14, Exceptional Pupil Aid, Remedial Reading, Gifted, Fair Share, and County Foreign Insurance to determine the base amount for the calculations.</p> <p>Support for the \$3,075,271,737 foundation equity formula comes from several fund sources. This supplemental requests capacity to accommodate anticipated shortfalls in gaming and lottery funds that are transferred to the State School Moneys Fund.</p> | | | | | | | | | |

SUPPLEMENTAL NEW DECISION ITEM

| | | |
|---|--|---------|
| Department of Elementary and Secondary Education | Budget Unit | 50131C |
| Division of Financial and Administrative Services | DI# | 2500006 |
| Foundation - Equity Formula | Original FY 2014 House Bill Section, if applicable | 2.015 |

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.)

The increase involves \$35,156,305 of additional authority to the State School Moneys Fund appropriation for shortfalls in Lottery revenues (\$12.9M) and riverboat gaming revenues (\$22.2M). The funding supports the FY14 formula equity amount of \$3,075,271,737.

| | |
|---------------------|---------------------------------|
| \$22,195,340 | Riverboat Gaming Revenues |
| \$12,960,965 | Lottery Proceeds |
| <u>\$35,156,305</u> | State School Moneys Fund |

4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS.

| Budget Object Class/Job Class | Dept Req GR DOLLARS | Dept Req GR FTE | Dept Req FED DOLLARS | Dept Req FED FTE | Dept Req OTHER DOLLARS | Dept Req OTHER FTE | Dept Req TOTAL DOLLARS | Dept Req TOTAL FTE | Dept Req One-Time DOLLARS |
|-------------------------------|---------------------------|-----------------------|----------------------------|------------------------|------------------------------|--------------------------|------------------------------|--------------------------|---------------------------------|
| Program Distributions | | | 0 | | | | 0 | | 0 |
| Total PSD | 0 | | 0 | | 0 | | 0 | | 0 |
| Grand Total | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 |

| Budget Object Class/Job Class | Gov Rec GR DOLLARS | Gov Rec GR FTE | Gov Rec FED DOLLARS | Gov Rec FED FTE | Gov Rec OTHER DOLLARS | Gov Rec OTHER FTE | Gov Rec TOTAL DOLLARS | Gov Rec TOTAL FTE | Gov Rec One-Time DOLLARS |
|-------------------------------|--------------------------|----------------------|---------------------------|-----------------------|-----------------------------|-------------------------|-----------------------------|-------------------------|--------------------------------|
| Program Distributions | | | | | 35,156,305 | | 35,156,305 | | 35,156,305 |
| Total PSD | 0 | | 0 | | 35,156,305 | | 35,156,305 | | 35,156,305 |
| Grand Total | 0 | 0.0 | 0 | 0.0 | 35,156,305 | 0.0 | 35,156,305 | 0.0 | 35,156,305 |

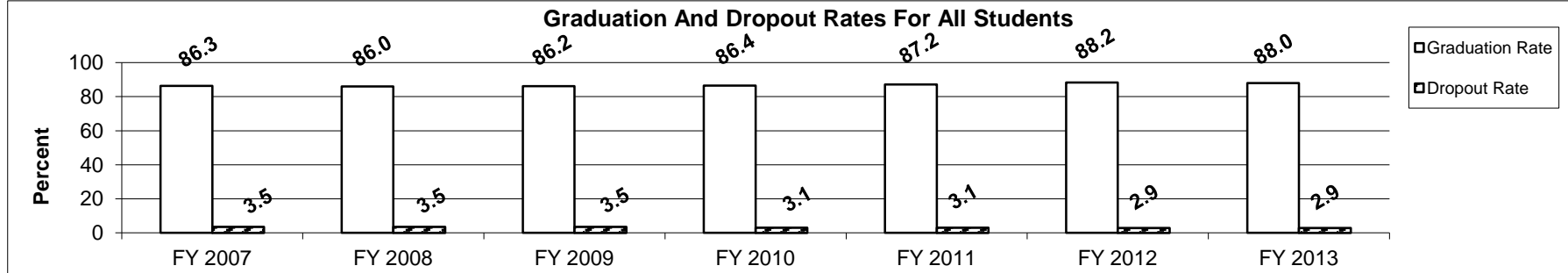
SUPPLEMENTAL NEW DECISION ITEM

Department of Elementary and Secondary Education
Division of Financial and Administrative Services
Foundation - Equity Formula

Budget Unit 50131C
DI# 2500006
Original FY 2014 House Bill Section, if applicable 2.015

5. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional funding.)

5a. Provide an effectiveness measure.

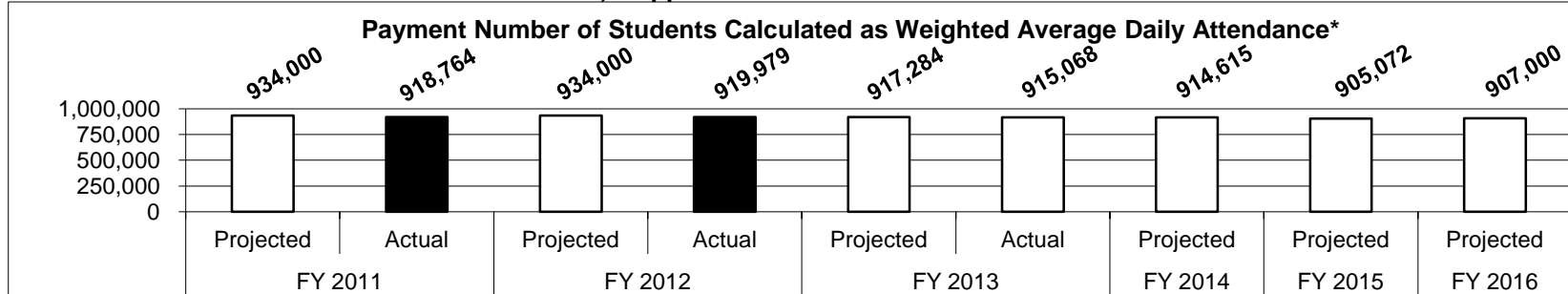


Note: All Graduation and Dropout Rates refreshed based on new definition - FY 2012

5b. Provide an efficiency measure.

All funds will be expended.

5c. Provide the number of clients/individuals served, if applicable.



*Weighted average daily attendance includes ADA and additions for students eligible for free or reduced price lunch, special education students, and students identified as Limited English Proficient.

5d. Provide a customer satisfaction measure, if available.

N/A

SUPPLEMENTAL NEW DECISION ITEM

| | |
|--|---|
| <div style="border-bottom: 1px solid black; padding-bottom: 2px;">Department of Elementary and Secondary Education</div> <div style="border-bottom: 1px solid black; padding-bottom: 2px;">Division of Financial and Administrative Services</div> <div style="border-bottom: 1px solid black; padding-bottom: 2px;">Foundation - Equity Formula</div> | <div style="border-bottom: 1px solid black; padding-bottom: 2px;">Budget Unit <u>50131C</u></div> <div style="border-bottom: 1px solid black; padding-bottom: 2px;">DI# <u>2500006</u></div> <div style="border-bottom: 1px solid black; padding-bottom: 2px;">Original FY 2014 House Bill Section, if applicable <u>2.015</u></div> |
| 6. STRATEGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGETS: | |
| <p>The Department will:</p> <p>Advocate for the funding required for the formula adopted in SB 287 (2005);</p> <p>Aid districts in recognizing and overcoming barriers to providing an equitable education for all students; and</p> <p>Assist districts as they integrate high academic performance in all subjects in all grades.</p> | |

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|---|------------------|----------|----------|------------------|--|------------------|----------|----------|------------------|
| Department of Elementary and Secondary Education Division of Financial and Administrative Services School Transfer Obligation | | | | | Budget Unit <u>50263C</u> DI# <u>2500001</u> Original FY 2014 House Bill Section, if applicable <u>N/A</u> | | | | |
| 1. AMOUNT OF REQUEST | | | | | | | | | |
| FY 2014 Supplemental Budget Request | | | | | FY 2014 Supplemental Governor's Recommendation | | | | |
| | GR | Federal | Other | Total | | GR | Federal | Other | Total |
| PS | 0 | 0 | 0 | 0 | PS | 0 | 0 | 0 | 0 |
| EE | 0 | 0 | 0 | 0 | EE | 0 | 0 | 0 | 0 |
| PSD | 6,808,506 | 0 | 0 | 6,808,506 | PSD | 5,000,000 | 0 | 0 | 5,000,000 |
| TRF | 0 | 0 | 0 | 0 | TRF | 0 | 0 | 0 | 0 |
| Total | 6,808,506 | 0 | 0 | 6,808,506 | Total | 5,000,000 | 0 | 0 | 5,000,000 |
| FTE | 0.00 | 0.00 | 0.00 | 0.00 | FTE | 0.00 | 0.00 | 0.00 | 0.00 |
| POSITIONS | 0 | 0 | 0 | 0 | POSITIONS | 0 | 0 | 0 | 0 |
| NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | | NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | |
| Est. Fringe | 0 | 0 | 0 | 0 | Est. Fringe | 0 | 0 | 0 | 0 |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | |
| 2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM. | | | | | | | | | |
| <p>On June 11, 2013 a Missouri Supreme Court decision affirmed the right of students in unaccredited districts to transfer to an accredited district under Section 167.131.1, RSMo. "The board of education of each district in this state that does not maintain an accredited school pursuant to the authority of the state board of education to classify schools as established in Section 161.092, RSMo shall pay the tuition of and provide transportation consistent with the provisions of Section 167.241, RSMo for each pupil resident therein who attends an accredited school in another district of the same or an adjoining county." Based upon this decision, approximately twenty-five percent (25%) of the students from the Normandy and Riverview Gardens School Districts, both located in St. Louis County, enrolled in other districts.</p> <p>Although the Normandy School District concluded the 2012-2013 school year in sound financial condition, the impact of an estimated \$14.4 million in required tuition for the transfer students will result in the district having insufficient funds to meet their educational obligations including tuition, transportation and the operating costs of maintaining a program for the remaining 75% of their students for the remainder of the year. It is projected that the district will become financially insolvent on or around March 31, 2014. This appropriation will enable Normandy School District to continue to meet its transfer obligations and provide educational programs to the remaining students for the remainder of the 2013-2014 school year ONLY. In FY 2013, the Normandy school district had 4,207 students enrolled. It is estimated that, in FY 2014 1,150 students will transfer to other school districts. It is estimated that Riverview Gardens will have sufficient funding to finish the year.</p> | | | | | | | | | |

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|---|-----------|----------|--|----------|----------|----------|-----------|----------|-----------|
| Department of Elementary and Secondary Education | | | Budget Unit | | 50263C | | | | |
| Division of Financial and Administrative Services | | | DI# | | 2500001 | | | | |
| School Transfer Obligation | | | Original FY 2014 House Bill Section, if applicable N/A | | | | | | |
| 3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.) | | | | | | | | | |
| The supplemental request will provide sufficient funds to allow the Normandy School District to meet their educational obligations for the remainder of the 2013-14 school year. The amount of state funding per pupil is \$6,330, which includes Prop C and foundation funding. The estimated average tuition is \$12,250, with an estimated difference in state funding and tuition of \$5,920. There are an estimated 1,150 transfer students from the Normandy School District multiplied by \$5,920 = \$6,808,506. The Governor's recommendation of \$5,000,000 is based upon Normandy school district's revised request, which takes into account cost cutting strategies that they have implemented. | | | | | | | | | |
| 4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS. | | | | | | | | | |
| | Dept Req | Dept Req | Dept Req | Dept Req | Dept Req | Dept Req | Dept Req | Dept Req | Dept Req |
| | GR | GR | FED | FED | OTHER | OTHER | TOTAL | TOTAL | One-Time |
| Budget Object Class/Job Class | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS |
| Program Distributions | 6,808,506 | | | | | | 6,808,506 | | 6,808,506 |
| Total PSD | 6,808,506 | | 0 | | 0 | | 6,808,506 | | 6,808,506 |
| Grand Total | 6,808,506 | 0.0 | 0 | 0.0 | 0 | 0.0 | 6,808,506 | 0.0 | 6,808,506 |
| | Gov Rec | Gov Rec | Gov Rec | Gov Rec | Gov Rec | Gov Rec | Gov Rec | Gov Rec | Gov Rec |
| | GR | GR | FED | FED | OTHER | OTHER | TOTAL | TOTAL | One-Time |
| Budget Object Class/Job Class | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS |
| Program Distributions | 5,000,000 | | | | | | 5,000,000 | | 5,000,000 |
| Total PSD | 5,000,000 | | 0 | | 0 | | 5,000,000 | | 5,000,000 |
| Grand Total | 5,000,000 | 0.0 | 0 | 0.0 | 0 | 0.0 | 5,000,000 | 0.0 | 5,000,000 |

SUPPLEMENTAL NEW DECISION ITEM

Department of Elementary and Secondary Education

Division of Learning Services

School Age Afterschool Programs

Budget Unit 50868CDI# 2500002Original FY 2014 House Bill Section, if applicable 2.070

1. AMOUNT OF REQUEST

| FY 2014 Supplemental Budget Request | | | | |
|-------------------------------------|----------|------------------|----------|------------------|
| | GR | Federal | Other | Total |
| PS | 0 | 0 | 0 | 0 |
| EE | 0 | 0 | 0 | 0 |
| PSD | 0 | 3,000,000 | 0 | 3,000,000 |
| TRF | 0 | 0 | 0 | 0 |
| Total | 0 | 3,000,000 | 0 | 3,000,000 |

FTE 0.00 0.00 0.00 0.00
 POSITIONS 0 0 0 0

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

| | | | | |
|--------------------|---|---|---|---|
| Est. Fringe | 0 | 0 | 0 | 0 |
|--------------------|---|---|---|---|

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

| FY 2014 Supplemental Governor's Recommendation | | | | |
|--|----------|------------------|----------|------------------|
| | GR | Fed | Other | Total |
| PS | 0 | 0 | 0 | 0 |
| EE | 0 | 0 | 0 | 0 |
| PSD | 0 | 3,000,000 | 0 | 3,000,000 |
| TRF | 0 | 0 | 0 | 0 |
| Total | 0 | 3,000,000 | 0 | 3,000,000 |

FTE 0.00 0.00 0.00 0.00
 POSITIONS 0 0 0 0

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

| | | | | |
|--------------------|---|---|---|---|
| Est. Fringe | 0 | 0 | 0 | 0 |
|--------------------|---|---|---|---|

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

Additional appropriation authority is requested to accommodate carryover. The FY 13 appropriation level was insufficient, so bills were carried over and reimbursed in FY14. The supplemental funding increases federal spending capacity to meet program costs.

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.)

Supplemental increase reflects the FY 2013 costs carried over and reimbursed in the 2013-2014 year. Supplemental funding would increase federal spending capacity.

SUPPLEMENTAL NEW DECISION ITEM

Department of Elementary and Secondary Education
 Division of Learning Services
 School Age Afterschool Programs

Budget Unit 50868C
 DI# 2500002
 Original FY 2014 House Bill Section, if applicable 2.070

4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS.

| Budget Object Class/Job Class | Dept Req GR DOLLARS | Dept Req GR FTE | Dept Req FED DOLLARS | Dept Req FED FTE | Dept Req OTHER DOLLARS | Dept Req OTHER FTE | Dept Req TOTAL DOLLARS | Dept Req TOTAL FTE | Dept Req One-Time DOLLARS |
|-------------------------------|---------------------------|-----------------------|----------------------------|------------------------|------------------------------|--------------------------|------------------------------|--------------------------|---------------------------------|
| Program Distributions | | | 3,000,000 | | | | 3,000,000 | | 3,000,000 |
| Total PSD | 0 | | 3,000,000 | | 0 | | 3,000,000 | | 3,000,000 |
| Grand Total | 0 | 0.0 | 3,000,000 | 0.0 | 0 | 0.0 | 3,000,000 | 0.0 | 3,000,000 |

| Budget Object Class/Job Class | Gov Rec GR DOLLARS | Gov Rec GR FTE | Gov Rec FED DOLLARS | Gov Rec FED FTE | Gov Rec OTHER DOLLARS | Gov Rec OTHER FTE | Gov Rec TOTAL DOLLARS | Gov Rec TOTAL FTE | Gov Rec One-Time DOLLARS |
|-------------------------------|--------------------------|----------------------|---------------------------|-----------------------|-----------------------------|-------------------------|-----------------------------|-------------------------|--------------------------------|
| Program Distributions | | | 3,000,000 | | | | 3,000,000 | | 3,000,000 |
| Total PSD | 0 | | 3,000,000 | | 0 | | 3,000,000 | | 3,000,000 |
| Grand Total | 0 | 0.0 | 3,000,000 | 0.0 | 0 | 0.0 | 3,000,000 | 0.0 | 3,000,000 |

SUPPLEMENTAL NEW DECISION ITEM

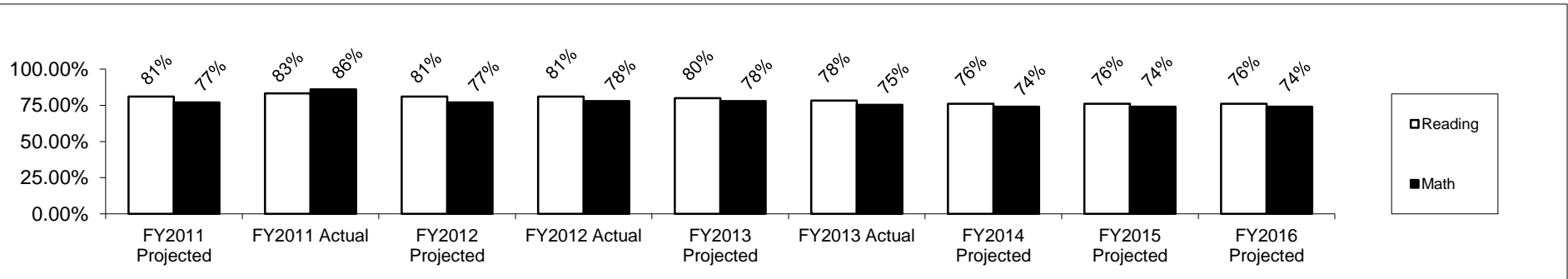
Department of Elementary and Secondary Education
 Division of Learning Services
 School Age Afterschool Programs

Budget Unit 50868C
 DI# 2500002
 Original FY 2014 House Bill Section, if applicable 2.070

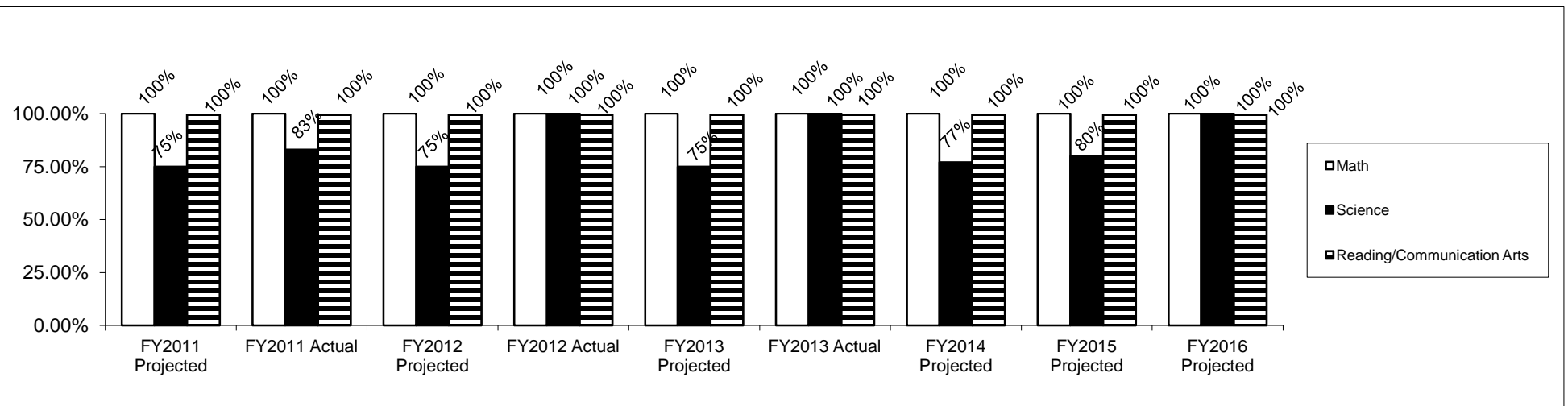
5. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional funding.)

5a. Provide an effectiveness measure.

Percentage of students who regularly attended a 21st Century grant funded program and whose grade remained the same or increased



Percentage of 21st Century grant funded programs that offer math, science, and reading/communication arts.



SUPPLEMENTAL NEW DECISION ITEM

Department of Elementary and Secondary Education

Budget Unit 50868C

Division of Learning Services

DI# 2500002

School Age Afterschool Programs

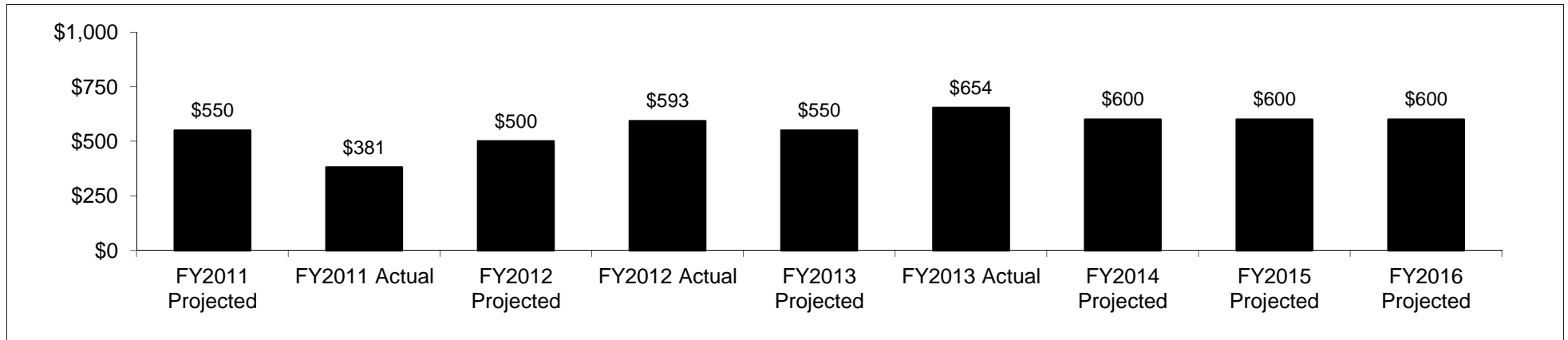
Original FY 2014 House Bill Section, if applicable 2.070

Teacher survey on students who regularly attended a 21st Century grant funded program in FY2013.

| | |
|--------------------------------------|--------|
| Gets along with other students | 94.70% |
| Arriving motivated to learn | 95.20% |
| Academic performance | 95.00% |
| Behaving well in class | 92.40% |
| Class attentiveness | 93.50% |
| Regular class attendance | 96.90% |
| Volunteering for additional activity | 98.40% |
| Participation in class | 97.00% |
| Completes homework satisfactorily | 94.70% |
| Turns in homework on time | 93.90% |

5b. Provide an efficiency measure.

Cost per student enrolled in a 21st Century grant funded program.



SUPPLEMENTAL NEW DECISION ITEM

Department of Elementary and Secondary Education

Budget Unit 50868C

Division of Learning Services

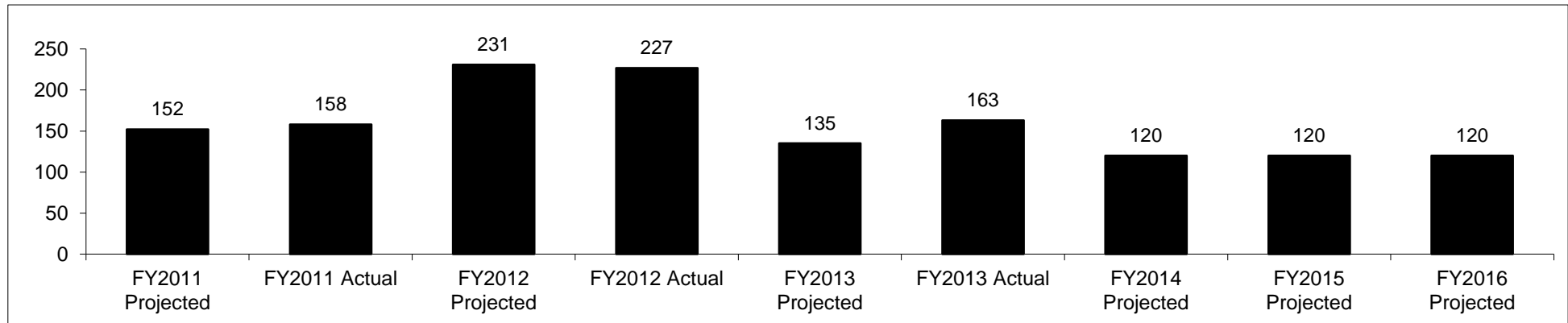
DI# 2500002

School Age Afterschool Programs

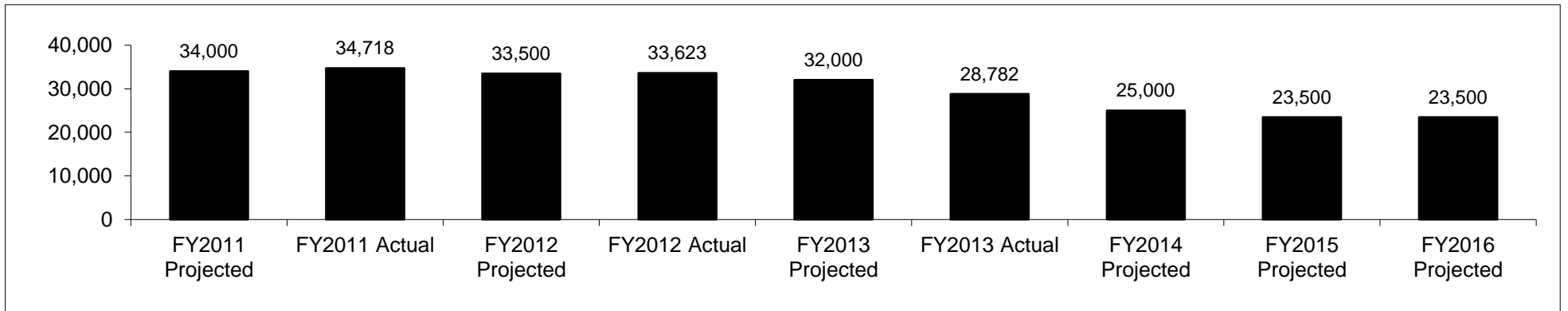
Original FY 2014 House Bill Section, if applicable 2.070

5c. Provide the number of clients/individuals served, if applicable.

Number of 21st Century grant sites awarded (continuation grants).



Number of students enrolled in 21st Century grant funded programs.



SUPPLEMENTAL NEW DECISION ITEM

Department of Elementary and Secondary Education

Budget Unit 50868C

Division of Learning Services

DI# 2500002

School Age Afterschool Programs

Original FY 2014 House Bill Section, if applicable 2.070

5d. Provide a customer satisfaction measure, if available.

N/A

6. STRATEGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGETS:

1. Monitor and review local afterschool programs for quality and compliance.
2. Provide professional development through the Missouri Afterschool Network to ensure quality afterschool programs.

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|---|------------------|----------|----------|------------------|--|------------------|----------|----------|------------------|
| Department of Elementary and Secondary Education | | | | | Budget Unit <u>50150C</u> | | | | |
| Division of Learning Services | | | | | DI# <u>2500004</u> | | | | |
| High Need Fund Supplemental Request | | | | | Original FY 2014 House Bill Section, if applicable <u>2.170</u> | | | | |
| 1. AMOUNT OF REQUEST | | | | | | | | | |
| FY 2015 Supplemental Budget Request | | | | | FY 2015 Supplemental Governor's Recommendation | | | | |
| | GR | Federal | Other | Total | | GR | Federal | Other | Total |
| PS | 0 | 0 | 0 | 0 | PS | 0 | 0 | 0 | 0 |
| EE | 0 | 0 | 0 | 0 | EE | 0 | 0 | 0 | 0 |
| PSD | 6,000,000 | 0 | 0 | 6,000,000 | PSD | 6,000,000 | 0 | 0 | 6,000,000 |
| TRF | 0 | 0 | 0 | 0 | TRF | 0 | 0 | 0 | 0 |
| Total | 6,000,000 | 0 | 0 | 6,000,000 | Total | 6,000,000 | 0 | 0 | 6,000,000 |
| FTE | 0.00 | 0.00 | 0.00 | 0.00 | FTE | 0.00 | 0.00 | 0.00 | 0.00 |
| POSITIONS | 0 | 0 | 0 | 0 | POSITIONS | 0 | 0 | 0 | 0 |
| NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | | NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | |
| <i>Est. Fringe</i> | 0 | 0 | 0 | 0 | <i>Est. Fringe</i> | 0 | 0 | 0 | 0 |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | |
| 2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM. | | | | | | | | | |
| <p>The High Need Fund (HNF) was established pursuant to Section 162.974, RSMo, to provide funding support for districts with "High Need" students. These students are special education students whose educational costs exceed three times the district's Current Expenditure per Average Daily Attendance (ADA). Reimbursement to districts under the High Need Fund for qualifying students is made without regard to disability or placement. Educational costs may include: Instructional costs, Related Services, Transportation, Tuition, Assistive Technology, and other miscellaneous expenditures directly related to the student's education and supported by the student's Individualized Education Plan (IEP).</p> <p>The HNF continues to grow approximately 7-10% in requests for reimbursement per year. This is due to an increase in the number of students claimed, an increase in awareness and technical assistance related to the fund (increase in the number of schools submitting applications), an increase to the overall cost of special education services to the state.</p> | | | | | | | | | |

SUPPLEMENTAL NEW DECISION ITEM

| Department of Elementary and Secondary Education Division of Learning Services High Need Fund Supplemental Request | Budget Unit <u>50150C</u> DI# <u>2500004</u> Original FY 2014 House Bill Section, if applicable <u>2.170</u> | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|---|--|----------------------------|------------------------|------------------------------|--------------------------|------------------------------|--------------------------|---------------------------------|--------------------------|---------------------------------|-----------------------|-----------|---|---|--|---|-----------|--|-----------|------------------|------------------|--|----------|--|----------|------------------|--|------------------|--------------------|------------------|------------|----------|------------|----------|------------------|------------|------------------|
| 3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <p>The amount of costs claimed on the HNF grew from \$115,833,616 in FY12 to \$124,893,407 in FY13, which resulted in reimbursement to schools of \$40,605,210 in FY13. With a projected 10% increase, the reimbursement amount is projected to be \$44,700,000 in FY14. State funding is set at \$36,155,141 with an additional \$2,500,000 of federal funding, creating a shortfall of \$6,000,000.</p> | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Budget Object Class/Job Class | <table border="1" style="width:100%; border-collapse: collapse; font-size: small;"> <tr> <th style="width:15%;">Dept Req GR DOLLARS</th> <th style="width:10%;">Dept Req GR FTE</th> <th style="width:15%;">Dept Req FED DOLLARS</th> <th style="width:10%;">Dept Req FED FTE</th> <th style="width:15%;">Dept Req OTHER DOLLARS</th> <th style="width:10%;">Dept Req OTHER FTE</th> <th style="width:15%;">Dept Req TOTAL DOLLARS</th> <th style="width:10%;">Dept Req TOTAL FTE</th> <th style="width:10%;">Dept Req One-Time DOLLARS</th> </tr> <tr> <td>Program Distributions</td> <td align="right">6,000,000</td> <td align="center">0</td> <td align="right">0</td> <td></td> <td align="right">0</td> <td align="right">6,000,000</td> <td></td> <td align="right">6,000,000</td> </tr> <tr> <td>Total PSD</td> <td align="right">6,000,000</td> <td></td> <td align="right">0</td> <td></td> <td align="right">0</td> <td align="right">6,000,000</td> <td></td> <td align="right">6,000,000</td> </tr> <tr> <td>Grand Total</td> <td align="right">6,000,000</td> <td align="right">0.0</td> <td align="right">0</td> <td align="right">0.0</td> <td align="right">0</td> <td align="right">6,000,000</td> <td align="right">0.0</td> <td align="right">6,000,000</td> </tr> </table> | Dept Req GR DOLLARS | Dept Req GR FTE | Dept Req FED DOLLARS | Dept Req FED FTE | Dept Req OTHER DOLLARS | Dept Req OTHER FTE | Dept Req TOTAL DOLLARS | Dept Req TOTAL FTE | Dept Req One-Time DOLLARS | Program Distributions | 6,000,000 | 0 | 0 | | 0 | 6,000,000 | | 6,000,000 | Total PSD | 6,000,000 | | 0 | | 0 | 6,000,000 | | 6,000,000 | Grand Total | 6,000,000 | 0.0 | 0 | 0.0 | 0 | 6,000,000 | 0.0 | 6,000,000 |
| Dept Req GR DOLLARS | Dept Req GR FTE | Dept Req FED DOLLARS | Dept Req FED FTE | Dept Req OTHER DOLLARS | Dept Req OTHER FTE | Dept Req TOTAL DOLLARS | Dept Req TOTAL FTE | Dept Req One-Time DOLLARS | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Program Distributions | 6,000,000 | 0 | 0 | | 0 | 6,000,000 | | 6,000,000 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Total PSD | 6,000,000 | | 0 | | 0 | 6,000,000 | | 6,000,000 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Grand Total | 6,000,000 | 0.0 | 0 | 0.0 | 0 | 6,000,000 | 0.0 | 6,000,000 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Budget Object Class/Job Class | <table border="1" style="width:100%; border-collapse: collapse; font-size: small;"> <tr> <th style="width:15%;">Gov Rec GR DOLLARS</th> <th style="width:10%;">Gov Rec GR FTE</th> <th style="width:15%;">Gov Rec FED DOLLARS</th> <th style="width:10%;">Gov Rec FED FTE</th> <th style="width:15%;">Gov Rec OTHER DOLLARS</th> <th style="width:10%;">Gov Rec OTHER FTE</th> <th style="width:15%;">Gov Rec TOTAL DOLLARS</th> <th style="width:10%;">Gov Rec TOTAL FTE</th> <th style="width:10%;">Gov Rec One-Time DOLLARS</th> </tr> <tr> <td>Program Distributions</td> <td align="right">6,000,000</td> <td></td> <td align="right">0</td> <td></td> <td align="right">0</td> <td align="right">6,000,000</td> <td></td> <td align="right">6,000,000</td> </tr> <tr> <td>Total PSD</td> <td align="right">6,000,000</td> <td></td> <td align="right">0</td> <td></td> <td align="right">0</td> <td align="right">6,000,000</td> <td></td> <td align="right">6,000,000</td> </tr> <tr> <td>Grand Total</td> <td align="right">6,000,000</td> <td align="right">0.0</td> <td align="right">0</td> <td align="right">0.0</td> <td align="right">0</td> <td align="right">6,000,000</td> <td align="right">0.0</td> <td align="right">6,000,000</td> </tr> </table> | Gov Rec GR DOLLARS | Gov Rec GR FTE | Gov Rec FED DOLLARS | Gov Rec FED FTE | Gov Rec OTHER DOLLARS | Gov Rec OTHER FTE | Gov Rec TOTAL DOLLARS | Gov Rec TOTAL FTE | Gov Rec One-Time DOLLARS | Program Distributions | 6,000,000 | | 0 | | 0 | 6,000,000 | | 6,000,000 | Total PSD | 6,000,000 | | 0 | | 0 | 6,000,000 | | 6,000,000 | Grand Total | 6,000,000 | 0.0 | 0 | 0.0 | 0 | 6,000,000 | 0.0 | 6,000,000 |
| Gov Rec GR DOLLARS | Gov Rec GR FTE | Gov Rec FED DOLLARS | Gov Rec FED FTE | Gov Rec OTHER DOLLARS | Gov Rec OTHER FTE | Gov Rec TOTAL DOLLARS | Gov Rec TOTAL FTE | Gov Rec One-Time DOLLARS | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Program Distributions | 6,000,000 | | 0 | | 0 | 6,000,000 | | 6,000,000 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Total PSD | 6,000,000 | | 0 | | 0 | 6,000,000 | | 6,000,000 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Grand Total | 6,000,000 | 0.0 | 0 | 0.0 | 0 | 6,000,000 | 0.0 | 6,000,000 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

SUPPLEMENTAL NEW DECISION ITEM

| Department of Elementary and Secondary Education Division of Learning Services High Need Fund Supplemental Request | Budget Unit <u>50150C</u> DI# <u>2500004</u> Original FY 2014 House Bill Section, if applicable <u>2.170</u> | | | | | | | | | | | | | | | |
|--|--|-----------|-------|-------|------|------|--------------------------------------|-----|-----|-----|-----|---------------------------|-------|-------|-------|-------|
| 5. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional | | | | | | | | | | | | | | | | |
| 5a. Provide an effectiveness measure. | | | | | | | | | | | | | | | | |
| <table border="1" style="width: 100%; border-collapse: collapse; margin-top: 10px;"> <tr> <th style="width: 30%;">Indicator</th> <th style="width: 10%;">2010</th> <th style="width: 10%;">2011</th> <th style="width: 10%;">2012</th> <th style="width: 10%;">2013</th> </tr> <tr> <td>Number of Districts Paid through HNF</td> <td style="text-align: center;">176</td> <td style="text-align: center;">183</td> <td style="text-align: center;">193</td> <td style="text-align: center;">199</td> </tr> <tr> <td>Number of Students on HNF</td> <td style="text-align: center;">2,177</td> <td style="text-align: center;">2,413</td> <td style="text-align: center;">2,701</td> <td style="text-align: center;">2,868</td> </tr> </table> | | Indicator | 2010 | 2011 | 2012 | 2013 | Number of Districts Paid through HNF | 176 | 183 | 193 | 199 | Number of Students on HNF | 2,177 | 2,413 | 2,701 | 2,868 |
| Indicator | 2010 | 2011 | 2012 | 2013 | | | | | | | | | | | | |
| Number of Districts Paid through HNF | 176 | 183 | 193 | 199 | | | | | | | | | | | | |
| Number of Students on HNF | 2,177 | 2,413 | 2,701 | 2,868 | | | | | | | | | | | | |
| 5b. Provide an efficiency measure. | | | | | | | | | | | | | | | | |
| N/A | | | | | | | | | | | | | | | | |
| 5c. Provide the number of clients/individuals served, if applicable. | | | | | | | | | | | | | | | | |
| 2,868 students, 199 districts in school year 2013 | | | | | | | | | | | | | | | | |
| 5d. Provide a customer satisfaction measure, if available. | | | | | | | | | | | | | | | | |
| N/A | | | | | | | | | | | | | | | | |
| 6. STRATEGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGETS: | | | | | | | | | | | | | | | | |
| N/A | | | | | | | | | | | | | | | | |

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|--|------------------|----------|----------|------------------|--|------------------|----------|----------|------------------|
| Department of Elementary and Secondary Education | | | | | Budget Unit <u>51023C</u> | | | | |
| Division of Learning Services | | | | | DI# <u>2500005</u> | | | | |
| First Steps | | | | | Original FY 2014 House Bill Section, if applicable <u>HB 2.175</u> | | | | |
| 1. AMOUNT OF REQUEST | | | | | | | | | |
| FY 2014 Supplemental Budget Request | | | | | FY 2014 Supplemental Governor's Recommendation | | | | |
| | GR | Federal | Other | Total | | GR | Federal | Other | Total |
| PS | 0 | 0 | 0 | 0 | PS | 0 | 0 | 0 | 0 |
| EE | 0 | 0 | 0 | 0 | EE | 0 | 0 | 0 | 0 |
| PSD | 7,500,000 | 0 | 0 | 7,500,000 | PSD | 7,500,000 | 0 | 0 | 7,500,000 |
| TRF | 0 | 0 | 0 | 0 | TRF | 0 | 0 | 0 | 0 |
| Total | 7,500,000 | 0 | 0 | 7,500,000 | Total | 7,500,000 | 0 | 0 | 7,500,000 |
| FTE | 0.00 | 0.00 | 0.00 | 0.00 | FTE | 0.00 | 0.00 | 0.00 | 0.00 |
| POSITIONS | 0 | 0 | 0 | 0 | POSITIONS | 0 | 0 | 0 | 0 |
| NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | | NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | |
| Est. Fringe | 0 | 0 | 0 | 0 | Est. Fringe | 0 | 0 | 0 | 0 |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | |
| 2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM. | | | | | | | | | |
| <p>IDEA - 20 U.S.C §1401 et seq., as amended in 2004; Part C Regulations - 34 CFR 303; State Statute - Sections 160.900-160.933, RSMo.</p> <p>First Steps is the Early Intervention System in the State of Missouri for infants and toddlers, birth to age three, who have delayed development or diagnosed conditions that are associated with developmental disabilities. The First Steps program is governed through Part C of the federal Individual with Disabilities Education Act (IDEA). Per Sections 160.900-933, RSMo, the Office of Special Education is responsible for the administration, implementation, and general supervision of the Early Intervention System.</p> <p>The program is currently operating on a deficit due to a significant increase in children served, new federal regulations related to family cost participation, shortfalls in private insurance collections, and uncollectable family service fees. Stimulus and other federal grant funds have helped sustain the program, but these funds are no longer available. In FY14, expenditures are estimated to be around \$39.4 million with appropriations and other revenues anticipated at approximately \$31.9 million (shortfall of \$7.5 million).</p> | | | | | | | | | |

SUPPLEMENTAL NEW DECISION ITEM

| | |
|--|---|
| Department of Elementary and Secondary Education Division of Learning Services First Steps | Budget Unit <u>51023C</u> DI# <u>2500005</u> Original FY 2014 House Bill Section, if applicable <u>HB 2.175</u> |
| <p>3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.)</p> <p>The number of children referred and evaluated in First Steps has significantly increased from 9,864 in FY11 to 11,194 in FY13 (increase of 1,330 children). Even though not all of these kids are found eligible to participate in the program, there are costs associated with having to complete the referral and evaluation process to determine eligibility. Currently, First Steps serves 2.28% of the birth to three-year old population. But based on a growth study, it is anticipated that 2.58% of the population is eligible for program services; therefore a continual increase in child count is expected (approximately 700 more children per year).</p> <p>There is an anticipated decline in Family Cost Participation income due to new federal regulations that won't allow families to be charged a higher fee for refusal of financial/insurance information. In addition, the federal regulations require the state to ensure a family does not pay more than the cost of the service considering private insurance collections.</p> <p>There is an anticipated decline in private insurance collections as more and more companies elect to process direct claims over percentage payments to the program. Direct claims are continuously denied for payment and require extensive follow-up to retrieve payment. In FY12, private insurance collections were \$1,051,739 compared to \$604,013 in FY13 (decrease of \$447,726). In addition, only 13% of the insurance carriers in Missouri are required to contribute to the cost of First Steps services.</p> <p>There is a reduction in Federal Part C Grant award due to sequestration. Grant funds have decreased from \$7,909,044 in FY13 to \$7,514,098 in FY14 (decrease of \$394,946), with an anticipated 8% reduction in FY15.</p> <p>A re-bid of the System Point of Entry (SPOE) contracts will increase program costs as current contracts expiring in June 2014 already have service coordinators (120 FTE) over caseload requirements (40-60 children per coordinator), thus an increase to the number of service coordinators will be needed in the next rebid to meet caseload standards, which will be an additional increase in cost.</p> <p>There is a necessary amendment to the Central Finance Office (CFO) contract to align system, payment collections, monitoring, and oversight with new federal regulations. This will require several enhancements to the current web-based system by July 1, 2014.</p> | |

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | | | |
|--|-----------|----------|----------|--|----------|----------|-----------|----------|-----------|----------|----------|
| Department of Elementary and Secondary Education | | | | Budget Unit | 51023C | | | | | | |
| Division of Learning Services | | | | DI# | 2500005 | | | | | | |
| First Steps | | | | Original FY 2014 House Bill Section, if applicable | | | | | | | HB 2.175 |
| 4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS. | | | | | | | | | | | |
| | Dept Req | Dept Req | Dept Req | Dept Req | Dept Req | Dept Req | Dept Req | Dept Req | Dept Req | Dept Req | |
| | GR | GR | FED | FED | OTHER | OTHER | TOTAL | TOTAL | One-Time | | |
| Budget Object Class/Job Class | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | | |
| Program Distributions | 7,500,000 | | | | | | 7,500,000 | | 7,500,000 | | |
| Total PSD | 7,500,000 | | 0 | | 0 | | 7,500,000 | | 7,500,000 | | |
| Grand Total | 7,500,000 | 0.0 | 0 | 0.0 | 0 | 0.0 | 7,500,000 | 0.0 | 7,500,000 | | |
| | | | | | | | | | | | |
| | Gov Rec | Gov Rec | Gov Rec | Gov Rec | Gov Rec | Gov Rec | Gov Rec | Gov Rec | Gov Rec | Gov Rec | |
| | GR | GR | FED | FED | OTHER | OTHER | TOTAL | TOTAL | One-Time | | |
| Budget Object Class/Job Class | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | | |
| Program Distributions | 7,500,000 | | | | | | 7,500,000 | | 7,500,000 | | |
| Total PSD | 7,500,000 | | 0 | | 0 | | 7,500,000 | | 7,500,000 | | |
| Grand Total | 7,500,000 | 0.0 | 0 | 0.0 | 0 | 0.0 | 7,500,000 | 0.0 | 7,500,000 | | |
| | | | | | | | | | | | |

SUPPLEMENTAL NEW DECISION ITEM

| | |
|---|--|
| Department of Elementary and Secondary Education Division of Learning Services First Steps | Budget Unit <u>51023C</u> DI# <u>2500005</u> Original FY 2014 House Bill Section, if applicable <u>HB 2.175</u> |
|---|--|

5. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional funding.)

5a. Provide an effectiveness measure.

| Percent of Infant and Toddlers who: | FY11 | FY12 |
|--|-------|-------|
| Primarily Receive Services at the Home Setting | 98.9% | 95.1% |
| Demonstrate positive social-emotional skills | 61.7% | 79.1% |
| Demonstrate acquisition and use of knowledge skills | 63.8% | 79.1% |
| Demonstrate use of appropriate behaviors to meet needs | 65.9% | 81.2% |

5b. Provide an efficiency measure.

| Percent of: | FY12 |
|--|--------|
| Noncompliance corrected within 12 month timeline | 100.0% |
| Complaints resolved within 60 day timeline | 100.0% |
| Transition services - IFSP Transition Steps | 98.4% |
| Transition services - Notification to LEA | 95.1% |
| Transition services - Transition Conference | 100.0% |
| State reported data that is timely and accurate | 100.0% |

5c. Provide the number of clients/individuals served, if applicable.

| Measure | FY12 | FY13 |
|---|--------|--------|
| Number of Children Referred and Evaluated for Eligibility | 10,659 | 11,194 |
| Number of Children Served (Eligible) | 5,024 | 4,999 |

5d. Provide a customer satisfaction measure, if available.
 In FY13, out of the 11,194 children referred and evaluated for services, only 1 child complaint was filed.

6. STRATEGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGETS:

Growth Model Study to help predict the number of eligible children for program services.

Service Coordinator caseload study to help determine standard caseloads.

Delivery Model Assessment to help determine efficiency and effectiveness of service delivery.

Coordination between Department of Insurance, Financial Institutions and Professional Registration to help increase private insurance collections.

Expanded billable MO HealthNet Services to increase Medicaid revenue.

Procedures implemented to collect past due balances from families.

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|--|----------|----------|----------|----------|--|-------------------|----------|----------|-------------------|
| Department of Elementary and Secondary Education | | | | | Budget Unit <u>52420C</u> | | | | |
| Division of Financial and Administrative Services | | | | | DI# <u>2500007</u> | | | | |
| Transfers | | | | | Original FY 2014 House Bill Section, if applicable <u>2.235</u> | | | | |
| 1. AMOUNT OF REQUEST | | | | | | | | | |
| FY 2014 Supplemental Budget Request | | | | | FY 2014 Supplemental Governor's Recommendation | | | | |
| | GR | Federal | Other | Total | | GR | Federal | Other | Total |
| PS | 0 | 0 | 0 | 0 | PS | 0 | 0 | 0 | 0 |
| EE | 0 | 0 | 0 | 0 | EE | 0 | 0 | 0 | 0 |
| PSD | 0 | 0 | 0 | 0 | PSD | 0 | 0 | 0 | 0 |
| TRF | 0 | 0 | 0 | 0 | TRF | 44,063,793 | 0 | 0 | 44,063,793 |
| Total | 0 | 0 | 0 | 0 | Total | 44,063,793 | 0 | 0 | 44,063,793 |
| FTE | 0.00 | 0.00 | 0.00 | 0.00 | FTE | 0.00 | 0.00 | 0.00 | 0.00 |
| POSITIONS | 0 | 0 | 0 | 0 | POSITIONS | 0 | 0 | 0 | 0 |
| NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | | NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | |
| <i>Est. Fringe</i> | 0 | 0 | 0 | 0 | <i>Est. Fringe</i> | 0 | 0 | 0 | 0 |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | |
| 2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM. | | | | | | | | | |
| <p>The education funding formula distributes funds to eligible education agencies. Adopted in SB 287 (2005) and effective July 1, 2006, the formula follows a student needs philosophy and seeks to assure that sufficient funds are available in all districts to provide an adequate education for all students. The formula is: weighted average daily attendance x state adequacy target x dollar value modifier - local effort = state funding. The formula combines the funding from FY 2006 for Equity, Line 14, Exceptional Pupil Aid, Remedial Reading, Gifted, Fair Share, and County Foreign Insurance to determine the base amount for the calculations.</p> <p>Support for the \$3,075,271,737 foundation equity formula comes from several fund sources. This supplemental requests capacity to accommodate anticipated shortfalls in gaming, lottery, cigarette tax, and county foreign insurance tax distributions that are transferred to the State School Moneys</p> | | | | | | | | | |

SUPPLEMENTAL NEW DECISION ITEM

| | |
|---|---|
| Department of Elementary and Secondary Education Division of Financial and Administrative Services Transfers | Budget Unit <u>52420C</u> DI# <u>2500007</u> Original FY 2014 House Bill Section, if applicable <u>2.235</u> |
|---|---|

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.)

The increase involves \$44,063,793 of additional authority for shortfalls in Lottery revenues (\$12.9M), riverboat gaming revenues (\$22.2M), the Fair Share Fund transfer (\$0.6M), and the County Foreign transfer (\$8.3M) to the State School Moneys Fund. The transfer supports the FY14 formula equity amount of \$3,075,271,737.

\$8,300,182 County Foreign Insurance Tax Distributions

\$607,306 Fair Share Fund and Cigarette Tax Revenues

\$22,195,340 Riverboat Gaming Revenues

\$12,960,965 Lottery Proceeds

\$44,063,793 State School Moneys Fund

4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS.

| | Dept Req GR DOLLARS | Dept Req GR FTE | Dept Req FED DOLLARS | Dept Req FED FTE | Dept Req OTHER DOLLARS | Dept Req OTHER FTE | Dept Req TOTAL DOLLARS | Dept Req TOTAL FTE | Dept Req One-Time DOLLARS |
|--------------------|---------------------------|-----------------------|----------------------------|------------------------|------------------------------|--------------------------|------------------------------|--------------------------|---------------------------------|
| Transfers | <u> </u> | | <u> </u> | | <u> </u> | | <u> 0</u> | | <u> 0</u> |
| Total TRF | 0 | | 0 | | 0 | | 0 | | 0 |
| Grand Total | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 |

| | Gov Rec GR DOLLARS | Gov Rec GR FTE | Gov Rec FED DOLLARS | Gov Rec FED FTE | Gov Rec OTHER DOLLARS | Gov Rec OTHER FTE | Gov Rec TOTAL DOLLARS | Gov Rec TOTAL FTE | Gov Rec One-Time DOLLARS |
|--------------------|--------------------------|----------------------|---------------------------|-----------------------|-----------------------------|-------------------------|-----------------------------|-------------------------|--------------------------------|
| Transfers | 44,063,793 | | <u> </u> | | <u> </u> | | 44,063,793 | | 44,063,793 |
| Total TRF | 44,063,793 | | 0 | | 0 | | 44,063,793 | | 44,063,793 |
| Grand Total | 44,063,793 | 0.0 | 0 | 0.0 | 0 | 0.0 | 44,063,793 | 0.0 | 44,063,793 |

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|--|-------------|----------------|----------------|----------------|--|-------------|----------------|----------------|----------------|
| Department of Higher Education | | | | | Budget Unit <u>55780C</u> | | | | |
| Community Colleges | | | | | | | | | |
| Community Colleges Tax Refund Offsets DI# 2555001 | | | | | Original FY 2014 House Bill Section, if applicable <u>3.145</u> | | | | |
| 1. AMOUNT OF REQUEST | | | | | | | | | |
| FY 2014 Supplemental Budget Request | | | | | FY 2014 Supplemental Governor's Recommendation | | | | |
| | GR | Federal | Other | Total | | GR | Federal | Other | Total |
| PS | 0 | 0 | 0 | 0 | PS | 0 | 0 | 0 | 0 |
| EE | 0 | 0 | 0 | 0 | EE | 0 | 0 | 0 | 0 |
| PSD | 0 | 0 | 878,700 | 878,700 | PSD | 0 | 0 | 878,700 | 878,700 |
| TRF | 0 | 0 | 0 | 0 | TRF | 0 | 0 | 0 | 0 |
| Total | 0 | 0 | 878,700 | 878,700 | Total | 0 | 0 | 878,700 | 878,700 |
| FTE | 0.00 | 0.00 | 0.00 | 0.00 | FTE | 0.00 | 0.00 | 0.00 | 0.00 |
| POSITIONS | 0 | 0 | 0 | 0 | POSITIONS | 0 | 0 | 0 | 0 |
| NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | | NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | |
| Est. Fringe | 0 | 0 | 0 | 0 | Est. Fringe | 0 | 0 | 0 | 0 |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | |
| Other Funds: Debt Offset Escrow (0753) | | | | | Other Funds: Debt Offset Escrow (0753) | | | | |
| 2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM. | | | | | | | | | |
| <p>In both FY13 and FY14, the MDHE received appropriation authority of \$1,300,000 for purposes of transferring income tax refunds to the state's community colleges to offset debts owed to the institutions by state taxpayers. Sections 143.781-143.788, RSMo authorize these offsets. The appropriation threshold was surpassed in FY 13, causing the excess to be paid from the FY 14 debt offset appropriation. Payment of this shortfall and increased usage are anticipated to result in a shortfall in the FY 14 appropriation. As a result, the department is seeking a supplemental request to compensate for this shortfall.</p> | | | | | | | | | |

SUPPLEMENTAL NEW DECISION ITEM

| | | | |
|--|--------------------|---|--------------|
| Department of Higher Education | | Budget Unit <u>55780C</u> | |
| Community Colleges | | | |
| Community Colleges Tax Refund Offsets | DI# 2555001 | Original FY 2014 House Bill Section, if applicable | <u>3.145</u> |

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.)

The amount requested was derived from an historical analysis of the amounts claimed by community colleges in prior fiscal years for these purposes, the balance owed from FY 13, and an assumption of 30% growth from FY 13 to FY 14.

| | |
|----------------------------------|-----------------------|
| FY 13 Debt Offset Carry Forward | \$ 212,483.44 |
| FY 14 Projected Usage | <u>\$1,966,215.47</u> |
| Total FY 14 Appropriation Needed | <u>\$2,178,698.91</u> |
| Current FY 14 Appropriation | \$1,300,000.00 |
| FY 14 Projected Shortfall | \$ 878,698.91 |
| Request rounded to \$878,700 | |

4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS.

| | Dept Req GR DOLLARS | Dept Req GR FTE | Dept Req FED DOLLARS | Dept Req FED FTE | Dept Req OTHER DOLLARS | Dept Req OTHER FTE | Dept Req TOTAL DOLLARS | Dept Req TOTAL FTE | Dept Req One-Time DOLLARS |
|------------------------|---------------------------|-----------------------|----------------------------|------------------------|------------------------------|--------------------------|------------------------------|--------------------------|---------------------------------|
| Refunds | | | | | 878,700 | | 878,700 | | 878,700 |
| Total PSD | <u>0</u> | | <u>0</u> | | <u>878,700</u> | | <u>878,700</u> | | <u>878,700</u> |
| Grand Total | <u>0</u> | <u>0.0</u> | <u>0</u> | <u>0.0</u> | <u>878,700</u> | <u>0.0</u> | <u>878,700</u> | <u>0.0</u> | <u>878,700</u> |

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|--|---|-------------------------------|------------------------------------|---|--------------------------------------|--|--------------------------------------|----------------------------------|---|
| Department of Higher Education | | | | Budget Unit <u>55780C</u> | | | | | |
| Community Colleges | | | | | | | | | |
| Community Colleges Tax Refund Offsets | | DI# 2555001 | | Original FY 2014 House Bill Section, if applicable | | | | <u>3.145</u> | |
| Budget Object Class/Job Class | Gov Rec GR DOLLARS | Gov Rec GR FTE | Gov Rec FED DOLLARS | Gov Rec FED FTE | Gov Rec OTHER DOLLARS | Gov Rec OTHER FTE | Gov Rec TOTAL DOLLARS | Gov Rec TOTAL FTE | Gov Rec One-Time DOLLARS |
| Refunds | <u> </u> | | <u> </u> | | <u>878,700</u> | | <u>878,700</u> | | <u>878,700</u> |
| Total PSD | 0 | | 0 | | 878,700 | | 878,700 | | 878,700 |
| Grand Total | 0 | 0.0 | 0 | 0.0 | 878,700 | 0.0 | 878,700 | 0.0 | 878,700 |
| 5. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional funding.) | | | | | | | | | |
| 5a. | Provide an effectiveness measure. | | | | 5b. | Provide an efficiency measure. | | | |
| | N/A | | | | | N/A | | | |
| 5c. | Provide the number of clients/individuals served, if applicable. | | | | 5d. | Provide a customer satisfaction measure, if | | | |
| | N/A | | | | | N/A | | | |
| 6. STRATEGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGETS: | | | | | | | | | |
| | | | | | | | | | |

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|---|-----------|----------------|----------------|----------------|--|-----------|----------------|----------------|----------------|
| Department of Higher Education | | | | | Budget Unit <u>57551C</u> | | | | |
| Four-Year Universities | | | | | | | | | |
| Missouri State University Tax Refund Offset | | | | | DI# 2555002 | | | | |
| | | | | | Original FY 2014 House Bill Section, if applicable <u>3.165</u> | | | | |
| 1. AMOUNT OF REQUEST | | | | | | | | | |
| FY 2014 Supplemental Budget Request | | | | | FY 2014 Supplemental Governor's Recommendation | | | | |
| | GR | Federal | Other | Total | | GR | Federal | Other | Total |
| PS | 0 | 0 | 0 | 0 | PS | 0 | 0 | 0 | 0 |
| EE | 0 | 0 | 0 | 0 | EE | 0 | 0 | 0 | 0 |
| PSD | 0 | 0 | 100,000 | 100,000 | PSD | 0 | 0 | 100,000 | 100,000 |
| TRF | 0 | 0 | 0 | 0 | TRF | 0 | 0 | 0 | 0 |
| Total | 0 | 0 | 100,000 | 100,000 | Total | 0 | 0 | 100,000 | 100,000 |
| FTE | 0.00 | 0.00 | 0.00 | 0.00 | FTE | 0.00 | 0.00 | 0.00 | 0.00 |
| POSITIONS | 0 | 0 | 0 | 0 | POSITIONS | 0 | 0 | 0 | 0 |
| NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | | NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | |
| Est. Fringe | 0 | 0 | 0 | 0 | Est. Fringe | 0 | 0 | 0 | 0 |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | |
| Other Funds: Debt Offset Escrow Fund (0753) | | | | | Other Funds: Debt Offset Escrow Fund (0753) | | | | |
| 2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM. | | | | | | | | | |
| <p>In both FY 13 and FY 14, Missouri State University (MSU) received appropriation authority of \$200,000 for purposes of transferring income tax refunds to the institution to offset debts owed to the institution by state taxpayers. Sections 143.781-143.788, RSMo authorize these offsets. The appropriation threshold was surpassed in FY 13, causing the excess to be paid from the FY 14 debt offset appropriation. Payment of this shortfall and increased usage are anticipated to cause a shortfall in the FY 14 appropriation. As a result, the department is seeking a supplemental request to compensate for this shortfall.</p> | | | | | | | | | |

SUPPLEMENTAL NEW DECISION ITEM

| | | | | |
|--|--------------------|--|----------------------------------|--|
| Department of Higher Education | | | Budget Unit <u>57551C</u> | |
| Four-Year Universities | | | | |
| Missouri State University Tax Refund Offset | DI# 2555002 | Original FY 2014 House Bill Section, if applicable <u>3.165</u> | | |

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.)

The amount requested was derived from an historical analysis of the amounts claimed by Missouri State University for these purposes, the balance owed from FY 13, and an assumption of 43% growth from FY 13 to FY 14.

| | | |
|----------------------------------|----|-------------------|
| FY 13 Debt Offset Carry Forward | \$ | 10,129.12 |
| FY 14 Projected Usage | \$ | <u>300,000.00</u> |
| Total FY 14 Appropriation Needed | \$ | 310,129.12 |
| | | |
| Current FY 14 Appropriation | \$ | 200,000.00 |
| | | |
| FY 14 Projected Shortfall | \$ | 110,129.12 |
| | | |
| Request rounded to \$100,000 | | |

4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS.

| Budget Object Class/Job Class | Dept Req GR DOLLARS | Dept Req GR FTE | Dept Req FED DOLLARS | Dept Req FED FTE | Dept Req OTHER DOLLARS | Dept Req OTHER FTE | Dept Req TOTAL DOLLARS | Dept Req TOTAL FTE | Dept Req One-Time DOLLARS |
|-------------------------------|---------------------------|-----------------------|----------------------------|------------------------|------------------------------|--------------------------|------------------------------|--------------------------|---------------------------------|
| Refunds | | | | | 100,000 | | 100,000 | | 100,000 |
| Total PSD | <u>0</u> | | <u>0</u> | | <u>100,000</u> | | <u>100,000</u> | | <u>100,000</u> |
| | | | | | | | | | |
| Grand Total | <u>0</u> | <u>0.0</u> | <u>0</u> | <u>0.0</u> | <u>100,000</u> | <u>0.0</u> | <u>100,000</u> | <u>0.0</u> | <u>100,000</u> |

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|--|-----------------------------------|-------------------------------|------------------------------------|--|---|----------------------------------|--------------------------------------|----------------------------------|---|
| Department of Higher Education | | | | Budget Unit <u>57551C</u> | | | | | |
| Four-Year Universities | | | | | | | | | |
| Missouri State University Tax Refund Offset | | DI# 2555002 | | Original FY 2014 House Bill Section, if applicable <u>3.165</u> | | | | | |
| | | | | | | | | | |
| Budget Object Class/Job Class | Gov Rec GR DOLLARS | Gov Rec GR FTE | Gov Rec FED DOLLARS | Gov Rec FED FTE | Gov Rec OTHER DOLLARS | Gov Rec OTHER FTE | Gov Rec TOTAL DOLLARS | Gov Rec TOTAL FTE | Gov Rec One-Time DOLLARS |
| Refunds | <u> </u> | | <u> </u> | | <u>100,000</u> | | <u>100,000</u> | | <u>100,000</u> |
| Total PSD | 0 | | 0 | | 100,000 | | 100,000 | | 100,000 |
| Grand Total | 0 | 0.0 | 0 | 0.0 | 100,000 | 0.0 | 100,000 | 0.0 | 100,000 |
| | | | | | | | | | |
| 5. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional funding.) | | | | | | | | | |
| 5a. Provide an effectiveness measure. N/A | | | | | 5b. Provide an efficiency measure. N/A | | | | |
| 5c. Provide the number of clients/individuals served, if applicable. N/A | | | | | 5d. Provide a customer satisfaction measure, if available. N/A | | | | |
| | | | | | | | | | |
| 6. STRATEGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGETS: | | | | | | | | | |
| | | | | | | | | | |

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|---|-----------|----------------|---------------|---------------|--|-----------|----------------|---------------|---------------|
| Department of Revenue | | | | | Budget Unit <u>86110C</u> | | | | |
| Divisions of Motor Vehicle and Driver Licensing | | | | | | | | | |
| Implement Legislation <u>DI# 2860002</u> | | | | | Original FY 2014 House Bill Section, if applicable <u>4.005</u> | | | | |
| 1. AMOUNT OF REQUEST | | | | | | | | | |
| FY 2014 Supplemental Budget Request | | | | | FY 2014 Supplemental Governor's Recommendation | | | | |
| | GR | Federal | Other | Total | | GR | Federal | Other | Total |
| PS | 0 | 0 | 0 | 0 | PS | 0 | 0 | 0 | 0 |
| EE | 0 | 0 | 59,471 | 59,471 | EE | 0 | 0 | 59,471 | 59,471 |
| PSD | 0 | 0 | 0 | 0 | PSD | 0 | 0 | 0 | 0 |
| TRF | 0 | 0 | 0 | 0 | TRF | 0 | 0 | 0 | 0 |
| Total | 0 | 0 | 59,471 | 59,471 | Total | 0 | 0 | 59,471 | 59,471 |
| FTE | 0.00 | 0.00 | 0.00 | 0.00 | FTE | 0.00 | 0.00 | 0.00 | 0.00 |
| POSITIONS | 0 | 0 | 0 | 0 | POSITIONS | 0 | 0 | 0 | 0 |
| NUMBER OF MONTHS POSITIONS ARE NEEDED: <u>0</u> | | | | | NUMBER OF MONTHS POSITIONS ARE NEEDED: <u>0</u> | | | | |
| Est. Fringe | <u>0</u> | <u>0</u> | <u>0</u> | <u>0</u> | Est. Fringe | <u>0</u> | <u>0</u> | <u>0</u> | <u>0</u> |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | |
| Other Funds: State Highways and Transportation Department Fund (0644) | | | | | Other Funds: State Highways and Transportation Department Fund (0644) | | | | |
| 2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM. | | | | | | | | | |
| The Motor Vehicle and Driver Licensing Division requests supplemental funding to implement legislation for HB 103 (2013), which does the following: | | | | | | | | | |
| 1) It allows the governing body of any state college or university to establish regulations to control vehicular traffic on campus. These violations will have the same affect as a municipal ordinance with points assessed to an offender's driver license; 2) It repeals the provision that required a police report in order for a person to obtain replacement license plate tabs at no cost. The application now only has to be accompanied by a notarized statement verifying that the tabs were stolen; 3) It allows an out-of-state college to continue authorizing the use of its official emblem on the plates; 4) It increases the penalty for a moving violation or traffic offense occurring within an active emergency zone; and 5) It changes the laws regarding commercial motor vehicles to conform to Federal Motor Carrier safety regulations, specifically the federal mandates regarding commercial driver license (CDL) texting, CDL permits, and cellular telephone restrictions. | | | | | | | | | |
| Release of Governor Reserves will cover all expenditures associated with HB 103 except for \$59,471 for ITSD Programming Costs. | | | | | | | | | |

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | | | |
|--|------------------|---|-----------------|--|-------------------|---|-------------------|--------------------------|----------------------|--|------------------|
| Department of Revenue | | Budget Unit <u>86110C</u> | | | | | | | | | |
| Divisions of Motor Vehicle and Driver Licensing | | | | | | | | | | | |
| Implement Legislation | DI# 2860002 | Original FY 2014 House Bill Section, if applicable <u>4.005</u> | | | | | | | | | |
| 3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.) | | | | | | | | | | | |
| <p>The requested FY 2014 costs listed are based upon the TAFP fiscal note or reduced TAFP fiscal note cost.</p> <p>HB 103</p> <table> <tr> <td>Salary (2 RPT's) and Overtime (Forms revision, website updates, system testing)*</td> <td>\$89,937</td> </tr> <tr> <td>Production and mailing of new specialty license plates*</td> <td>1,383</td> </tr> <tr> <td>ITSD Programming Costs**</td> <td>133,711</td> </tr> <tr> <td></td> <td><u>\$225,031</u></td> </tr> </table> <p>* Release of Governor Reserves will cover these expenses ** Release of Governor Reserves will cover all but \$59,471 in expenses.</p> | | | | Salary (2 RPT's) and Overtime (Forms revision, website updates, system testing)* | \$89,937 | Production and mailing of new specialty license plates* | 1,383 | ITSD Programming Costs** | 133,711 | | <u>\$225,031</u> |
| Salary (2 RPT's) and Overtime (Forms revision, website updates, system testing)* | \$89,937 | | | | | | | | | | |
| Production and mailing of new specialty license plates* | 1,383 | | | | | | | | | | |
| ITSD Programming Costs** | 133,711 | | | | | | | | | | |
| | <u>\$225,031</u> | | | | | | | | | | |
| 4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS. | | | | | | | | | | | |
| | Dept Req GR | Dept Req GR | Dept Req FED | Dept Req FED | Dept Req OTHER | Dept Req OTHER | Dept Req TOTAL | Dept Req TOTAL | Dept Req One-Time | | |
| Budget Object Class/Job Class | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | | |
| 400/Professional Services | | | | | 59,471 | | 59,471 | | 59,471 | | |
| Total EE | <u>0</u> | | <u>0</u> | | <u>59,471</u> | | <u>59,471</u> | | <u>59,471</u> | | |
| Grand Total | <u>0</u> | <u>0.0</u> | <u>0</u> | <u>0.0</u> | <u>59,471</u> | <u>0.0</u> | <u>59,471</u> | <u>0.0</u> | <u>59,471</u> | | |
| | Gov Rec GR | Gov Rec GR | Gov Rec FED | Gov Rec FED | Gov Rec OTHER | Gov Rec OTHER | Gov Rec TOTAL | Gov Rec TOTAL | Gov Rec One-Time | | |
| Budget Object Class/Job Class | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | | |
| 400/Professional Services | | | | | 59,471 | | 59,471 | | 59,471 | | |
| Total EE | <u>0</u> | | <u>0</u> | | <u>59,471</u> | | <u>59,471</u> | | <u>59,471</u> | | |
| Grand Total | <u>0</u> | <u>0.0</u> | <u>0</u> | <u>0.0</u> | <u>59,471</u> | <u>0.0</u> | <u>59,471</u> | <u>0.0</u> | <u>59,471</u> | | |

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|--|--|--|--|--|--|--|--|--|--|
| Department of Revenue | | | | | Budget Unit <u>86130C</u> | | | | |
| Division of Legal Services | | | | | | | | | |
| Legal Services Div Federal Personal Service | | | | | DI# 2860003 | | | | |
| | | | | | Original FY 2014 House Bill Section, if applicable <u>4.020</u> | | | | |

| | | | | | | | | | |
|--|-----------|----------------|--------------|----------------|--|-----------|----------------|--------------|----------------|
| 1. AMOUNT OF REQUEST | | | | | | | | | |
| FY 2014 Supplemental Budget Request | | | | | FY 2014 Supplemental Governor's Recommendation | | | | |
| | GR | Federal | Other | Total | | GR | Federal | Other | Total |
| PS | 0 | 133,007 | 0 | 133,007 | PS | 0 | 133,007 | 0 | 133,007 |
| EE | 0 | 0 | 0 | 0 | EE | 0 | 0 | 0 | 0 |
| PSD | 0 | 0 | 0 | 0 | PSD | 0 | 0 | 0 | 0 |
| TRF | 0 | 0 | 0 | 0 | TRF | 0 | 0 | 0 | 0 |
| Total | 0 | 133,007 | 0 | 133,007 | Total | 0 | 133,007 | 0 | 133,007 |
| | | | | | | | | | |
| FTE | 0.00 | 0.00 | 0.00 | 0.00 | FTE | 0.00 | 0.00 | 0.00 | 0.00 |
| POSITIONS | 0 | 0 | 0 | 0 | POSITIONS | 0 | 0 | 0 | 0 |
| NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | | NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | |
| Est. Fringe | 0 | 70,161 | 0 | 70,161 | Est. Fringe | 0 | 70,161 | 0 | 70,161 |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | |
| Other Funds: _____ | | | | | Other Funds: _____ | | | | |
| | | | | | *Note: Fringes will be lower than shown due to overtime fringe rate being used. | | | | |

| | | | | | | | | | |
|--|--|--|--|--|--|--|--|--|--|
| 2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM. | | | | | | | | | |
| <p>The Legal Services Division's Compliance and Investigation Bureau (CIB) received a federal grant from the National Highway Traffic Safety Administration to conduct and support investigations involving odometer and title fraud. The grant funds also allow CIB to provide training to local, county, and state law enforcement agencies on investigating and preventing odometer and title fraud. The grant was approved on July 18, 2013. The total grant award was \$61,439 for 24 months. However, \$55,859 will be awarded in the first 12 months of the grant. This amount includes \$13,238 for agent overtime.</p> <p>The CIB also received a federal grant in January 2013 from the Federal Highway Administration for motor fuel tax enforcement. The total grant award was \$250,000 and expires on September 15, 2015. The grant provides for one FTE dedicated to motor fuel tax enforcement and overtime. The FTE are currently in the Department's budget. This provides for those FTE to be paid from the appropriate fund.</p> | | | | | | | | | |

SUPPLEMENTAL NEW DECISION ITEM

| Department of Revenue | | Budget Unit <u>86130C</u> | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|--|---------------------------------------|--|------------------------|-------------------------|--------------------|-------------------|------------------------|-------------------------|--|----------------------------------|----------------------------|--|--|--|-----------|--|---------------------------------------|-----------|-----------|----------|--|--|---------------------------------------|-----------|------------|-----------|-------------|--|--------------------------------|----------|-----------|----------|--|--|--------------------------------|----------|------------|----------|--|--|--------------------------|-----------|------------|----------|-------------|--|--|--|--|--|--------------------|
| Division of Legal Services | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Legal Services Div Federal Personal Service | DI# <u>2860003</u> | Original FY 2014 House Bill Section, if applicable <u>4.020</u> | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <p>The Legal Services Division's personal service federal fund authority is \$205,168. This authority is used for General Counsel's Office grants received from MoDOT's Highway Safety Division for an attorney and paralegal to work on case files involving intoxication-related license actions on appeal and chemical refusal cases handled by local prosecuting attorneys. The current appropriation authority also funds a CIB grant received from the Federal Highway Administration for motor fuel tax enforcement in 2011. This grant runs through June 30, 2014.</p> <p>The requested increase allows the Department to perform the motor fuel and odometer and title fraud investigations.</p> | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left; width: 15%;"></th> <th style="text-align: left; width: 25%;"><u>Grant</u></th> <th style="text-align: left; width: 20%;"><u>Award Date</u></th> <th style="text-align: left; width: 20%;"><u>Expiration Date</u></th> <th style="text-align: left; width: 20%;"><u>FY14 Expenditure</u></th> <th style="width: 20%;"></th> </tr> </thead> <tbody> <tr> <td>FY14 Legal Services Federal Fund</td> <td>Personal Service Authority</td> <td></td> <td></td> <td></td> <td style="text-align: right;">\$205,168</td> </tr> <tr> <td></td> <td>GCO Attorney/Legal Asst Project (080)</td> <td>10/1/2012</td> <td>9/30/2013</td> <td style="text-align: right;">\$48,174</td> <td></td> </tr> <tr> <td></td> <td>GCO Attorney/Legal Asst Project (081)</td> <td>10/1/2013</td> <td>9/30/2014*</td> <td style="text-align: right; border-bottom: 1px solid black;">\$123,087</td> <td style="text-align: right;">(\$171,261)</td> </tr> <tr> <td></td> <td>CIB Motor Fuel Enforcement 010</td> <td>7/1/2011</td> <td>6/30/2014</td> <td style="text-align: right;">\$55,701</td> <td></td> </tr> <tr> <td></td> <td>CIB Motor Fuel Enforcement 011</td> <td>1/1/2013</td> <td>9/30/2015*</td> <td style="text-align: right;">\$97,975</td> <td></td> </tr> <tr> <td></td> <td>CIB Odometer/Title Fraud</td> <td>7/18/2013</td> <td>7/31/2015*</td> <td style="text-align: right; border-bottom: 1px solid black;">\$13,238</td> <td style="text-align: right; border-bottom: 1px solid black;">(\$166,914)</td> </tr> <tr> <td colspan="5" style="padding-top: 10px;">Total Over FY14 Appropriation Ceiling</td> <td style="text-align: right; border-bottom: 3px double black;">(\$133,007)</td> </tr> </tbody> </table> <p>* Amounts only reflect anticipated expenditures in FY14, not the full grant.</p> | | | | | <u>Grant</u> | <u>Award Date</u> | <u>Expiration Date</u> | <u>FY14 Expenditure</u> | | FY14 Legal Services Federal Fund | Personal Service Authority | | | | \$205,168 | | GCO Attorney/Legal Asst Project (080) | 10/1/2012 | 9/30/2013 | \$48,174 | | | GCO Attorney/Legal Asst Project (081) | 10/1/2013 | 9/30/2014* | \$123,087 | (\$171,261) | | CIB Motor Fuel Enforcement 010 | 7/1/2011 | 6/30/2014 | \$55,701 | | | CIB Motor Fuel Enforcement 011 | 1/1/2013 | 9/30/2015* | \$97,975 | | | CIB Odometer/Title Fraud | 7/18/2013 | 7/31/2015* | \$13,238 | (\$166,914) | Total Over FY14 Appropriation Ceiling | | | | | (\$133,007) |
| | <u>Grant</u> | <u>Award Date</u> | <u>Expiration Date</u> | <u>FY14 Expenditure</u> | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| FY14 Legal Services Federal Fund | Personal Service Authority | | | | \$205,168 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | GCO Attorney/Legal Asst Project (080) | 10/1/2012 | 9/30/2013 | \$48,174 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | GCO Attorney/Legal Asst Project (081) | 10/1/2013 | 9/30/2014* | \$123,087 | (\$171,261) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | CIB Motor Fuel Enforcement 010 | 7/1/2011 | 6/30/2014 | \$55,701 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | CIB Motor Fuel Enforcement 011 | 1/1/2013 | 9/30/2015* | \$97,975 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | CIB Odometer/Title Fraud | 7/18/2013 | 7/31/2015* | \$13,238 | (\$166,914) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Total Over FY14 Appropriation Ceiling | | | | | (\$133,007) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

SUPPLEMENTAL NEW DECISION ITEM

| Department of Revenue | | | | Budget Unit <u>86130C</u> | | | | | |
|--|---------------------------|-----------------------|----------------------------|--|---|--------------------------|------------------------------|--------------------------|---------------------------------|
| Division of Legal Services | | | | | | | | | |
| Legal Services Div Federal Personal Service | | | DI# 2860003 | Original FY 2014 House Bill Section, if applicable <u>4.020</u> | | | | | |
| 4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS. | | | | | | | | | |
| Budget Object Class/Job Class | Dept Req GR DOLLARS | Dept Req GR FTE | Dept Req FED DOLLARS | Dept Req FED FTE | Dept Req OTHER DOLLARS | Dept Req OTHER FTE | Dept Req TOTAL DOLLARS | Dept Req TOTAL FTE | Dept Req One-Time DOLLARS |
| 100/Investigator II | | | 133,007 | | | | 133,007 | 0.0 | 133,007 |
| Total PS | 0 | 0.0 | 133,007 | 0.0 | 0 | 0.0 | 133,007 | 0.0 | 133,007 |
| Grand Total | 0 | 0.0 | 133,007 | 0.0 | 0 | 0.0 | 133,007 | 0.0 | 133,007 |
| Budget Object Class/Job Class | Gov Rec GR DOLLARS | Gov Rec GR FTE | Gov Rec FED DOLLARS | Gov Rec FED FTE | Gov Rec OTHER DOLLARS | Gov Rec OTHER FTE | Gov Rec TOTAL DOLLARS | Gov Rec TOTAL FTE | Gov Rec One-Time DOLLARS |
| 100/Investigator II | | | 133,007 | | | | 0 | 0.0 | 0 |
| Total PS | 0 | 0.0 | 133,007 | 0.0 | 0 | 0.0 | 133,007 | 0.0 | 133,007 |
| Grand Total | 0 | 0.0 | 133,007 | 0.0 | 0 | 0.0 | 133,007 | 0.0 | 133,007 |
| 5. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional funding.) | | | | | | | | | |
| 5a. Provide an effectiveness measure. | | | | | 5b. Provide an efficiency measure. | | | | |
| 5c. Provide the number of clients/individuals served, if applicable. | | | | | 5d. Provide a customer satisfaction measure, if available. | | | | |
| 6. STRATEGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGETS: | | | | | | | | | |
| | | | | | | | | | |

14.060
14.065
14.070

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|---|------------------|----------|----------|------------------|--|------------------|----------|----------|------------------|
| Department of Revenue | | | | | Budget Unit(s) <u>87060C, 87092C, 87091C</u> | | | | |
| Division of Taxation | | | | | | | | | |
| Refunds and Distributions Increase DI# 2860004 | | | | | Original FY 2014 House Bill Section, if applicable <u>4.040, 4.100, 4.105</u> | | | | |
| 1. AMOUNT OF REQUEST | | | | | | | | | |
| FY 2014 Supplemental Budget Request | | | | | FY 2014 Supplemental Governor's Recommendation | | | | |
| | GR | Federal | Other | Total | | GR | Federal | Other | Total |
| PS | 0 | 0 | 0 | 0 | PS | 0 | 0 | 0 | 0 |
| EE | 100,000 | 0 | 0 | 100,000 | EE | 100,000 | 0 | 0 | 100,000 |
| PSD | 470,000 | 0 | 0 | 470,000 | PSD | 470,000 | 0 | 0 | 470,000 |
| TRF | 2,505,000 | 0 | 0 | 2,505,000 | TRF | 2,505,000 | 0 | 0 | 2,505,000 |
| Total | 3,075,000 | 0 | 0 | 3,075,000 | Total | 3,075,000 | 0 | 0 | 3,075,000 |
| FTE | 0.00 | 0.00 | 0.00 | 0.00 | FTE | 0.00 | 0.00 | 0.00 | 0.00 |
| POSITIONS | 0 | 0 | 0 | 0 | POSITIONS | 0 | 0 | 0 | 0 |
| NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | | NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | |
| Est. Fringe | 0 | 0 | 0 | 0 | Est. Fringe | 0 | 0 | 0 | 0 |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | |
| Other Funds: _____ | | | | | Other Funds: _____ | | | | |
| 2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM. | | | | | | | | | |
| <p>Prior to Fiscal Year 2014, the following program specific and transfer appropriations contained an "E". The requested increases more accurately reflect anticipated spending.</p> <p><u>Prosecuting Attorney and Collection Agencies</u></p> <p>The Department of Revenue exercises the statutory authority in Sections 136.150 and 140.850, RSMo, to use outside resources to supplement its collection of delinquent taxes. The Department refers delinquent accounts to local prosecuting attorneys and contracts with private collection agencies. Prosecuting attorneys receive payment of 20 percent of the delinquency collected. The Department awarded contracts through the competitive bid process to two collection agencies at rates between 5.5 percent and 6.49 percent.</p> <p>Department tax collections from the prosecuting attorneys and collection agencies continue to increase from \$15 million in Fiscal Year 2010 to \$19.6 million in Fiscal Year 2013. Tax collections in Fiscal Year 2014 are showing increases over Fiscal Year 2013. The current appropriation is \$3 million.</p> | | | | | | | | | |

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | |
|---|---|---------------------------------------|--------------|--|--------------|----------------|----------------|
| Department of Revenue | | Budget Unit(s) 87060C, 87092C, 87091C | | | | | |
| Division of Taxation | | | | | | | |
| Refunds and Distributions Increase | | DI# 2860004 | | Original FY 2014 House Bill Section, if applicable 4.040, 4.100, 4.105 | | | |
| | | | | | | | |
| Debt Offset Credits | | | | | | | |
| Section 135.815, RSMo, states that prior to authorization of any tax credit application, an administering agency must verify with the Department of Revenue that the tax credit applicant does not owe any delinquent income, sales or use taxes, or interest or penalties on such taxes. | | | | | | | |
| Over the last five fiscal years, the Department applied tax credits toward delinquent taxes ranging from \$238,494 to \$424,562. The current appropriation authority is \$200,000. | | | | | | | |
| Debt Offset Transfer | | | | | | | |
| Sections 143.782 through 143.788, RSMo, allow the Department of Revenue to intercept Missouri income tax refunds on behalf of state agencies, universities, and the federal government for satisfaction of any debt larger than \$25. This appropriation transfers the intercepted refunds from General Revenue to an escrow account allowing the agencies to apply the money toward the debt. The current appropriation is \$11,292,384. | | | | | | | |
| | | | | | | | |
| 3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.) | | | | | | | |
| The requested increases more accurately reflect anticipated spending based on historical expenditures. | | | | | | | |
| Prosecuting Attorneys/Collection Agencies | | | | | | | |
| | | 2010 | 2011 | 2012 | 2013 | Current Approp | Requested Incr |
| | Tax Collections by Prosecuting Attys | \$7,389,224 | \$8,277,089 | \$8,786,761 | \$9,918,691 | | |
| | Tax Collections by Collection Agencies | \$7,578,259 | \$7,501,946 | \$9,531,468 | \$9,637,291 | | |
| | Total Tax Collections by Third Parties | \$14,967,483 | \$15,779,035 | \$18,318,229 | \$19,555,982 | | |
| | Expenditures to Pros. Atty/Collection Agy | \$2,415,473 | \$2,342,807 | \$2,692,942 | \$3,064,934 | \$3,000,000 | \$510,000 |
| Debt Offset Credits | | | | | | | |
| | Credits Applied Towards Delinquencies | \$259,589 | \$159,943 | \$424,562 | \$211,413 | \$200,000 | \$60,000 |
| Debt Offset Transfer | | | | | | | |
| | Debt Offsets | \$11,387,972 | \$11,461,220 | \$14,402,931 | \$13,187,365 | \$11,292,384 | \$2,505,000 |

SUPPLEMENTAL NEW DECISION ITEM

| Department of Revenue | | | Budget Unit(s) 87060C, 87092C, 87091C | | | | | | |
|--|----------------|----------------|--|-----------------|-------------------|-------------------|-------------------|-------------------|----------------------|
| Division of Taxation | | | | | | | | | |
| Refunds and Distributions Increase | | DI# 2860004 | Original FY 2014 House Bill Section, if applicable 4.040, 4.100, 4.105 | | | | | | |
| 4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS. | | | | | | | | | |
| | Dept Req GR | Dept Req GR | Dept Req FED | Dept Req FED | Dept Req OTHER | Dept Req OTHER | Dept Req TOTAL | Dept Req TOTAL | Dept Req One-Time |
| Budget Object Class/Job Class | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS |
| 400/Professional Services | 100,000 | | | | | | 100,000 | | 100,000 |
| Total EE | 100,000 | | 0 | | 0 | | 100,000 | | 100,000 |
| 780/Refunds | 60,000 | | | | | | 60,000 | | 60,000 |
| Program Distributions | 410,000 | | | | | | 410,000 | | 410,000 |
| Total PSD | 470,000 | | 0 | | 0 | | 470,000 | | 470,000 |
| Transfers | 2,505,000 | | | | | | 2,505,000 | | 2,505,000 |
| Total TRF | 2,505,000 | | 0 | | 0 | | 2,505,000 | | 2,505,000 |
| Grand Total | 3,075,000 | 0.0 | 0 | 0.0 | 0 | 0.0 | 3,075,000 | 0.0 | 3,075,000 |
| | Gov Rec GR | Gov Rec GR | Gov Rec FED | Gov Rec FED | Gov Rec OTHER | Gov Rec OTHER | Gov Rec TOTAL | Gov Rec TOTAL | Gov Rec One-Time |
| Budget Object Class/Job Class | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS |
| 400/Professional Services | 100,000 | | | | | | 100,000 | | 100,000 |
| Total EE | 100,000 | | 0 | | 0 | | 100,000 | | 100,000 |
| 780/Refunds | 60,000 | | | | | | 60,000 | | 60,000 |
| Program Distributions | 410,000 | | | | | | 410,000 | | 410,000 |
| Total PSD | 470,000 | | 0 | | 0 | | 470,000 | | 470,000 |
| Transfers | 2,505,000 | | | | | | 2,505,000 | | 2,505,000 |
| Total TRF | 2,505,000 | | 0 | | 0 | | 2,505,000 | | 2,505,000 |
| Grand Total | 3,075,000 | 0.0 | 0 | 0.0 | 0 | 0.0 | 3,075,000 | 0.0 | 3,075,000 |

SUPPLEMENTAL NEW DECISION ITEM

| <div style="border-bottom: 1px solid black; padding-bottom: 2px;">Department of Revenue</div> <div style="border-bottom: 1px solid black; padding-bottom: 2px;">Division of Administration</div> <div style="display: flex; justify-content: space-between; border-bottom: 1px solid black; padding-bottom: 2px;"> <div>Amendment 3 Transfer</div> <div>DI# 2860001</div> </div> | <div style="display: flex; justify-content: space-between; border-bottom: 1px solid black; padding-bottom: 2px;"> <div>Budget Unit</div> <div>87116C</div> </div> <div style="display: flex; justify-content: space-between; border-bottom: 1px solid black; padding-bottom: 2px;"> <div>Original FY 2014 House Bill Section, if applicable</div> <div>4.007</div> </div> | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|---|---|-------------------------------------|-------|-----------|--|--|----|---------|-------|-------|----|---|---|---|---|----|---|---|---|---|-----|---|---|---|---|-----|-----------|---|---|-----------|--------------|-----------|---|---|-----------|--|--|--|--|--|-----|------|------|------|------|-----------|---|---|---|---|--|--|--|--|--|--------------------|---|---|---|---|--|--|--|--|--|--------------|--|--|--|--|--|--|--|--|--|--|--|----|---------|-------|-------|----|---|---|---|---|----|---|---|---|---|-----|---|---|---|---|-----|-----------|---|---|-----------|--------------|-----------|---|---|-----------|--|--|--|--|--|-----|------|------|------|------|-----------|---|---|---|---|--|--|--|--|--|--------------------|---|---|---|---|--|--|--|--|--|--------------|--|--|--|--|
| 1. AMOUNT OF REQUEST | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th></th> <th colspan="4" style="text-align: center; border-bottom: 1px solid black;">FY 2014 Supplemental Budget Request</th> </tr> <tr> <th></th> <th style="text-align: center; border-bottom: 1px solid black;">GR</th> <th style="text-align: center; border-bottom: 1px solid black;">Federal</th> <th style="text-align: center; border-bottom: 1px solid black;">Other</th> <th style="text-align: center; border-bottom: 1px solid black;">Total</th> </tr> </thead> <tbody> <tr> <td>PS</td> <td style="text-align: right;">0</td> <td style="text-align: right;">0</td> <td style="text-align: right;">0</td> <td style="text-align: right;">0</td> </tr> <tr> <td>EE</td> <td style="text-align: right;">0</td> <td style="text-align: right;">0</td> <td style="text-align: right;">0</td> <td style="text-align: right;">0</td> </tr> <tr> <td>PSD</td> <td style="text-align: right;">0</td> <td style="text-align: right;">0</td> <td style="text-align: right;">0</td> <td style="text-align: right;">0</td> </tr> <tr> <td>TRF</td> <td style="text-align: right;">2,195,935</td> <td style="text-align: right;">0</td> <td style="text-align: right;">0</td> <td style="text-align: right;">2,195,935</td> </tr> <tr> <td>Total</td> <td style="text-align: right; border-top: 1px solid black; border-bottom: 3px double black;">2,195,935</td> <td style="text-align: right; border-top: 1px solid black; border-bottom: 3px double black;">0</td> <td style="text-align: right; border-top: 1px solid black; border-bottom: 3px double black;">0</td> <td style="text-align: right; border-top: 1px solid black; border-bottom: 3px double black;">2,195,935</td> </tr> <tr><td colspan="5"> </td></tr> <tr> <td>FTE</td> <td style="text-align: right;">0.00</td> <td style="text-align: right;">0.00</td> <td style="text-align: right;">0.00</td> <td style="text-align: right;">0.00</td> </tr> <tr> <td>POSITIONS</td> <td style="text-align: right;">0</td> <td style="text-align: right;">0</td> <td style="text-align: right;">0</td> <td style="text-align: right;">0</td> </tr> <tr> <td colspan="5">NUMBER OF MONTHS POSITIONS ARE NEEDED: _____</td> </tr> <tr> <td style="border: 1px solid black;">Est. Fringe</td> <td style="text-align: center; border: 1px solid black;">0</td> <td style="text-align: center; border: 1px solid black;">0</td> <td style="text-align: center; border: 1px solid black;">0</td> <td style="text-align: center; border: 1px solid black;">0</td> </tr> <tr> <td colspan="5" style="border: 1px solid black; padding: 2px;"> <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> </td> </tr> <tr> <td colspan="5" style="padding: 5px;">Other Funds:</td> </tr> </tbody> </table> | | FY 2014 Supplemental Budget Request | | | | | GR | Federal | Other | Total | PS | 0 | 0 | 0 | 0 | EE | 0 | 0 | 0 | 0 | PSD | 0 | 0 | 0 | 0 | TRF | 2,195,935 | 0 | 0 | 2,195,935 | Total | 2,195,935 | 0 | 0 | 2,195,935 | | | | | | FTE | 0.00 | 0.00 | 0.00 | 0.00 | POSITIONS | 0 | 0 | 0 | 0 | NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | | Est. 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Fringe | 0 | 0 | 0 | 0 | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | | Other Funds: | | | | |
| | FY 2014 Supplemental Budget Request | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | GR | Federal | Other | Total | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| PS | 0 | 0 | 0 | 0 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| EE | 0 | 0 | 0 | 0 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| PSD | 0 | 0 | 0 | 0 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| TRF | 2,195,935 | 0 | 0 | 2,195,935 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Total | 2,195,935 | 0 | 0 | 2,195,935 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| FTE | 0.00 | 0.00 | 0.00 | 0.00 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| POSITIONS | 0 | 0 | 0 | 0 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Est. Fringe | 0 | 0 | 0 | 0 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| Other Funds: | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | FY 2014 Supplemental Governor's Recommendation | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | GR | Federal | Other | Total | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| PS | 0 | 0 | 0 | 0 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| EE | 0 | 0 | 0 | 0 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| PSD | 0 | 0 | 0 | 0 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| TRF | 2,195,935 | 0 | 0 | 2,195,935 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Total | 2,195,935 | 0 | 0 | 2,195,935 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| FTE | 0.00 | 0.00 | 0.00 | 0.00 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| POSITIONS | 0 | 0 | 0 | 0 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Est. Fringe | 0 | 0 | 0 | 0 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Other Funds: | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Article IV, Sections 29, 30(a), 30(b), and 30(c) of the Constitution of the State of Missouri (Amendment 3) limit the amount of highway funds the Department of Revenue may spend for the cost of collecting highway funds. The limit is up to, but not exceeding, 3 percent of a particular tax or fee collected. In the process of collecting highway funds, the department may not expend more than 3 percent of total collections as collection costs. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | | | | | | | | | |
|---|-------------------|----------------|-----------------|---|-------------------|-------------------|-------------------|-------------------|----------------------|-----------------------|---------------|-------------------|--------------|----------------------------|------------------|-------------------------------------|-------------------|
| Department of Revenue | | | | Budget Unit <u>87116C</u> | | | | | | | | | | | | | |
| Division of Administration | | | | | | | | | | | | | | | | | |
| Amendment 3 Transfer | | DI# 2860001 | | Original FY 2014 House Bill Section, if applicable <u>4.007</u> | | | | | | | | | | | | | |
| 3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.) | | | | | | | | | | | | | | | | | |
| <p>The Missouri Department of Transportation calculates the General Revenue transfer to the State Highways and Transportation Department Fund and the Office of Administration and Department of Revenue review the results.</p> <table border="0"> <tr> <td>Total DOR Collections</td> <td>\$562,700,394</td> </tr> <tr> <td>3% of Collections</td> <td>\$16,881,012</td> </tr> <tr> <td> Total DOR Expenditures</td> <td> \$19,076,947</td> </tr> <tr> <td> Expenditures over 3% limitation</td> <td> (\$2,195,935)</td> </tr> </table> | | | | | | | | | | Total DOR Collections | \$562,700,394 | 3% of Collections | \$16,881,012 | Total DOR Expenditures | \$19,076,947 | Expenditures over 3% limitation | (\$2,195,935) |
| Total DOR Collections | \$562,700,394 | | | | | | | | | | | | | | | | |
| 3% of Collections | \$16,881,012 | | | | | | | | | | | | | | | | |
| Total DOR Expenditures | \$19,076,947 | | | | | | | | | | | | | | | | |
| Expenditures over 3% limitation | (\$2,195,935) | | | | | | | | | | | | | | | | |
| 4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS. | | | | | | | | | | | | | | | | | |
| | Dept Req GR | Dept Req GR | Dept Req FED | Dept Req FED | Dept Req OTHER | Dept Req OTHER | Dept Req TOTAL | Dept Req TOTAL | Dept Req One-Time | | | | | | | | |
| Budget Object Class/Job Class | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | | | | | | | | |
| Transfers | 2,195,935 | | | | | | 2,195,935 | | 2,195,935 | | | | | | | | |
| Total TRF | 2,195,935 | | 0 | | 0 | | 2,195,935 | | 2,195,935 | | | | | | | | |
| Grand Total | 2,195,935 | 0.0 | 0 | 0.0 | 0 | 0.0 | 2,195,935 | 0.0 | 2,195,935 | | | | | | | | |

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|--------------------------------------|-----------------------------------|-------------------------------|------------------------------------|---|--------------------------------------|----------------------------------|--------------------------------------|----------------------------------|---|
| Department of Revenue | | | | Budget Unit <u>87116C</u> | | | | | |
| Division of Administration | | | | | | | | | |
| Amendment 3 Transfer | | | DI# 2860001 | Original FY 2014 House Bill Section, if applicable | | | | | <u>4.007</u> |
| Budget Object Class/Job Class | Gov Rec GR DOLLARS | Gov Rec GR FTE | Gov Rec FED DOLLARS | Gov Rec FED FTE | Gov Rec OTHER DOLLARS | Gov Rec OTHER FTE | Gov Rec TOTAL DOLLARS | Gov Rec TOTAL FTE | Gov Rec One-Time DOLLARS |
| Transfers | 2,195,935 | | 0 | | 0 | | 2,195,935 | | 2,195,935 |
| Total TRF | 2,195,935 | | 0 | | 0 | | 2,195,935 | | 2,195,935 |
| Grand Total | 2,195,935 | 0.0 | 0 | 0.0 | 0 | 0.0 | 2,195,935 | 0.0 | 2,195,935 |

5. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional funding.)

5a. Provide an effectiveness measure.

5c. Provide the number of clients/individuals served, if applicable.

5b. Provide an efficiency measure.

5d. Provide a customer satisfaction measure, if available.

6. STRATEGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGETS:

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|--|------|---------|-----------|-----------|---|------|---------|-------|-------|
| Department of Revenue | | | | | Budget Unit <u>87212C</u> | | | | |
| Missouri Lottery Commission | | | | | | | | | |
| Operating EE Cost-to-Continue | | | | | DI# 2860005 | | | | |
| | | | | | Original FY 2014 House Bill Section, if applicable | | | | |
| | | | | | 4.175 | | | | |
| 1. AMOUNT OF REQUEST | | | | | | | | | |
| FY 2014 Supplemental Budget Request | | | | | FY 2014 Supplemental Governor's Recommendation | | | | |
| | GR | Federal | Other | Total | | GR | Federal | Other | Total |
| PS | 0 | 0 | 0 | 0 | PS | 0 | 0 | 0 | 0 |
| EE | 0 | 0 | 6,000,000 | 6,000,000 | EE | 0 | 0 | 1 | 1 E |
| PSD | 0 | 0 | 0 | 0 | PSD | 0 | 0 | 0 | 0 |
| TRF | 0 | 0 | 0 | 0 | TRF | 0 | 0 | 0 | 0 |
| Total | 0 | 0 | 6,000,000 | 6,000,000 | Total | 0 | 0 | 1 | 1 E |
| FTE | 0.00 | 0.00 | 0.00 | 0.00 | FTE | 0.00 | 0.00 | 0.00 | 0.00 |
| POSITIONS | 0 | 0 | 0 | 0 | POSITIONS | 0 | 0 | 0 | 0 |
| NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | | NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | |
| Est. Fringe | 0 | 0 | 0 | 0 | Est. Fringe | 0 | 0 | 0 | 0 |
| Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation. | | | | | Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation. | | | | |
| Other Funds: Lottery Enterprise Fund (0657) | | | | | Other Funds: Lottery Enterprise Fund (0657) | | | | |
| 2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM. | | | | | | | | | |
| Department request increase assumes \$4.5 million increased expenditures necessary to reach the \$298.5 million FY 14 transfer goal, plus a \$1.5 million safeguard should sales exceed expectations. Note, although the transfer goal is \$298.5 million, FY14 appropriations from the Lottery Proceeds for Education Fund total \$315.6 million. | | | | | | | | | |

SUPPLEMENTAL NEW DECISION ITEM

| | | | |
|-------------------------------|-------------|--|--------|
| Department of Revenue | | Budget Unit | 87212C |
| Missouri Lottery Commission | | | |
| Operating EE Cost-to-Continue | DI# 2860005 | Original FY 2014 House Bill Section, if applicable | 4.175 |

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.)

The FY 14 transfer goal of \$298.5 million is projected to require approximately \$1.177 billion in sales, a \$75 million increase over FY 13 budgeted sales. Costs associated with these increased sales are estimated as follows (rounded to the nearest \$100,000):

Game processing services and telecommunications costs -
\$1,000,000

Self-service machine leasing costs -
\$300,000

Design and printing of Scratchers tickets -
\$3,200,000

Additional cost-to-continue safeguard request = \$1,500,000

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|--|----------|-------------|--------------------|--|-----------|----------|-----------|----------|-----------|
| Department of Revenue | | | Budget Unit 87212C | | | | | | |
| Missouri Lottery Commission | | | | | | | | | |
| Operating EE Cost-to-Continue | | DI# 2860005 | | Original FY 2014 House Bill Section, if applicable | | | | | 4.175 |
| | | | | | | | | | |
| 4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS. | | | | | | | | | |
| | Dept Req | Dept Req | Dept Req | Dept Req | Dept Req | Dept Req | Dept Req | Dept Req | Dept Req |
| | GR | GR | FED | FED | OTHER | OTHER | TOTAL | TOTAL | One-Time |
| Budget Object Class/Job Class | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS |
| 400/Professional Services | | | | | 5,600,000 | | 5,600,000 | | 5,600,000 |
| 690/Equipment Rental & Leases | | | | | 400,000 | | 400,000 | | 400,000 |
| Total EE | 0 | | 0 | | 6,000,000 | | 6,000,000 | | 6,000,000 |
| | | | | | | | | | |
| Grand Total | 0 | 0.0 | 0 | 0.0 | 6,000,000 | 0.0 | 6,000,000 | 0.0 | 6,000,000 |
| | | | | | | | | | |
| | Gov Rec | Gov Rec | Gov Rec | Gov Rec | Gov Rec | Gov Rec | Gov Rec | Gov Rec | Gov Rec |
| | GR | GR | FED | FED | OTHER | OTHER | TOTAL | TOTAL | One-Time |
| Budget Object Class/Job Class | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS |
| 400/Professional Services | | | | | 1 | | 1 | | 1 |
| Total EE | 0 | | 0 | | 1 | | 1 | | 1 |
| | | | | | | | | | |
| Grand Total | 0 | 0.0 | 0 | 0.0 | 1 | 0.0 | 1 | 0.0 | 1 |

SUPPLEMENTAL NEW DECISION ITEM

Department of Transportation

Budget Unit: 60560C

Division of Maintenance

Highway Safety Grants

DI# 2605001

Original FY 2013 House Bill Section, if applicable: 4.420

1. AMOUNT OF REQUEST

| FY 2014 Supplemental Budget Request | | | | |
|-------------------------------------|----|-----------|-------|-----------|
| | GR | Federal | Other | Total |
| PS | 0 | 0 | 0 | 0 |
| EE | 0 | 0 | 0 | 0 |
| PSD | 0 | 4,000,000 | 0 | 4,000,000 |
| TRF | 0 | 0 | 0 | 0 |
| Total | 0 | 4,000,000 | 0 | 4,000,000 |

FTE 0.00 0.00 0.00 0.00
 POSITIONS 0 0 0 0

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

| | | | | |
|-------------|---|---|---|---|
| Est. Fringe | 0 | 0 | 0 | 0 |
|-------------|---|---|---|---|

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

| FY 2014 Supplemental Governor's Recommendation | | | | |
|--|----|-----------|-------|-----------|
| | GR | Federal | Other | Total |
| PS | 0 | 0 | 0 | 0 |
| EE | 0 | 0 | 0 | 0 |
| PSD | 0 | 4,000,000 | 0 | 4,000,000 |
| TRF | 0 | 0 | 0 | 0 |
| Total | 0 | 4,000,000 | 0 | 4,000,000 |

FTE 0.00 0.00 0.00 0.00
 POSITIONS 0 0 0 0

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

| | | | | |
|-------------|---|---|---|---|
| Est. Fringe | 0 | 0 | 0 | 0 |
|-------------|---|---|---|---|

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

This supplemental request is for various Highway Safety grant programs. The goal is for the program to help reduce death and injury resulting from traffic crashes through education, enforcement and engineering. Due to a federal delay in the release of funds, MODOT received the Highway Safety allocations for FY 13 and FY14 in FY14. This supplemental gives MoDOT the needed authority to spend dollars from both years. Failure to spend the FY 13 allocation by the end of FY 14 will result in the loss of FY 13 dollars.

SUPPLEMENTAL NEW DECISION ITEM

Department of Transportation

Budget Unit: 60560C

Division of Maintenance

Highway Safety Grants

DI# 2605001

Original FY 2013 House Bill Section, if applicable: 4.420

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.)

This supplemental request for \$4,000,000 was determined based on federal funding now available under the federal transportation reauthorization act, Moving Ahead for Progress in the 21st Century (MAP-21).

4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS.

| Budget Object Class/Job Class | Dept Req GR DOLLARS | Dept Req GR FTE | Dept Req FED DOLLARS | Dept Req FED FTE | Dept Req OTHER DOLLARS | Dept Req OTHER FTE | Dept Req TOTAL DOLLARS | Dept Req TOTAL FTE | Dept Req One-Time DOLLARS |
|-------------------------------|---------------------------|-----------------------|----------------------------|------------------------|------------------------------|--------------------------|------------------------------|--------------------------|---------------------------------|
| Program Distributions | | | 4,000,000 | | | | 4,000,000 | | 4,000,000 |
| Total PSD | 0 | | 4,000,000 | | 0 | | 4,000,000 | | 4,000,000 |
| Grand Total | 0 | 0.0 | 4,000,000 | 0.0 | 0 | 0.0 | 4,000,000 | 0.0 | 4,000,000 |

| Budget Object Class/Job Class | Gov Rec GR DOLLARS | Gov Rec GR FTE | Gov Rec FED DOLLARS | Gov Rec FED FTE | Gov Rec OTHER DOLLARS | Gov Rec OTHER FTE | Gov Rec TOTAL DOLLARS | Gov Rec TOTAL FTE | Gov Rec One-Time DOLLARS |
|-------------------------------|--------------------------|----------------------|---------------------------|-----------------------|-----------------------------|-------------------------|-----------------------------|-------------------------|--------------------------------|
| Program Distributions | | | 4,000,000 | | | | 4,000,000 | | 4,000,000 |
| Total PSD | 0 | | 4,000,000 | | 0 | | 4,000,000 | | 4,000,000 |
| Grand Total | 0 | 0.0 | 4,000,000 | 0.0 | 0 | 0.0 | 4,000,000 | 0.0 | 4,000,000 |

SUPPLEMENTAL NEW DECISION ITEM

Department of Transportation

Budget Unit: 60560C

Division of Maintenance

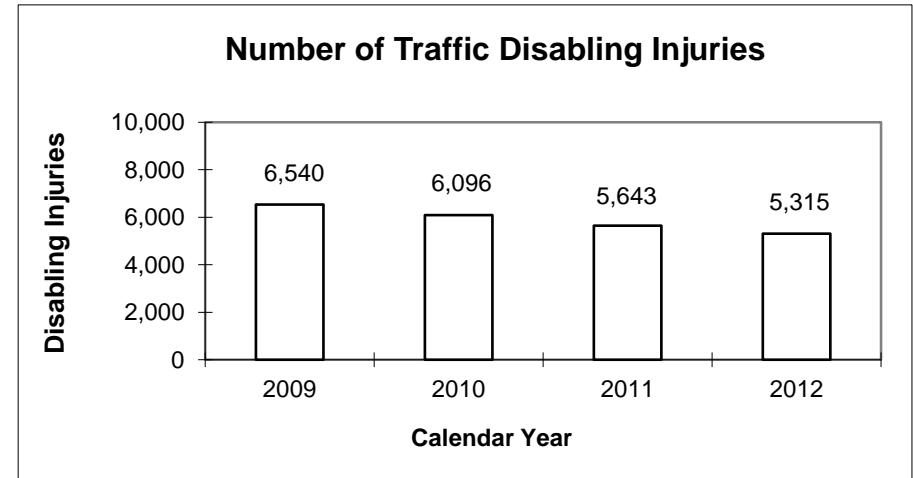
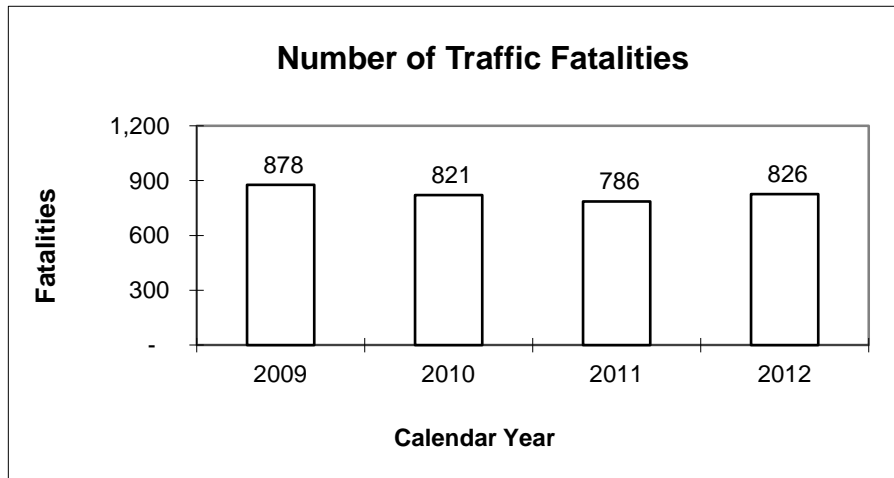
Highway Safety Grants

DI# 2605001

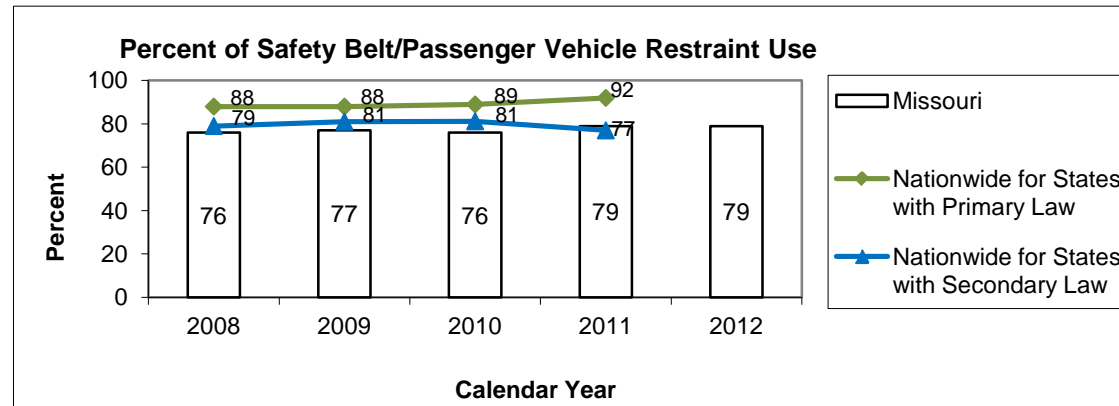
Original FY 2013 House Bill Section, if applicable: 4.420

5. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional funding.)

5a. Provide an effectiveness measure.



5b. Provide an efficiency measure.



SUPPLEMENTAL NEW DECISION ITEM

Department of Transportation

Budget Unit: 60522C

Division of Multimodal Operations

Core: Multimodal Administration DI# 2605002Original FY 2014 House Bill Section, if applicable: 4.445

1. CORE FINANCIAL SUMMARY

| FY 2014 Budget Request | | | | |
|--|------|---------|--------|--------|
| | GR | Federal | Other | Total |
| PS | 0 | 0 | 0 | 0 |
| EE | 0 | 49,625 | 12,406 | 62,031 |
| PSD | 0 | 0 | 0 | 0 |
| Total | 0 | 49,625 | 12,406 | 62,031 |
| FTE | 0.00 | 0.00 | 0.00 | 0.00 |
| POSITIONS | 0 | 0 | 0 | 0 |
| NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | |

| | | | | |
|--|---|---|---|---|
| Est. Fringe | 0 | 0 | 0 | 0 |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | |

Other Funds: State Transportation Fund (0675)

| FY 2014 Governor's Recommendation | | | | |
|--|------|---------|--------|--------|
| | GR | Federal | Other | Total |
| PS | 0 | 0 | 0 | 0 |
| EE | 0 | 49,625 | 12,406 | 62,031 |
| PSD | 0 | 0 | 0 | 0 |
| Total | 0 | 49,625 | 12,406 | 62,031 |
| FTE | 0.00 | 0.00 | 0.00 | 0.00 |
| POSITIONS | 0 | 0 | 0 | 0 |
| NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | |

| | | | | |
|--|---|---|---|---|
| Est. Fringe | 0 | 0 | 0 | 0 |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | |

Other Funds: State Transportation Fund (0675)

2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

With the passage of the new federal authorization act, Moving Ahead for Progress in the 21st Century (MAP-21), the Federal Transit Administration changed the funding for the State Safety Oversight Program for light rail transit systems. This program was previously funded by the local rail operator and is now mandated to be funded 80 percent by Federal funds and 20 percent by state match.

SUPPLEMENTAL NEW DECISION ITEM

Department of Transportation

Budget Unit: 60522C

Division of Multimodal Operations

Core: Multimodal Administration DI# 2605002

Original FY 2014 House Bill Section, if applicable: 4.445

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.)

The increase was determined based on federal funding now available under the federal transportation reauthorization act, Moving Ahead for Progress in the 21st Century (MAP-21), and the required state match.

4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS.

| | Dept Req GR DOLLARS | Dept Req GR FTE | Dept Req FED DOLLARS | Dept Req FED FTE | Dept Req OTHER DOLLARS | Dept Req OTHER FTE | Dept Req TOTAL DOLLARS | Dept Req TOTAL FTE | Dept Req One-Time DOLLARS |
|-------------------------------|---------------------------|-----------------------|----------------------------|------------------------|------------------------------|--------------------------|------------------------------|--------------------------|---------------------------------|
| Budget Object Class | | | | | | | | | |
| 140/Travel, In-State | | | 24,813 | | | | 24,813 | | 24,813 |
| 190/Supplies | | | | | 2,000 | | 2,000 | | 2,000 |
| 320/Professional Development | | | 24,812 | | 2,000 | | 26,812 | | 26,812 |
| 340/Communication Serv & Supp | | | | | 2,500 | | 2,500 | | 2,500 |
| 400/Professional Services | | | | | 3,000 | | 3,000 | | 3,000 |
| 480/Computer Equipment | | | | | 1,500 | | 1,500 | | 1,500 |
| 580/Office Equipment | | | | | 306 | | 306 | | 306 |
| 590/Other Equipment | | | | | 100 | | 100 | | 100 |
| 740/Miscellaneous Expenses | | | | | 1,000 | | 1,000 | | 1,000 |
| Total EE | 0 | | 49,625 | | 12,406 | | 62,031 | | 62,031 |
| Grand Total | 0 | 0.0 | 49,625 | 0.0 | 12,406 | 0.0 | 62,031 | 0.0 | 62,031 |

| SUPPLEMENTAL NEW DECISION ITEM | | | | | | | | | |
|-----------------------------------|--------------------------|----------------------|---------------------------|----------------------------|--|-------------------------|-----------------------------|-------------------------|--------------------------------|
| Department of Transportation | | | | Budget Unit: <u>60522C</u> | | | | | |
| Division of Multimodal Operations | | | | | | | | | |
| Core: Multimodal Administration | | | DI# 2605002 | | Original FY 2014 House Bill Section, if applicable: <u>4.445</u> | | | | |
| Budget Object Class | Gov Rec GR DOLLARS | Gov Rec GR FTE | Gov Rec FED DOLLARS | Gov Rec FED FTE | Gov Rec OTHER DOLLARS | Gov Rec OTHER FTE | Gov Rec TOTAL DOLLARS | Gov Rec TOTAL FTE | Gov Rec One-Time DOLLARS |
| 140/Travel, In-State | | | 24,813 | | | | 24,813 | | 24,813 |
| 190/Supplies | | | | | 2,000 | | 2,000 | | 2,000 |
| 320/Professional Development | | | 24,812 | | 2,000 | | 26,812 | | 26,812 |
| 340/Communication Serv & Supp | | | | | 2,500 | | 2,500 | | 2,500 |
| 400/Professional Services | | | | | 3,000 | | 3,000 | | 3,000 |
| 480/Computer Equipment | | | | | 1,500 | | 1,500 | | 1,500 |
| 580/Office Equipment | | | | | 306 | | 306 | | 306 |
| 590/Other Equipment | | | | | 100 | | 100 | | 100 |
| 740/Miscellaneous Expenses | | | | | 1,000 | | 1,000 | | 1,000 |
| Total EE | <u>0</u> | | <u>49,625</u> | | <u>12,406</u> | | <u>62,031</u> | | <u>62,031</u> |
| Grand Total | <u>0</u> | <u>0.0</u> | <u>49,625</u> | <u>0.0</u> | <u>12,406</u> | <u>0.0</u> | <u>62,031</u> | <u>0.0</u> | <u>62,031</u> |

5. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional funding.)

5a. Provide an effectiveness measure.

State Funding for Multimodal Programs

(Dollars in millions)

| Fiscal Year | Transit | Rail | Waterways | Aviation | Total ¹ |
|-------------|---------|------|-----------|----------|--------------------|
| 2010 | 6.6 | 3.9 | 0.6 | 8.6 | 19.7 |
| 2011 | 3.0 | 9.6 | 0.5 | 8.6 | 21.7 |
| 2012 | 3.0 | 9.4 | 0.6 | 8.6 | 21.6 |
| 2013 | 3.0 | 11.9 | 0.4 | 11.0 | 26.3 |

¹Truly Agreed and Finally Passed (TAFP) less Restrictions and Vetoes

SUPPLEMENTAL NEW DECISION ITEM

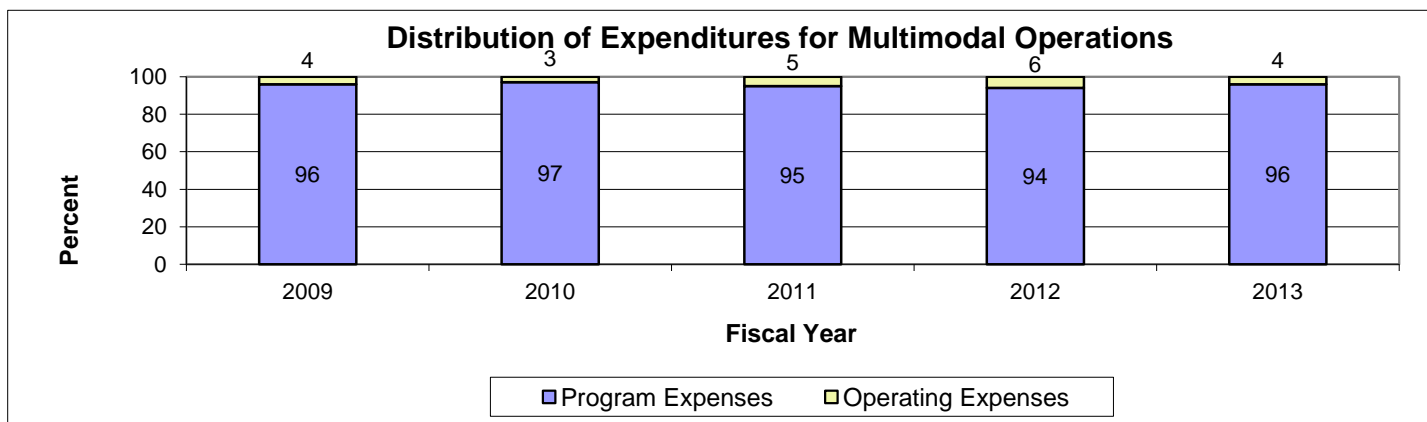
Department of Transportation
Division of Multimodal Operations
Core: Multimodal Administration

DI# 2605002

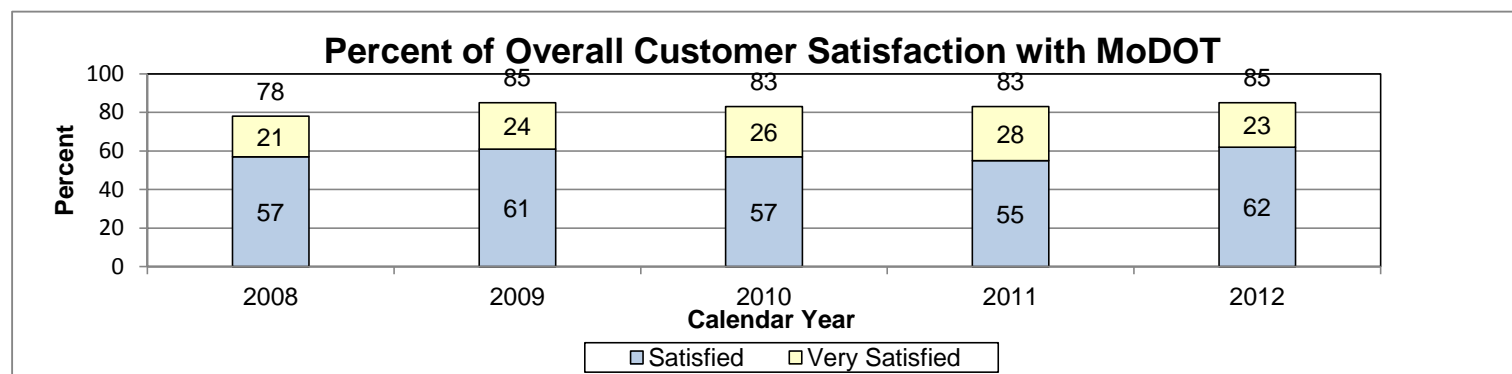
Budget Unit: 60522C

Original FY 2014 House Bill Section, if applicable: 4.445

5b. Provide an efficiency measure.



5d. Provide a customer satisfaction measure, if available.



This data is collected annually from 3,500 random adult telephone interviews.

MoDOT's customer is the public. This measure tracks MoDOT's progress toward the mission of delighting its customers.

6. STRATEGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGETS:

This request for additional funds will assist in the continuation of the core for administration of Multimodal Operations.

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|---|----------|----------|----------------|----------------|--|----------|----------|----------------|----------------|
| Office of Administration | | | | | Budget Unit <u>30615C</u> | | | | |
| Information Technology Services Division | | | | | | | | | |
| ITSD Flexibility DI# 2300002 | | | | | Original FY 2014 House Bill Section, if applicable <u>5.020</u> | | | | |
| 1. AMOUNT OF REQUEST | | | | | | | | | |
| FY 2014 Supplemental Budget Request | | | | | FY 2014 Supplemental Governor's Recommendation | | | | |
| | GR | Federal | Other | Total | | GR | Federal | Other | Total |
| PS | 0 | 0 | 331,750 | 331,750 | PS | 0 | 0 | 331,750 | 331,750 |
| EE | 0 | 0 | 281,850 | 281,850 | EE | 0 | 0 | 281,850 | 281,850 |
| PSD | 0 | 0 | 0 | 0 | PSD | 0 | 0 | 0 | 0 |
| TRF | 0 | 0 | 0 | 0 | TRF | 0 | 0 | 0 | 0 |
| Total | 0 | 0 | 613,600 | 613,600 | Total | 0 | 0 | 613,600 | 613,600 |
| FTE | 0.00 | 0.00 | 0.00 | 0.00 | FTE | 0.00 | 0.00 | 0.00 | 0.00 |
| POSITIONS | 0 | 0 | 0 | 0 | POSITIONS | 0 | 0 | 0 | 0 |
| NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | | NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | |
| Est. Fringe | 0 | 0 | 174,998 | 174,998 | Est. Fringe | 0 | 0 | 174,998 | 174,998 |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | |
| Other Funds: Various Other Funds | | | | | Other Funds: Various Other Funds | | | | |
| 2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM. | | | | | | | | | |
| <p>ITSD services are funded from greater than 100 appropriations (other funds) ranging from \$1 to \$7 million. Additional appropriation authority for FY 2014 is requested to help manage the varying needs for departments supported by ITSD. Changing needs of the departments served by ITSD require that FY 2014 funding be increased so that critical IT projects can be completed. PS dollars are not for new FTE, but to ensure that FTE are paid from the appropriate fund source.</p> | | | | | | | | | |

SUPPLEMENTAL NEW DECISION ITEM

| Office of Administration | | | | Budget Unit <u>30615C</u> | | | | | |
|---|------------------------------------|--------------------------------|-------------------------------------|--|---------------------------------------|-----------------------------------|---------------------------------------|-----------------------------------|--|
| Information Technology Services Division | | | | | | | | | |
| ITSD Flexibility | | DI# 2300002 | | Original FY 2014 House Bill Section, if applicable <u>5.020</u> | | | | | |
| 3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.) | | | | | | | | | |
| <p>The request is based on the projected needs of the departments supported by ITSD. Flex between funds was removed in FY14. The impact of not increasing the appropriation authority could include projects remaining incomplete, delays until the next fiscal year, and failure to reimburse the Missouri Revolving Information Technology Trust Fund for state data center or telecommunication/network expenses until FY15. Total ITSD Flex Request: \$613,600 (\$616,937 less \$3,337 FY14 Gov Reserves).</p> | | | | | | | | | |
| 4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS. | | | | | | | | | |
| Budget Object Class/Job Class | Dept Req GR DOLLARS | Dept Req GR FTE | Dept Req FED DOLLARS | Dept Req FED FTE | Dept Req OTHER DOLLARS | Dept Req OTHER FTE | Dept Req TOTAL DOLLARS | Dept Req TOTAL FTE | Dept Req One-Time DOLLARS |
| 100/Information Technologist II | | | | | 10,000 | | 10,000 | | 10,000 |
| 100/Information Technologist III | | | | | 70,000 | | 70,000 | | 70,000 |
| 100/Information Technologist IV | | | | | 91,500 | | 91,500 | | 91,500 |
| 100/Computer Info Tech Supervisor II | | | | | 3,500 | | 3,500 | | 3,500 |
| 100/Information Technology Spec I | | | | | 87,750 | | 87,750 | | 87,750 |
| 100/Information Technology Spec II | | | | | 69,000 | | 69,000 | | 69,000 |
| Total PS | 0 | 0.0 | 0 | 0.0 | 331,750 | 0.0 | 331,750 | 0.0 | 331,750 |
| 340/Communication Serv & Supp | | | | | 12,000 | | 12,000 | | 12,000 |
| 400/Professional Services | | | | | 8,600 | | 8,600 | | 8,600 |
| 430/M&R Services | | | | | 153,000 | | 153,000 | | 153,000 |
| 480/Computer Equipment | | | | | 108,150 | | 108,150 | | 108,150 |
| 590/Other Equipment | | | | | 100 | | 100 | | 100 |
| Total EE | 0 | | 0 | | 281,850 | | 281,850 | | 281,850 |
| Grand Total | 0 | 0.0 | 0 | 0.0 | 613,600 | 0.0 | 613,600 | 0.0 | 613,600 |

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|---|-----------------------------------|-------------------------------|------------------------------------|--|--------------------------------------|----------------------------------|--------------------------------------|----------------------------------|---|
| Office of Administration | | | | Budget Unit <u>30615C</u> | | | | | |
| Information Technology Services Division | | | | | | | | | |
| ITSD Flexibility | | DI# 2300002 | | Original FY 2014 House Bill Section, if applicable <u>5.020</u> | | | | | |
| Budget Object Class/Job Class | Gov Rec GR DOLLARS | Gov Rec GR FTE | Gov Rec FED DOLLARS | Gov Rec FED FTE | Gov Rec OTHER DOLLARS | Gov Rec OTHER FTE | Gov Rec TOTAL DOLLARS | Gov Rec TOTAL FTE | Gov Rec One-Time DOLLARS |
| 100/Information Technologist II | | | | | 10,000 | | 10,000 | | 10,000 |
| 100/Information Technologist III | | | | | 70,000 | | 70,000 | | 70,000 |
| 100/Information Technologist IV | | | | | 91,500 | | 91,500 | | 91,500 |
| 100/Computer Info Tech Supervisor II | | | | | 3,500 | | 3,500 | | 3,500 |
| 100/Information Technology Spec I | | | | | 87,750 | | 87,750 | | 87,750 |
| 100/Information Technology Spec II | | | | | 69,000 | | 69,000 | | 69,000 |
| Total PS | 0 | 0.0 | 0 | 0.0 | 331,750 | 0.0 | 331,750 | 0.0 | 331,750 |
| 340/Communication Serv & Supp | | | | | 12,000 | | 12,000 | | 12,000 |
| 400/Professional Services | | | | | 8,600 | | 8,600 | | 8,600 |
| 430/M&R Services | | | | | 153,000 | | 153,000 | | 153,000 |
| 480/Computer Equipment | | | | | 108,150 | | 108,150 | | 108,150 |
| 590/Other Equipment | | | | | 100 | | 100 | | 100 |
| Total EE | 0 | | 0 | | 281,850 | | 281,850 | | 281,850 |
| Grand Total | 0 | 0.0 | 0 | 0.0 | 613,600 | 0.0 | 613,600 | 0.0 | 613,600 |

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|--|----------|------------------|----------|------------------|--|----------|------------------|----------|------------------|
| Office of Administration | | | | | Budget Unit <u>30625C</u> | | | | |
| Information Technology Services Division | | | | | | | | | |
| Rural Broadband | | | | | Original FY 2014 House Bill Section, if applicable <u>5.030</u> | | | | |
| DI# 2300006 | | | | | | | | | |
| 1. AMOUNT OF REQUEST | | | | | | | | | |
| FY 2014 Supplemental Budget Request | | | | | FY 2014 Supplemental Governor's Recommendation | | | | |
| | GR | Federal | Other | Total | | GR | Federal | Other | Total |
| PS | 0 | 0 | 0 | 0 | PS | 0 | 0 | 0 | 0 |
| EE | 0 | 1,078,234 | 0 | 1,078,234 | EE | 0 | 1,078,234 | 0 | 1,078,234 |
| PSD | 0 | 0 | 0 | 0 | PSD | 0 | 0 | 0 | 0 |
| TRF | 0 | 0 | 0 | 0 | TRF | 0 | 0 | 0 | 0 |
| Total | 0 | 1,078,234 | 0 | 1,078,234 | Total | 0 | 1,078,234 | 0 | 1,078,234 |
| FTE | 0.00 | 0.00 | 0.00 | 0.00 | FTE | 0.00 | 0.00 | 0.00 | 0.00 |
| POSITIONS | 0 | 0 | 0 | 0 | POSITIONS | 0 | 0 | 0 | 0 |
| NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | | NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | |
| Est. Fringe | 0 | 0 | 0 | 0 | Est. Fringe | 0 | 0 | 0 | 0 |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | |
| Other Funds: Federal Stimulus-OA (2236) | | | | | Other Funds: Federal Stimulus-OA (2236) | | | | |
| 2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM. | | | | | | | | | |
| <p>Expenditures that were anticipated to be spent in FY 2013 will now be spent in FY 2014. The supplemental request will provide additional appropriation authority so that these expenditures can be made in FY 2014.</p> <p>The Office of Administration was awarded a five-year grant from the National Telecommunications and Information Administration to establish a coordinated state broadband information program. Through October 2014, MoBroadbandNow will collect and verify broadband data and information; publish state and regional broadband maps on accessibility, speed, and provider availability, among others; provide technical assistance; establish regional technology planning teams and develop strategic broadband outcomes; build relationships with Internet Service Providers and community stakeholders; convene public forums and perform outreach; and track progress and provide transparency on infrastructure projects.</p> | | | | | | | | | |

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|---|--------------------|--|--|--|--|--|--|--|--|
| Office of Administration | | | Budget Unit <u>30625C</u> | | | | | | |
| Information Technology Services Division | | | | | | | | | |
| Rural Broadband | DI# 2300006 | | Original FY 2014 House Bill Section, if applicable <u>5.030</u> | | | | | | |

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.)

At the end of FY 2013, there were \$3,325,233 in grant funds remaining for the Rural Broadband project. A total of \$2,246,999 is currently appropriated for FY 2014. It is anticipated around \$600,000 will be spent in FY 2015, but may be spent in FY 2014. This supplemental request would allow for all funds to be spent in FY 2014 if needed.

4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS.

| Budget Object Class/Job Class | Dept Req GR DOLLARS | Dept Req GR FTE | Dept Req FED DOLLARS | Dept Req FED FTE | Dept Req OTHER DOLLARS | Dept Req OTHER FTE | Dept Req TOTAL DOLLARS | Dept Req TOTAL FTE | Dept Req One-Time DOLLARS |
|-------------------------------|---------------------------|-----------------------|----------------------------|------------------------|------------------------------|--------------------------|------------------------------|--------------------------|---------------------------------|
| 400/Professional Services | _____ | | 1,078,234 | | 0 | | 1,078,234 | | 1,078,234 |
| Total EE | 0 | | 1,078,234 | | 0 | | 1,078,234 | | 1,078,234 |
| Grand Total | 0 | 0.0 | 1,078,234 | 0.0 | 0 | 0.0 | 1,078,234 | 0.0 | 1,078,234 |

| Budget Object Class/Job Class | Gov Rec GR DOLLARS | Gov Rec GR FTE | Gov Rec FED DOLLARS | Gov Rec FED FTE | Gov Rec OTHER DOLLARS | Gov Rec OTHER FTE | Gov Rec TOTAL DOLLARS | Gov Rec TOTAL FTE | Gov Rec One-Time DOLLARS |
|-------------------------------|--------------------------|----------------------|---------------------------|-----------------------|-----------------------------|-------------------------|-----------------------------|-------------------------|--------------------------------|
| 400/Professional Services | _____ | | 1,078,234 | | 0 | | 1,078,234 | | 1,078,234 |
| Total EE | 0 | | 1,078,234 | | 0 | | 1,078,234 | | 1,078,234 |
| Grand Total | 0 | 0.0 | 1,078,234 | 0.0 | 0 | 0.0 | 1,078,234 | 0.0 | 1,078,234 |

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|---|--|--|--|--|--|--|--|--|--|
| Office of Administration | | | | | Budget Unit <u>31041C</u> | | | | |
| Division of Facilities Management, Design & Construction | | | | | | | | | |
| Asset Management--Fuel & Utilities DI #2300012 | | | | | Original FY 2014 House Bill Section, if applicable <u>5.085</u> | | | | |

1. AMOUNT OF REQUEST

| | FY 2014 Supplemental Budget Request | | | |
|--|-------------------------------------|----------|----------|----------|
| | GR | Federal | Other | Total |
| PS | 0 | 0 | 0 | 0 |
| EE | 0 | 0 | 0 | 0 |
| PSD | 0 | 0 | 0 | 0 |
| TRF | 0 | 0 | 0 | 0 |
| Total | 0 | 0 | 0 | 0 |
| | | | | |
| FTE | 0.00 | 0.00 | 0.00 | 0.00 |
| POSITIONS | 0 | 0 | 0 | 0 |
| NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | |

| | | | | |
|--------------------|---|---|---|---|
| Est. Fringe | 0 | 0 | 0 | 0 |
|--------------------|---|---|---|---|

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

*As the department submitted the request after the October 1st deadline, the request amount shows zero.

| | FY 2014 Supplemental Governor's Recommendation | | | |
|--|--|----------|----------------|----------------|
| | GR | Federal | Other | Total |
| PS | 0 | 0 | 0 | 0 |
| EE | 0 | 0 | 500,000 | 500,000 |
| PSD | 0 | 0 | 0 | 0 |
| TRF | 0 | 0 | 0 | 0 |
| Total | 0 | 0 | 500,000 | 500,000 |
| | | | | |
| FTE | 0.00 | 0.00 | 0.00 | 0.00 |
| POSITIONS | 0 | 0 | 0 | 0 |
| NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | |

| | | | | |
|--------------------|---|---|---|---|
| Est. Fringe | 0 | 0 | 0 | 0 |
|--------------------|---|---|---|---|

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: State Facility Maintenance & Operation (0501)

2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

This request seeks additional spending authority for FY14 fuel & utilities at state owned facilities and institutions. Prices have increased at a higher than anticipated rate over the first six months of this fiscal year.

OA-Facilities Management, Design and Construction bills various funds in HB 13 to support the state's real estate services, including fuel and utilities. Upon receiving the funds from HB 13, FMDC deposits those funds in the State Facility Maintenance and Operation Fund (SMOF). SMOF funds then pay the fuel and utilities bills. Currently, there is insufficient Expense and Equipment appropriation authority for FY14 Fuel and Utility costs.

This NDI will increase SMOF expense and equipment spending authority to meet projected FY14 Fuel and Utility needs. As HB 13 contains sufficient authority to meet demand, this SMOF increase is only in authority to spend for expense and equipment outlays, not an increase in the overall resources allotted to real estate.

SUPPLEMENTAL NEW DECISION ITEM

| Office of Administration | | Budget Unit | 31041C | | | | | | |
|---|---------------------------|---|----------------------------|------------------------|------------------------------|--------------------------|------------------------------|--------------------------|---------------------------------|
| Division of Facilities Management, Design & Construction | | | | | | | | | |
| Asset Management--Fuel & Utilities | DI #2300012 | Original FY 2014 House Bill Section, if applicable | 5.085 | | | | | | |
| | | | | | | | | | |
| 3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.) | | | | | | | | | |
| <p>As of December 31, 2013, fuel and utilities have increased 5.4% over last fiscal year expenditures during this same time-frame. Based on the current utility trend, it appears core funding appropriated for FY14 to support the Facilities Management, Design and Construction facilities will be inadequate. OA-FMDC will use flexibility between PS and E&E to cover a portion of the total utility need.</p> <p> \$47,690,775 Fiscal Year 2014 - Fuel & Utility Budget (\$24,648,862) Current expenditures for June thru December 2013 \$23,041,913 Appropriation available for January thru June expenditures (\$24,038,525) Estimate based on AVG expenses from January-June FY2008 to FY2013 (\$996,612) Estimated Shortfall prior to inflation (\$1,031,253) Est. 4.29% increase from budgeted amount as of Dec 2013 on AVG expenditures needs (\$2,027,865) Estimated shortfall for FY2014 \$1,500,000 Current estimate of PS Flexibility to E&E with release of Governor Reserve in HB13 (\$527,865) Fiscal Year 2014 Supplemental appropriation authority increase need in HB5 </p> | | | | | | | | | |
| 4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS. | | | | | | | | | |
| Budget Object Class/Job Class | Dept Req GR DOLLARS | Dept Req GR FTE | Dept Req FED DOLLARS | Dept Req FED FTE | Dept Req OTHER DOLLARS | Dept Req OTHER FTE | Dept Req TOTAL DOLLARS | Dept Req TOTAL FTE | Dept Req One-Time DOLLARS |
| Grand Total | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0.0 |

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|--|---------------|---------------|--|----------------|------------------|------------------|------------------|------------------|---------------------|
| Office of Administration | | | Budget Unit 31041C | | | | | | |
| Division of Facilities Management, Design & Construction | | | | | | | | | |
| Asset Management--Fuel & Utilities | | DI #2300012 | Original FY 2014 House Bill Section, if applicable | | | | | 5.085 | |
| | | | | | | | | | |
| | | | | | | | | | |
| | Gov Rec GR | Gov Rec GR | Gov Rec FED | Gov Rec FED | Gov Rec OTHER | Gov Rec OTHER | Gov Rec TOTAL | Gov Rec TOTAL | Gov Rec |
| Budget Object Class/Job Class | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | One-Time DOLLARS |
| 180/Fuel & Utilities | | | | | 500,000 | | 500,000 | | 500,000 |
| Total EE | 0 | | 0 | | 500,000 | | 500,000 | | 500,000 |
| | | | | | | | | | |
| Grand Total | 0 | 0.0 | 0 | 0.0 | 500,000 | 0.0 | 500,000 | 0.0 | 500,000 |

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|---|--|--|--|--|---|--|--|--|--|
| Office of Administration | | | | | Budget Unit 31212 | | | | |
| Administrative Hearing Commission | | | | | | | | | |
| Administrative Hearing Commission PS DI# 2300010 | | | | | Original FY 2014 House Bill Section, if applicable 5.130 | | | | |

1. AMOUNT OF REQUEST

| | FY 2014 Supplemental Budget Request | | | |
|--------------|-------------------------------------|----------|----------|----------|
| | GR | Federal | Other | Total |
| PS | 0 | 0 | 0 | 0 |
| EE | 0 | 0 | 0 | 0 |
| PSD | 0 | 0 | 0 | 0 |
| TRF | 0 | 0 | 0 | 0 |
| Total | 0 | 0 | 0 | 0 |

| | | | | |
|-----------|------|------|------|------|
| FTE | 0.00 | 0.00 | 0.00 | 0.00 |
| POSITIONS | 0 | 0 | 0 | 0 |

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

| | FY 2014 Supplemental Governor's Recommendation | | | |
|--------------|--|----------|----------|---------------|
| | GR | Federal | Other | Total |
| PS | 41,465 | 0 | 0 | 41,465 |
| EE | 0 | 0 | 0 | 0 |
| PSD | 0 | 0 | 0 | 0 |
| TRF | 0 | 0 | 0 | 0 |
| Total | 41,465 | 0 | 0 | 41,465 |

| | | | | |
|-----------|------|------|------|------|
| FTE | 0.00 | 0.00 | 0.00 | 0.00 |
| POSITIONS | 0 | 0 | 0 | 0 |

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

| | | | | |
|--------------------|---|---|---|---|
| Est. Fringe | 0 | 0 | 0 | 0 |
|--------------------|---|---|---|---|

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

| | | | | |
|--------------------|--------|---|---|--------|
| Est. Fringe | 21,873 | 0 | 0 | 21,873 |
|--------------------|--------|---|---|--------|

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

*As the department submitted the request after the October 1st deadline, the request amount shows zero.

2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

Established under Chapter 621, RSMo, the Administrative Hearing Commission is a neutral, independent tribunal that decides disputes, usually between a state agency and a business or individual, after a trial-type hearing. The Commission's decisions are typically subject to court review.

The AHC's jurisdiction is broad and expands almost every year with the passage of new legislation. Jurisdictional matters include state tax disputes; Medicaid provider payment disputes; due process complaints filed under the Individuals with Disabilities Education Act (IDEA); appeals of personnel matters under the state's merit system laws; discipline of professional licenses of physicians, dentists, nurses, and others; and many other types of disputes. The AHC opened more than 2,600 cases in FY 2013.

This request is to fully fund FY14's Administrative Hearing Commission members' salaries.

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|---|------------------------------------|--------------------------------|-------------------------------------|---|---------------------------------------|-----------------------------------|---------------------------------------|-----------------------------------|--|
| Office of Administration | | | | Budget Unit <u>31212</u> | | | | | |
| Administrative Hearing Commission | | | | | | | | | |
| Administrative Hearing Commission PS | | DI# 2300010 | | Original FY 2014 House Bill Section, if applicable | | | | 5.130 | |
| | | | | | | | | | |
| 3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAEP fiscal note? If not, explain why.) | | | | | | | | | |
| <p>The projected total supplemental appropriation need is based on FY 2014 AHC PS expenditures to date and estimated expenditures for the remainder of FY 2014.</p> <p>AHC Supplemental Request:\$41,465 \$51,465 six months of an AHC Commissioner's salary less \$10,000 FY 2014 Gov Reserve</p> | | | | | | | | | |
| 4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS. | | | | | | | | | |
| Budget Object Class/Job Class | Dept Req GR DOLLARS | Dept Req GR FTE | Dept Req FED DOLLARS | Dept Req FED FTE | Dept Req OTHER DOLLARS | Dept Req OTHER FTE | Dept Req TOTAL DOLLARS | Dept Req TOTAL FTE | Dept Req One-Time DOLLARS |
| Total PS | <u>0</u> | <u>0.0</u> | <u>0</u> | <u>0.0</u> | <u>0</u> | <u>0.0</u> | <u>0</u> | <u>0.0</u> | <u>0</u> |
| Grand Total | <u>0</u> | <u>0.0</u> | <u>0</u> | <u>0.0</u> | <u>0</u> | <u>0.0</u> | <u>0</u> | <u>0.0</u> | <u>0</u> |
| Budget Object Class/Job Class | Gov Rec GR DOLLARS | Gov Rec GR FTE | Gov Rec FED DOLLARS | Gov Rec FED FTE | Gov Rec OTHER DOLLARS | Gov Rec OTHER FTE | Gov Rec TOTAL DOLLARS | Gov Rec TOTAL FTE | Gov Rec One-Time DOLLARS |
| 100/Commission Member | 41,465 | | | | | | 41,465 | 0.0 | 41,465 |
| Total PS | <u>41,465</u> | <u>0.0</u> | <u>0</u> | <u>0.0</u> | <u>0</u> | <u>0.0</u> | <u>41,465</u> | <u>0.0</u> | <u>41,465</u> |
| Grand Total | <u>41,465</u> | <u>0.0</u> | <u>0</u> | <u>0.0</u> | <u>0</u> | <u>0.0</u> | <u>41,465</u> | <u>0.0</u> | <u>41,465</u> |

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|--|-----------|----------------|--------------|--------------|--|---------------|----------------|--------------|---------------|
| Office of Administration | | | | | Budget Unit 32384 | | | | |
| Division of Accounting | | | | | | | | | |
| County Correctional Prosecution Reimbursement DI# 2300009 | | | | | Original FY 2014 House Bill Section, if applicable 5.265 | | | | |
| 1. AMOUNT OF REQUEST | | | | | | | | | |
| FY 2014 Supplemental Budget Request | | | | | FY 2014 Supplemental Governor's Recommendation | | | | |
| | GR | Federal | Other | Total | | GR | Federal | Other | Total |
| PS | 0 | 0 | 0 | 0 | PS | 0 | 0 | 0 | 0 |
| EE | 0 | 0 | 0 | 0 | EE | 0 | 0 | 0 | 0 |
| PSD | 0 | 0 | 0 | 0 | PSD | 10,000 | 0 | 0 | 10,000 |
| TRF | 0 | 0 | 0 | 0 | TRF | 0 | 0 | 0 | 0 |
| Total | 0 | 0 | 0 | 0 | Total | 10,000 | 0 | 0 | 10,000 |
| | | | | | | | | | |
| FTE | 0.00 | 0.00 | 0.00 | 0.00 | FTE | 0.00 | 0.00 | 0.00 | 0.00 |
| POSITIONS | 0 | 0 | 0 | 0 | POSITIONS | 0 | 0 | 0 | 0 |
| NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | | NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | |
| Est. Fringe | 0 | 0 | 0 | 0 | Est. Fringe | 0 | 0 | 0 | 0 |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | |
| *As the department submitted the request after the October 1st deadline, the request amount shows zero. | | | | | | | | | |
| 2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM. | | | | | | | | | |
| <p>Pursuant to Section 50.850, RSMo, the Office of Administration may reimburse counties, out of funds appropriated by the General Assembly, for expenses related to the prosecution of crimes occurring within Department of Corrections institutions. Reimbursements are not to exceed 50% of expenses, and the amount of reimbursement may be based on the number of cases referred, filed, or tried.</p> <p>Pursuant to Section 50.853, RSMo, the Office of Administration may reimburse counties of the third and fourth class, out of funds appropriated by the General Assembly, for expenses related to the trial of capital cases. The reimbursements are not to exceed 50% of actual expenses, and are "limited to counties which were, at the time of the trial, in a negative financial situation."</p> <p>The "E" was removed in FY14 -- as of November 2013 expenditure requests from counties have exceeded the FY14 appropriation.</p> | | | | | | | | | |

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|---|------------------------------------|---|-------------------------------------|---------------------------------|---------------------------------------|-----------------------------------|---------------------------------------|-----------------------------------|--|
| Office of Administration | | Budget Unit <u>32384</u> | | | | | | | |
| Division of Accounting | | | | | | | | | |
| County Correctional Prosecution Reimbursement | DI# 2300009 | Original FY 2014 House Bill Section, if applicable | <u>5.265</u> | | | | | | |
| | | | | | | | | | |
| 3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAEP fiscal note? If not, explain why.) | | | | | | | | | |
| <p>In recent years, more counties have requested reimbursement and the number of claims has increased. Expenditures paid pursuant to 50.850 RSMo equaled \$16,550 in fiscal year 2012 and \$27,050 in fiscal year 2013. As of November 2013, counties have requested more than the \$15,000 FY14 appropriation. There was an "E" on this appropriation prior to FY14.</p> | | | | | | | | | |
| 4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS. | | | | | | | | | |
| Budget Object Class/Job Class | Dept Req GR DOLLARS | Dept Req GR FTE | Dept Req FED DOLLARS | Dept Req FED FTE | Dept Req OTHER DOLLARS | Dept Req OTHER FTE | Dept Req TOTAL DOLLARS | Dept Req TOTAL FTE | Dept Req One-Time DOLLARS |
| Total PSD | <u>0</u> 0 | | <u>0</u> 0 | | <u>0</u> 0 | | <u>0</u> 0 | | <u>0</u> 0 |
| Grand Total | <u>0</u> | <u>0.0</u> | <u>0</u> | <u>0.0</u> | <u>0</u> | <u>0.0</u> | <u>0</u> | <u>0.0</u> | <u>0.0</u> |
| Budget Object Class/Job Class | Gov Rec GR DOLLARS | Gov Rec GR FTE | Gov Rec FED DOLLARS | Gov Rec FED FTE | Gov Rec OTHER DOLLARS | Gov Rec OTHER FTE | Gov Rec TOTAL DOLLARS | Gov Rec TOTAL FTE | Gov Rec One-Time DOLLARS |
| Program Distributions | <u>10,000</u> | | <u>0</u> | | <u>0</u> | | <u>10,000</u> | | <u>10,000</u> |
| Total PSD | <u>10,000</u> | | <u>0</u> | | <u>0</u> | | <u>10,000</u> | | <u>10,000</u> |
| Grand Total | <u>10,000</u> | <u>0.0</u> | <u>0</u> | <u>0.0</u> | <u>0</u> | <u>0.0</u> | <u>10,000</u> | <u>0.0</u> | <u>10,000</u> |

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|---|-----------|----------------|--------------|--------------|--|-----------|------------------|--------------|------------------|
| Office of Administration | | | | | Budget Unit 32202 | | | | |
| Employee Benefits | | | | | | | | | |
| OASDHI Federal Transfer DI# 2300003 | | | | | Original FY 2014 House Bill Section, if applicable 5.450 | | | | |
| 1. AMOUNT OF REQUEST | | | | | | | | | |
| FY 2014 Supplemental Budget Request | | | | | FY 2014 Supplemental Governor's Recommendation | | | | |
| | GR | Federal | Other | Total | | GR | Federal | Other | Total |
| PS | 0 | 0 | 0 | 0 | PS | 0 | 0 | 0 | 0 |
| EE | 0 | 0 | 0 | 0 | EE | 0 | 0 | 0 | 0 |
| PSD | 0 | 0 | 0 | 0 | PSD | 0 | 0 | 0 | 0 |
| TRF | 0 | 0 | 0 | 0 | TRF | 0 | 1,000,000 | 0 | 1,000,000 |
| Total | 0 | 0 | 0 | 0 | Total | 0 | 1,000,000 | 0 | 1,000,000 |
| FTE | 0.00 | 0.00 | 0.00 | 0.00 | FTE | 0.00 | 0.00 | 0.00 | 0.00 |
| POSITIONS | 0 | 0 | 0 | 0 | POSITIONS | 0 | 0 | 0 | 0 |
| NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | | NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | |
| Est. Fringe | 0 | 0 | 0 | 0 | Est. Fringe | 0 | 0 | 0 | 0 |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | |
| *As the department submitted the request after the October 1st deadline, the request amount shows zero. | | | | | | | | | |
| 2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM. | | | | | | | | | |
| <p>This appropriation transfers the state's share of federal Old Age, Survivors, Disability, and Health Insurance (OASDHI) contributions from the various state funds that pay state employee salaries (excluding the Highway Patrol) to the OASDHI Contribution Fund. Based on FY 2014 expenditures to date and estimated expenditures for remainder of FY 2014, this appropriation is not sufficient to cover expenditures from federal fund sources through fiscal year end. The E was removed from this appropriation in FY 2014.</p> <p>The OASDHI wage base is tied to inflation and may increase each calendar year. Each employer and employee typically pays a wage tax of 6.2%. However, in 2011 and 2012, the employee share was 4.2 % and the employer share continued at 6.2%. This employee reduction ceased in 2013 and returned to 6.2% for both employee and employer. The Medicare tax of 1.45% continues to apply to all taxable wages earned and is paid by both the employee and the employer. The Medicare tax applies to all salary levels, however, beginning Jan 1, 2013, wages in excess of \$200,000 for individuals or \$250,000 for married filing jointly require an additional 0.9% withholding.</p> | | | | | | | | | |

SUPPLEMENTAL NEW DECISION ITEM

| Office of Administration | | Budget Unit | 32202 | | | | | | |
|---|---------------------------|---|----------------------------|------------------------|------------------------------|--------------------------|------------------------------|--------------------------|---------------------------------|
| Employee Benefits | | | | | | | | | |
| OASDHI Federal Transfer | DI# 2300003 | Original FY 2014 House Bill Section, if applicable | 5.450 | | | | | | |
| | | | | | | | | | |
| 3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.) | | | | | | | | | |
| <p>The projected total supplemental appropriation need is based on FY 2013 actual expenditures (\$28,456,520) and the first six months FY 2014 expenditures (\$13,824,725).</p> | | | | | | | | | |
| 4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS. | | | | | | | | | |
| Budget Object Class/Job Class | Dept Req GR DOLLARS | Dept Req GR FTE | Dept Req FED DOLLARS | Dept Req FED FTE | Dept Req OTHER DOLLARS | Dept Req OTHER FTE | Dept Req TOTAL DOLLARS | Dept Req TOTAL FTE | Dept Req One-Time DOLLARS |
| Total TRF | 0 | | 0 | | 0 | | 0 | | 0 |
| Grand Total | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 |
| Budget Object Class/Job Class | Gov Rec GR DOLLARS | Gov Rec GR FTE | Gov Rec FED DOLLARS | Gov Rec FED FTE | Gov Rec OTHER DOLLARS | Gov Rec OTHER FTE | Gov Rec TOTAL DOLLARS | Gov Rec TOTAL FTE | Gov Rec One-Time DOLLARS |
| Transfers | 0 | | 1,000,000 | | | | 1,000,000 | | 1,000,000 |
| Total TRF | 0 | | 1,000,000 | | 0 | | 1,000,000 | | 1,000,000 |
| Grand Total | 0 | 0.0 | 1,000,000 | 0.0 | 0 | 0.0 | 1,000,000 | 0.0 | 1,000,000 |

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|--|-------------|----------------|--------------|--------------|--|-------------|----------------|----------------|----------------|
| Office of Administration | | | | | Budget Unit <u>32216</u> | | | | |
| Division of Accounting | | | | | | | | | |
| Unemployment Federal and Other DI# 2300008 | | | | | Original FY 2014 House Bill Section, if applicable <u>5.480</u> | | | | |
| 1. AMOUNT OF REQUEST | | | | | | | | | |
| FY 2014 Supplemental Budget Request | | | | | FY 2014 Supplemental Governor's Recommendation | | | | |
| | GR | Federal | Other | Total | | GR | Federal | Other | Total |
| PS | 0 | 0 | 0 | 0 | PS | 0 | 0 | 0 | 0 |
| EE | 0 | 0 | 0 | 0 | EE | 0 | 0 | 0 | 0 |
| PSD | 0 | 0 | 0 | 0 | PSD | 0 | 800,000 | 100,000 | 900,000 |
| TRF | 0 | 0 | 0 | 0 | TRF | 0 | 0 | 0 | 0 |
| Total | 0 | 0 | 0 | 0 | Total | 0 | 800,000 | 100,000 | 900,000 |
| FTE | 0.00 | 0.00 | 0.00 | 0.00 | FTE | 0.00 | 0.00 | 0.00 | 0.00 |
| POSITIONS | 0 | 0 | 0 | 0 | POSITIONS | 0 | 0 | 0 | 0 |
| NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | | NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | |
| Est. Fringe | 0 | 0 | 0 | 0 | Est. Fringe | 0 | 0 | 0 | 0 |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | |
| *As the department submitted the request after the October 1st deadline, the request amount shows zero. | | | | | | | | | |
| Other Funds: Various Other Funds | | | | | | | | | |
| 2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM. | | | | | | | | | |
| <p>Pursuant to Section 288.090, RSMo, this request is to reimburse the Division of Employment Security for the unemployment benefits paid to former state employees. Based on FY 2014 expenditures to date and estimated expenditures for remainder of FY 2014, this appropriation is not sufficient to cover unemployment expenditures through fiscal year end. The E was removed from this appropriation in FY 2014.</p> <p>The State, as a governmental entity, reimburses the Division of Employment Security for unemployment charges paid in lieu of contributions. At the end of each calendar quarter, the Division of Employment Security bills state agencies for an amount equal to the full amount of regular benefits, plus extended benefits, paid during such quarter that is attributable to service in the employ of such agency.</p> | | | | | | | | | |

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|---|-----------------|-----------------|-----------------|--|-----------------|-----------------|-----------------|-----------------|-----------------|
| Office of Administration | | | | Budget Unit <u>32216</u> | | | | | |
| Division of Accounting | | | | | | | | | |
| Unemployment Federal and Other <u>DI# 2300008</u> | | | | Original FY 2014 House Bill Section, if applicable <u>5.480</u> | | | | | |
| | | | | | | | | | |
| 3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.) | | | | | | | | | |
| <p>The projected total supplemental appropriation need is based on FY 2013 actual expenditures and FY 2014 calculations to date. FY 2013 actuals were \$816,788 federal funds and \$827,069 other funds. The FY 2014 expenditures as of December 31, 2013 are \$447,130 federal funds and \$507,354 other funds.</p> | | | | | | | | | |
| 4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS. | | | | | | | | | |
| | Dept Req | Dept Req | Dept Req | Dept Req | Dept Req | Dept Req | Dept Req | Dept Req | Dept Req |
| | GR | GR | FED | FED | OTHER | OTHER | TOTAL | TOTAL | One-Time |
| Budget Object Class/Job Class | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS |
| | | | | | | | | | |
| Total PSD | <u>0</u> | | <u>0</u> | | <u>0</u> | | <u>0</u> | | <u>0</u> |
| | | | | | | | | | |
| Grand Total | <u>0</u> | <u>0.0</u> | <u>0</u> | <u>0.0</u> | <u>0</u> | <u>0.0</u> | <u>0</u> | <u>0.0</u> | <u>0</u> |
| | | | | | | | | | |
| | Gov Rec | Gov Rec | Gov Rec | Gov Rec | Gov Rec | Gov Rec | Gov Rec | Gov Rec | Gov Rec |
| | GR | GR | FED | FED | OTHER | OTHER | TOTAL | TOTAL | One-Time |
| Budget Object Class/Job Class | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS |
| | | | | | | | | | |
| Program Distributions | | | <u>800,000</u> | | <u>100,000</u> | | <u>900,000</u> | | <u>900,000</u> |
| Total PSD | <u>0</u> | | <u>800,000</u> | | <u>100,000</u> | | <u>900,000</u> | | <u>900,000</u> |
| | | | | | | | | | |
| Grand Total | <u>0</u> | <u>0.0</u> | <u>800,000</u> | <u>0.0</u> | <u>100,000</u> | <u>0.0</u> | <u>900,000</u> | <u>0.0</u> | <u>900,000</u> |

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|--|-------------|----------------|--------------|--------------|--|-------------|------------------|--------------|------------------|
| Office of Administration | | | | | Budget Unit <u>32215</u> | | | | |
| Employee Benefits | | | | | | | | | |
| MCHCP Fed Transfer Increase DI# 2300001 | | | | | Original FY 2014 House Bill Section, if applicable <u>5.490</u> | | | | |
| 1. AMOUNT OF REQUEST | | | | | | | | | |
| FY 2014 Supplemental Budget Request | | | | | FY 2014 Supplemental Governor's Recommendation | | | | |
| | GR | Federal | Other | Total | | GR | Federal | Other | Total |
| PS | 0 | 0 | 0 | 0 | PS | 0 | 0 | 0 | 0 |
| EE | 0 | 0 | 0 | 0 | EE | 0 | 0 | 0 | 0 |
| PSD | 0 | 0 | 0 | 0 | PSD | 0 | 0 | 0 | 0 |
| TRF | 0 | 0 | 0 | 0 | TRF | 0 | 3,000,000 | 0 | 3,000,000 |
| Total | 0 | 0 | 0 | 0 | Total | 0 | 3,000,000 | 0 | 3,000,000 |
| FTE | 0.00 | 0.00 | 0.00 | 0.00 | FTE | 0.00 | 0.00 | 0.00 | 0.00 |
| POSITIONS | 0 | 0 | 0 | 0 | POSITIONS | 0 | 0 | 0 | 0 |
| NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | | NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | |
| Est. Fringe | 0 | 0 | 0 | 0 | Est. Fringe | 0 | 0 | 0 | 0 |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | |
| *As the department submitted the request after the October 1st deadline, the request amount shows zero. | | | | | | | | | |
| 2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM. | | | | | | | | | |
| <p>This appropriation transfers cash to the MCHCP Fund from various funds that pay state employee salaries. One payment is then made from the MCHCP Benefit Fund for the State's contribution related to employee health care.</p> <p>Based on FY 2014 transfers to date, this federal appropriation is not sufficient to cover transfers through fiscal year end. The E was removed from this appropriation in FY 2014. MCHCP's total contribution from the state will not change, as other funds are anticipated to transfer less than the appropriated amount.</p> <p>Chapter 103, RSMo, created MCHCP for the purpose of covering medical expenses of the officers, employees and retirees, the eligible dependents of officers, employees and retirees, and surviving spouses and children of deceased officers, employees and retirees of the state.</p> | | | | | | | | | |

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|---|--------------------|---|------------------|-----------------|-----------------|-----------------|------------------|-----------------|------------------|
| Office of Administration | | Budget Unit <u>32215</u> | | | | | | | |
| Employee Benefits | | | | | | | | | |
| MCHCP Fed Transfer Increase | DI# 2300001 | Original FY 2014 House Bill Section, if applicable | <u>5.490</u> | | | | | | |
| | | | | | | | | | |
| 3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.) | | | | | | | | | |
| <p>The projected total supplemental appropriation need is based on FY 2013 actual expenditures (\$92,647,227) and the first six months of FY 2014 expenditures (\$45,359,626).</p> | | | | | | | | | |
| 4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS. | | | | | | | | | |
| | Dept Req | Dept Req | Dept Req | Dept Req | Dept Req | Dept Req | Dept Req | Dept Req | Dept Req |
| | GR | GR | FED | FED | OTHER | OTHER | TOTAL | TOTAL | One-Time |
| Budget Object Class/Job Class | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS |
| Total Transfers | <u>0</u> 0 | | <u>0</u> 0 | | <u>0</u> 0 | | <u>0</u> 0 | | <u>0</u> 0 |
| Grand Total | <u>0</u> | <u>0.0</u> | <u>0</u> | <u>0.0</u> | <u>0</u> | <u>0.0</u> | <u>0</u> | <u>0.0</u> | <u>0</u> |
| | Gov Rec | Gov Rec | Gov Rec | Gov Rec | Gov Rec | Gov Rec | Gov Rec | Gov Rec | Gov Rec |
| | GR | GR | FED | FED | OTHER | OTHER | TOTAL | TOTAL | One-Time |
| Budget Object Class/Job Class | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS |
| 820/Transfers | | | | | | | 0 | | 0 |
| Total TRF | <u>0</u> | | <u>3,000,000</u> | | <u>0</u> | | <u>3,000,000</u> | | <u>3,000,000</u> |
| Grand Total | <u>0</u> | <u>0.0</u> | <u>3,000,000</u> | <u>0.0</u> | <u>0</u> | <u>0.0</u> | <u>3,000,000</u> | <u>0.0</u> | <u>3,000,000</u> |

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | |
|-------------------|--------------------------|--|--------------------|---|----------------|--|--|
| Department | Office of Administration | | | Budget Unit | 31114C, 31118C | | |
| Division | Employee Benefits | | | | | | |
| DI Name | Workers' Compensation | | DI# 2300004 | Original FY 2014 House Bill Section, if applicable | 5.520, 5.530 | | |

1. AMOUNT OF REQUEST

| | FY 2014 Supplemental Budget Request | | | |
|--------------|-------------------------------------|----------|----------------|------------------|
| | GR | Federal | Other | Total |
| PS | 0 | 0 | 0 | 0 |
| EE | 2,000,000 | 0 | 300,000 | 2,300,000 |
| PSD | 2,100,000 | 0 | 0 | 2,100,000 |
| TRF | 0 | 0 | 0 | 0 |
| Total | 4,100,000 | 0 | 300,000 | 4,400,000 |

| | | | | |
|-----------|------|------|------|------|
| FTE | 0.00 | 0.00 | 0.00 | 0.00 |
| POSITIONS | 0 | 0 | 0 | 0 |

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

| | FY 2014 Supplemental Governor's Recommendation | | | |
|--------------|--|----------|----------------|------------------|
| | GR | Federal | Other | Total |
| PS | 0 | 0 | 0 | 0 |
| EE | 3,700,000 | 0 | 300,000 | 4,000,000 |
| PSD | 2,000,000 | 0 | 0 | 2,000,000 |
| TRF | 0 | 0 | 0 | 0 |
| Total | 5,700,000 | 0 | 300,000 | 6,000,000 |

| | | | | |
|-----------|------|------|------|------|
| FTE | 0.00 | 0.00 | 0.00 | 0.00 |
| POSITIONS | 0 | 0 | 0 | 0 |

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

| | | | | |
|--------------------|---|---|---|---|
| Est. Fringe | 0 | 0 | 0 | 0 |
|--------------------|---|---|---|---|

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

| | | | | |
|--------------------|---|---|---|---|
| Est. Fringe | 0 | 0 | 0 | 0 |
|--------------------|---|---|---|---|

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Conservation Commission (0609)

Other Funds: Conservation Commission (0609)

2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

The State of Missouri is responsible for payment of workers' compensation benefits to injured state employees in accordance with Chapter 287, RSMo. This supplemental funding will fulfill the statutory requirements for payment of employee indemnity, medical, and settlement expenses incurred as a result of a work-related injury or illness. Certain administrative, legal, loss control, and claims mitigation costs included in this request are authorized, in part, by Section 105.810, RSMo.

General revenue pays workers' compensation benefits for all state employees covered under this program, excluding Department of Conservation employees. Department of Conservation employees receive benefits paid through the Conservation Commission Fund. Payments made by general revenue on behalf of employees paid from other funding sources are transferred back to general revenue through a separate appropriation.

The provisions of SB 1 (2013) increase the potential Second Injury Fund assessments from 3% to 6%. In addition, the state's experience modification factor has increased slightly for the current fiscal year. These two factors, coupled with the expected payroll and worker's compensation insurance rates, have driven the expected increase in costs. The financial impact on this appropriation was considered in the fiscal note for SB 1.

A supplemental request is needed due to workers compensation claims higher than normal and medical trend costs increasing.

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | |
|-------------------|--------------------------|--------------------|--|----------------|--|
| Department | Office of Administration | | Budget Unit | 31114C, 31118C | |
| Division | Employee Benefits | | | | |
| DI Name | Workers' Compensation | DI# 2300004 | Original FY 2014 House Bill Section, if applicable 5.520, 5.530 | | |

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.)

The projected total supplemental need is based on FY13 actual expenditures and FY14 calculations to date. In FY13, actual expenditures were \$31,751,835 General Revenue Fund and \$1,081,697 Conservation Commission Fund. In FY14, the first six months of expenditures were \$16,974,593 General Revenue Fund and \$323,717 Conservation Commission Fund.

The Governor's recommendation is higher than the original department requested amount due to increased claims after the initial request was submitted.

4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS.

| | Dept Req GR DOLLARS | Dept Req GR FTE | Dept Req FED DOLLARS | Dept Req FED FTE | Dept Req OTHER DOLLARS | Dept Req OTHER FTE | Dept Req TOTAL DOLLARS | Dept Req TOTAL FTE | Dept Req One-Time DOLLARS |
|---------------------------|---------------------------|-----------------------|----------------------------|------------------------|------------------------------|--------------------------|------------------------------|--------------------------|---------------------------------|
| 400/Professional Services | 2,000,000 | | 0 | | 300,000 | | 2,300,000 | | 2,300,000 |
| Total EE | 2,000,000 | | 0 | | 300,000 | | 2,300,000 | | 2,300,000 |
| | | | | | | | | | |
| Program Distributions | 2,100,000 | | 0 | | 0 | | 2,100,000 | | 2,100,000 |
| Total PSD | 2,100,000 | | 0 | | 0 | | 2,100,000 | | 2,100,000 |
| | | | | | | | | | |
| Grand Total | 4,100,000 | 0.0 | 0 | 0.0 | 300,000 | 0.0 | 4,400,000 | 0.0 | 4,400,000 |

| | Gov Rec GR DOLLARS | Gov Rec GR FTE | Gov Rec FED DOLLARS | Gov Rec FED FTE | Gov Rec OTHER DOLLARS | Gov Rec OTHER FTE | Gov Rec TOTAL DOLLARS | Gov Rec TOTAL FTE | Gov Rec One-Time DOLLARS |
|---------------------------|--------------------------|----------------------|---------------------------|-----------------------|-----------------------------|-------------------------|-----------------------------|-------------------------|--------------------------------|
| 400/Professional Services | 3,700,000 | | 0 | | 300,000 | | 4,000,000 | | 4,000,000 |
| Total EE | 3,700,000 | | 0 | | 300,000 | | 4,000,000 | | 4,000,000 |
| | | | | | | | | | |
| Program Distributions | 2,000,000 | | 0 | | 0 | | 2,000,000 | | 2,000,000 |
| Total PSD | 2,000,000 | | 0 | | 0 | | 2,000,000 | | 2,000,000 |
| | | | | | | | | | |
| Grand Total | 5,700,000 | 0.0 | 0 | 0.0 | 300,000 | 0.0 | 6,000,000 | 0.0 | 6,000,000 |

SUPPLEMENTAL NEW DECISION ITEM

| | | | |
|-------------------|---------------------------------|---|-----------------------|
| Department | Office of Administration | Budget Unit | 31114C, 31118C |
| Division | Employee Benefits | | |
| DI Name | Workers' Compensation | DI# | 2300004 |
| | | Original FY 2014 House Bill Section, if applicable | 5.520, 5.530 |

5. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional funding.)

5a. Provide an effectiveness measure.

| | FY 13 | | FY 14 |
|----------------------------|--------|--------|-----------|
| | Proj. | Actual | Projected |
| Measure | | | |
| Work Comp PPO Savings | \$9.9M | \$12.0 | \$10.0 |
| % Medical Cost PPO Savings | 35% | 39% | 35% |

5b. Provide an efficiency measure.

| | FY 13 | | FY 14 |
|---------------------------------|----------|----------|-----------|
| | Proj. | Actual | Projected |
| Measure | | | |
| WC Lost Workday Incidence Rate | 0.75 | 0.64 | 0.65 |
| Work Comp Benefit Cost per Emp. | \$475.00 | \$529.08 | \$550.00 |
| Lost Time Claims per Adjuster | 310 | 294 | 290 |

5c. Provide the number of clients/individuals served, if applicable.

| | FY 13 | | FY 14 |
|--------------------------------|--------|--------|-----------|
| | Proj. | Actual | Projected |
| Measure | | | |
| WC Reported Injuries with Cost | 3,400 | 3,114 | 3,200 |
| WC Payments Processed | 41,000 | 42,846 | 41,000 |

5d. Provide a customer satisfaction measure, if available.

| | FY 13 | | FY 14 |
|-----------------------------------|-------|--------|-----------|
| | Proj. | Actual | Projected |
| Measure | | | |
| Timeliness of TTD Payments | 90% | 94% | 95% |
| Average Days to Pay Medical Bills | 3 | 1 | 1 |

6. STRATEGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGETS:

N/A

SUPPLEMENTAL NEW DECISION ITEM

| | | | |
|-------------------|--------------------------------|---|---------|
| Department | Office of Administration | Budget Unit | 31116 |
| Division | General Services | | |
| DI Name | Workers' Compensation Transfer | DI# | 2300007 |
| | | Original FY 2014 House Bill Section, if applicable | 5.525 |

1. AMOUNT OF REQUEST

| | FY 2014 Supplemental Budget Request | | | |
|--------------|-------------------------------------|----------|----------|----------|
| | GR | Federal | Other | Total |
| PS | 0 | 0 | 0 | 0 |
| EE | 0 | 0 | 0 | 0 |
| PSD | 0 | 0 | 0 | 0 |
| TRF | 0 | 0 | 0 | 0 |
| Total | 0 | 0 | 0 | 0 |

| | | | | |
|-----------|------|------|------|------|
| FTE | 0.00 | 0.00 | 0.00 | 0.00 |
| POSITIONS | 0 | 0 | 0 | 0 |

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

| | | | | |
|--------------------|---|---|---|---|
| Est. Fringe | 0 | 0 | 0 | 0 |
|--------------------|---|---|---|---|

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

| | FY 2014 Supplemental Governor's Recommendation | | | |
|--------------|--|----------|----------------|----------------|
| | GR | Federal | Other | Total |
| PS | 0 | 0 | 0 | 0 |
| EE | 0 | 0 | 0 | 0 |
| PSD | 0 | 0 | 0 | 0 |
| TRF | 0 | 0 | 183,663 | 183,663 |
| Total | 0 | 0 | 183,663 | 183,663 |

| | | | | |
|-----------|------|------|------|------|
| FTE | 0.00 | 0.00 | 0.00 | 0.00 |
| POSITIONS | 0 | 0 | 0 | 0 |

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

| | | | | |
|--------------------|---|---|---|---|
| Est. Fringe | 0 | 0 | 0 | 0 |
|--------------------|---|---|---|---|

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds:

Other Funds: Missouri Veterans' Homes (0460)

2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

The payment of workers' compensation benefits for all state employees, excluding Department of Conservation employees, is made from general revenue. Department of Conservation employees receive benefits paid through the Conservation fund. Payments made by general revenue on behalf of employees paid from other funding sources are transferred back to general revenue through this appropriation. The "E" was removed in FY14 and there is not enough authority from other funds, thus an increase is needed to transfer other funds back to the General Revenue Fund.

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.)

FY14 appropriation authority has been fully expended for other funds.

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|---|---------------------------------------|--------------------------------|-------------------------------------|---|---------------------------------------|-----------------------------------|---------------------------------------|-----------------------------------|--|
| Department | Office of Administration | | | Budget Unit | 31116 | | | | |
| Division | General Services | | | | | | | | |
| DI Name | Workers' Compensation Transfer | DI# 2300007 | | Original FY 2014 House Bill Section, if applicable | 5.525 | | | | |
| | | | | | | | | | |
| 4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS. | | | | | | | | | |
| Budget Object Class/Job Class | Dept Req GR DOLLARS | Dept Req GR FTE | Dept Req FED DOLLARS | Dept Req FED FTE | Dept Req OTHER DOLLARS | Dept Req OTHER FTE | Dept Req TOTAL DOLLARS | Dept Req TOTAL FTE | Dept Req One-Time DOLLARS |
| Transfers | | | 0 | | | | 0 | | 0 |
| Total TRF | 0 | | 0 | | 0 | | 0 | | 0 |
| Grand Total | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 |
| | | | | | | | | | |
| Budget Object Class/Job Class | Gov Rec GR DOLLARS | Gov Rec GR FTE | Gov Rec FED DOLLARS | Gov Rec FED FTE | Gov Rec OTHER DOLLARS | Gov Rec OTHER FTE | Gov Rec TOTAL DOLLARS | Gov Rec TOTAL FTE | Gov Rec One-Time DOLLARS |
| Transfers | | | | | 183,663 | | 183,663 | | 183,663 |
| Total TRF | 0 | | 0 | | 183,663 | | 183,663 | | 183,663 |
| Grand Total | 0 | 0.0 | 0 | 0.0 | 183,663 | 0.0 | 183,663 | 0.0 | 183,663 |

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|---|--|--|--|--|---|--|--|--|--|
| Department of Natural Resources | | | | | Budget Unit <u>79415C</u> | | | | |
| Division of Environmental Quality | | | | | | | | | |
| Clean Water & Drinking Water SRF Increase DI# 2780001 | | | | | Original FY 2014 House Bill Section, if applicable <u>06.230</u> | | | | |

1. AMOUNT OF REQUEST

| | FY 2014 Supplemental Budget Request | | | |
|--------------|-------------------------------------|----------|-------------------|-------------------|
| | GR | Federal | Other | Total |
| PS | 0 | 0 | 0 | 0 |
| EE | 0 | 0 | 0 | 0 |
| PSD | 0 | 0 | 70,698,988 | 70,698,988 |
| TRF | 0 | 0 | 0 | 0 |
| Total | 0 | 0 | 70,698,988 | 70,698,988 |

| | | | | |
|--|------|------|------|------|
| FTE | 0.00 | 0.00 | 0.00 | 0.00 |
| POSITIONS | 0 | 0 | 0 | 0 |
| NUMBER OF MONTHS POSITIONS ARE NEEDED: | 0 | | | |

| | | | | |
|--------------------|---|---|---|---|
| Est. Fringe | 0 | 0 | 0 | 0 |
|--------------------|---|---|---|---|

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and

| | FY 2014 Supplemental Governor's Recommendation | | | |
|--------------|--|----------|-------------------|-------------------|
| | GR | Federal | Other | Total |
| PS | 0 | 0 | 0 | 0 |
| EE | 0 | 0 | 0 | 0 |
| PSD | 0 | 0 | 60,126,024 | 60,126,024 |
| TRF | 0 | 0 | 0 | 0 |
| Total | 0 | 0 | 60,126,024 | 60,126,024 |

| | | | | |
|--|------|------|------|------|
| FTE | 0.00 | 0.00 | 0.00 | 0.00 |
| POSITIONS | 0 | 0 | 0 | 0 |
| NUMBER OF MONTHS POSITIONS ARE NEEDED: | 0 | | | |

| | | | | |
|--------------------|---|---|---|---|
| Est. Fringe | 0 | 0 | 0 | 0 |
|--------------------|---|---|---|---|

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Water and Wastewater Loan Revolving Fund (0602); Water and Wastewater Loan Fund (0649)

2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

To allow the Financial Assistance Center (FAC) within the Water Protection Program to make additional loans and grants for the Clean Water and Drinking Water State Revolving Fund (SRF) Programs, the expenditure appropriation level needs to be increased by \$60,126,024. The Clean Water and Drinking Water FY14 Intended Use Plans (IUP) list the loans and grants that are projected to close during this fiscal year, increasing our projected expenditures, and are the basis for this request.

Water Infrastructure addresses inadequate treatment of sewage, water used for drinking water supplies, and storm water runoff that causes public health hazards and pollutes streams and lakes. The construction of public drinking water or wastewater treatment facilities is expensive and many Missouri communities need financial assistance to meet these costs. The department operates several grant and loan programs to assist political subdivisions in constructing adequate wastewater, storm water and drinking water treatment facilities. This item requests the core appropriation increase needed to provide financial assistance to Missouri communities and public water supply systems for construction and expansion of drinking water and wastewater treatment projects.

SUPPLEMENTAL NEW DECISION ITEM

| | |
|---|---|
| Department of Natural Resources | Budget Unit <u>79415C</u> |
| Division of Environmental Quality | |
| Clean Water & Drinking Water SRF Increase DI# 2780001 | Original FY 2014 House Bill Section, if applicable <u>06.230</u> |

2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM (continued).

For the programs to be effective, the state must have the ability to pay for the expenditures as communities' pay requests are submitted for projects currently committed and for projects that will be committed this fiscal year. These communities need to be assured that the full amount of their pay requests will be paid timely, otherwise they will not be able to pay their contractors and/or engineers for work performed. The projects are multi-year and are paid out over the length of the project.

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.)

Construction curve estimates project spending over an approximate 32 month timeframe. The estimated draws for the first year totals 41.73%. Therefore, the Program generally assumes 41.73% of the current project payable list and the projects planned for loan closings as listed in the FY14 Clean Water and Drinking Water Intended Use Plans will be expended during FY14. A weighted construction curve; however, is assumed for FY14 Clean Water projects based on anticipated loan closing date for each project.

SRF capitalization grant funds are deposited in Fund 0649. Fund 0602 is the revolving fund where SRF repayment dollars are deposited and re-issued to communities as additional project loans and grants. An increase of \$60,126,024 is needed to process payments for projects that are currently obligated and projects that will have loan closings during FY14.

| Department Request | | | | Governor's Recommendation | | | |
|--------------------------------|---------------|--------------|----------------------------|----------------------------------|--------------|----------------------------|------------------------------|
| | Project \$ | 1st Yr Curve | | Project \$ | 1st Yr Curve | | |
| Clean Water (CW) SRF | | | | | | | |
| Project payable list | \$161,641,696 | 41.73% | \$67,453,080 | \$176,839,696 | 41.73% | \$73,795,205 | |
| FY14 CW IUP | \$318,833,687 | | <u>\$92,891,186</u> | \$318,833,687 | | <u>\$67,754,649</u> | (weighted percents) |
| | | | \$160,344,266 | | | \$141,549,854 | total CW need |
| | | | <u>\$100,000,000</u> | | | <u>\$100,000,000</u> | less FY14 appropriation |
| | | | \$60,344,266 | | | \$41,549,854 | FY14 CW supplemental |
| Drinking Water (DW) SRF | | | | | | | |
| Project payable list | \$9,999,111 | 41.73% | \$4,172,629 | \$27,117,611 | 41.73% | \$11,316,179 | |
| FY14 DW IUP | \$48,363,511 | 41.73% | <u>\$20,182,093</u> | \$50,946,539 | 41.73% | <u>\$21,259,991</u> | |
| | | | \$24,354,722 | | | \$32,576,170 | total DW need |
| | | | <u>\$14,000,000</u> | | | <u>\$14,000,000</u> | less FY14 appropriation |
| | | | \$10,354,722 | | | \$18,576,170 | FY14 DW supplemental |
| | | | <u>\$70,698,988</u> | | | <u>\$60,126,024</u> | Total FY14 Supplemental Need |

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|--|----------------|--|---------------------------|-----------------|-------------------|-------------------|-------------------|-------------------|----------------------|
| Department of Natural Resources | | | Budget Unit <u>79415C</u> | | | | | | |
| Division of Environmental Quality | | | | | | | | | |
| Clean Water & Drinking Water SRF Increase | DI# 2780001 | Original FY 2014 House Bill Section, if applicable | | | | | | <u>06.230</u> | |
| 4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS. | | | | | | | | | |
| | Dept Req GR | Dept Req GR | Dept Req FED | Dept Req FED | Dept Req OTHER | Dept Req OTHER | Dept Req TOTAL | Dept Req TOTAL | Dept Req One-Time |
| Budget Object Class/Job Class | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS |
| Program Distributions | | | | | 70,698,988 | | 70,698,988 | | 70,698,988 |
| Total PSD | <u>0</u> | | <u>0</u> | | <u>70,698,988</u> | | <u>70,698,988</u> | | <u>70,698,988</u> |
| Grand Total | <u>0</u> | <u>0.00</u> | <u>0</u> | <u>0.00</u> | <u>70,698,988</u> | <u>0.00</u> | <u>70,698,988</u> | <u>0.00</u> | <u>70,698,988</u> |
| | | | | | | | | | |
| | Gov Rec GR | Gov Rec GR | Gov Rec FED | Gov Rec FED | Gov Rec OTHER | Gov Rec OTHER | Gov Rec TOTAL | Gov Rec TOTAL | Gov Rec One-Time |
| Budget Object Class/Job Class | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS |
| Program Distributions | | | | | 60,126,024 | | 60,126,024 | | 60,126,024 |
| Total PSD | <u>0</u> | | <u>0</u> | | <u>60,126,024</u> | | <u>60,126,024</u> | | <u>60,126,024</u> |
| Grand Total | <u>0</u> | <u>0.00</u> | <u>0</u> | <u>0.00</u> | <u>60,126,024</u> | <u>0.00</u> | <u>60,126,024</u> | <u>0.00</u> | <u>60,126,024</u> |

SUPPLEMENTAL NEW DECISION ITEM

| | | |
|---|-------------|--|
| Department of Natural Resources | Budget Unit | 79415C |
| Division of Environmental Quality | | |
| Clean Water & Drinking Water SRF Increase | DI# 2780001 | Original FY 2014 House Bill Section, if applicable |
| | | 06.230 |

5. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional funding.)

5a. Provide an effectiveness measure.

Every dollar in Personal Services spent in the infrastructure program generates construction dollars in Missouri communities.

| | FY 2014 Projected (core only) | FY 2014 Projected (core & supplemental) |
|------------------------------|----------------------------------|--|
| Personal Services Costs | \$ 2,850,675 | \$ 2,850,675 |
| Construction Dollars Awarded | \$ 114,700,000 | \$ 174,826,024 |
| Cost/Generation Ratio | \$1:\$40 | \$1:\$61 |

5b. Provide an efficiency measure.

Increased appropriation authority will allow for timely payment to communities for current and future obligations.

5c. Provide the number of clients/individuals served, if applicable.

Not available

5d. Provide a customer satisfaction measure, if available.

Not available

6. STRATEGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGETS:

Increased appropriation authority will allow for timely payment to communities for current and future obligations.

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|---|----------|----------|---------------|---------------|--|----------|----------|---------------|---------------|
| Department of Economic Development | | | | | Budget Unit <u>41930C</u> | | | | |
| Division of Administrative Services | | | | | | | | | |
| Transfer Increase to Administrative Services DI# 2419001 | | | | | Original FY 2014 House Bill Section, if applicable <u>7.010</u> | | | | |
| 1. AMOUNT OF REQUEST | | | | | | | | | |
| FY 2014 Supplemental Budget Request | | | | | FY 2014 Supplemental Governor's Recommendation | | | | |
| | GR | Federal | Other | Total | | GR | Federal | Other | Total |
| PS | 0 | 0 | 0 | 0 | PS | 0 | 0 | 0 | 0 |
| EE | 0 | 0 | 0 | 0 | EE | 0 | 0 | 0 | 0 |
| PSD | 0 | 0 | 0 | 0 | PSD | 0 | 0 | 0 | 0 |
| TRF | 0 | 0 | 88,767 | 88,767 | TRF | 0 | 0 | 88,767 | 88,767 |
| Total | 0 | 0 | 88,767 | 88,767 | Total | 0 | 0 | 88,767 | 88,767 |
| FTE | 0.00 | 0.00 | 0.00 | 0.00 | FTE | 0.00 | 0.00 | 0.00 | 0.00 |
| POSITIONS | 0 | 0 | 0 | 0 | POSITIONS | 0 | 0 | 0 | 0 |
| NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | | NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | |
| Est. Fringe | 0 | 0 | 0 | 0 | Est. Fringe | 0 | 0 | 0 | 0 |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | |
| Other Funds: Manufactured Housing Fund (0582) and Public Service Commission Fund (0607) | | | | | Other Funds: Manufactured Housing Fund (0582) and Public Service Commission Fund (0607) | | | | |
| 2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM. | | | | | | | | | |
| <p>This increases the transfer from the Manufactured Housing Fund (0582) and Public Service Commission Fund (0607) for reimbursement to the Department of Economic Development Administrative Fund (0547) to replace lost federal dollars. These transfers allow reimbursement to DED Administrative Services from other divisions for providing direction and guidance through the Director's Office. Through policy development, legislative coordination, and communications, the efforts of the divisions are aligned toward achieving consistent and efficient outcomes. In addition, Administrative Services provides for legal, financial, human resources, budget, and general services assistance and support. This support assures the department complies with administrative requirements and provides the divisions with consistent and efficient administrative processes. Core funding for Administrative Services is needed to provide high quality services in an effective and efficient manner to both our internal and external customers so that the mission of the department can be recognized and achieved.</p> | | | | | | | | | |

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|---|-------------------|-----------------|-------------------|--|-----------------|-----------------|-----------------|-----------------|-----------------|
| Department of Economic Development | | | | Budget Unit <u>41930C</u> | | | | | |
| Division of Administrative Services | | | | | | | | | |
| Transfer Increase to Administrative Services DI# 2419001 | | | | Original FY 2014 House Bill Section, if applicable <u>7.010</u> | | | | | |
| 3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.) | | | | | | | | | |
| <p>As federal funding available to states decreases through sequestration actions, an increase in other funds is needed in order to cover the personal service, fringe, and expense and equipment costs of department administrative services. Based on the FY14 DED Cost Allocation Plan an increase is needed in the transfer amount for the Public Service Commission totaling \$83,970 and for Manufactured Housing totaling \$4,797 for a total increase of \$88,767.</p> | | | | | | | | | |
| 4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS. | | | | | | | | | |
| | Dept Req | Dept Req | Dept Req | Dept Req | Dept Req | Dept Req | Dept Req | Dept Req | Dept Req |
| | GR | GR | FED | FED | OTHER | OTHER | TOTAL | TOTAL | One-Time |
| Budget Object Class/Job Class | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS |
| Transfers | <u> </u> | | <u> </u> | | <u>88,767</u> | | <u>88,767</u> | | <u>88,767</u> |
| Total TRF | 0 | | 0 | | 88,767 | | 88,767 | | 88,767 |
| Grand Total | 0 | 0.0 | 0 | 0.0 | 88,767 | 0.0 | 88,767 | 0.0 | 88,767 |
| | Gov Rec | Gov Rec | Gov Rec | Gov Rec | Gov Rec | Gov Rec | Gov Rec | Gov Rec | Gov Rec |
| | GR | GR | FED | FED | OTHER | OTHER | TOTAL | TOTAL | One-Time |
| Budget Object Class/Job Class | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS |
| Transfers | <u> </u> | | <u> </u> | | <u>88,767</u> | | <u>88,767</u> | | <u>88,767</u> |
| Total TRF | 0 | | 0 | | 88,767 | | 88,767 | | 88,767 |
| Grand Total | 0 | 0.0 | 0 | 0.0 | 88,767 | 0.0 | 88,767 | 0.0 | 88,767 |

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|---|---------------|----------|----------|---------------|---|--------------|----------|----------|--------------|
| Department of Economic Development | | | | | Budget Unit <u>41965C</u> | | | | |
| Division of Business and Community Services | | | | | | | | | |
| Sports Tax Credit DI# 2419002 | | | | | Original FY 2014 House Bill Section, if applicable <u>7.015</u> | | | | |
| 1. AMOUNT OF REQUEST | | | | | | | | | |
| FY 2014 Supplemental Budget Request | | | | | FY 2014 Supplemental Governor's Recommendation | | | | |
| | GR | Federal | Other | Total | | GR | Federal | Other | Total |
| PS | 6,836 | 0 | 0 | 6,836 | PS | 5,167 | 0 | 0 | 5,167 |
| EE | 6,770 | 0 | 0 | 6,770 | EE | 4,753 | 0 | 0 | 4,753 |
| PSD | 0 | 0 | 0 | 0 | PSD | 0 | 0 | 0 | 0 |
| TRF | 0 | 0 | 0 | 0 | TRF | 0 | 0 | 0 | 0 |
| Total | 13,606 | 0 | 0 | 13,606 | Total | 9,920 | 0 | 0 | 9,920 |
| FTE | 0.17 | 0.00 | 0.00 | 0.17 | FTE | 0.17 | 0.00 | 0.00 | 0.17 |
| POSITIONS | 1 | 0 | 0 | 1 | POSITIONS | 1 | 0 | 0 | 1 |
| NUMBER OF MONTHS POSITIONS ARE NEEDED: | | | | 2 | NUMBER OF MONTHS POSITIONS ARE NEEDED: | | | | 2 |
| Est. Fringe | 3,606 | 0 | 0 | 3,606 | Est. Fringe | 2,726 | 0 | 0 | 2,726 |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | |
| Other Funds: | | | | | Other Funds: | | | | |
| | | | | | *The difference between the amount in the supplemental bill and the total supplemental requested is release of reserve and a calculation error. | | | | |
| 2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM. | | | | | | | | | |
| Sections 67.3000 and 67.3005, RSMo, enacted by SBs 10 and 25 (2013), create two sports tax credits in the State of Missouri. DED expects the Amateur Sporting Events Tax Credits Program to be running by April or May of 2014. Sports commissions, certain nonprofit organizations, counties, and municipalities may use this program to offset expenses incurred in attracting amateur sporting events to Missouri. The amount of the tax credit is the lesser of five dollars for each admission ticket sold for the event or 100% of eligible expenses, which is capped at \$3M each fiscal year. In addition, the program creates a tax credit for event sponsors equal to 50% of the donations supporting the event, which is capped at \$10M each fiscal year. | | | | | | | | | |

SUPPLEMENTAL NEW DECISION ITEM

| Department of Economic Development Division of Business and Community Services Sports Tax Credit | Budget Unit <u>41965C</u> Original FY 2014 House Bill Section, if applicable <u>7.015</u> DI# <u>2419002</u> | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|--|---|-----------------------|---------------------------|-----------------------|---------------------------|--|--|-----------------------|-----------|-----------------------|--------------------------|-------|-------|-------|-------|--------|---------|-------|---------|-------|-----------------|-------|------|-------|------|--------------|----------------|--------------|----------------|--------------|
| 3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <p>Analysis of the number of FTE for the program was performed with Fiscal Note #0269-03 (SBs 10 and 25). DED anticipates that the implementation of these tax credit programs will require one additional FTE to administer the program. The FTE would be an Economic Development Incentive Specialist III and would be responsible for reviewing the tax credit applications to make sure they meet the criteria of the program, certifying the projects, completing tax credit awards, and ensuring compliance with the program. This request ties to the TAFP fiscal note. DED has written, and recently filed, the rules for the tax credit program, and have created the application and guidelines for both programs. DED has completed the work associated with this program by using existing employees that are performing the work in addition to their regular job duties and sometimes working overtime. The request is slightly lower than the fiscal note, as the E&E costs in the department request were not calculated based on the unit cost of E&E annual expenses. There is an associated FY15 NDI.</p> <p>The Governor's Recommendation assumes release of Governor's Reserve: PS reserve \$1,669 and E&E reserve \$2,120. The Department Request was short because the E&E calculation was based on ten months of funding as shown in the fiscal note, rather than using funding for a full year (divided ten months of funding by twelve and multiplied by two). The Governor's Recommendation is calculated based on a full year. See below for calculations.</p> | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th rowspan="2" style="width: 25%;">Expense</th> <th colspan="2" style="width: 30%;">Department Request</th> <th colspan="2" style="width: 45%;">Governor's Recommendation</th> </tr> <tr> <th style="width: 20%;">FY14 Fiscal Note (ten months of funding)</th> <th style="width: 10%;">Two Months of Funding</th> <th style="width: 15%;">Unit Cost</th> <th style="width: 30%;">Two Months of Funding</th> </tr> </thead> <tbody> <tr> <td>Professional Development</td> <td style="text-align: right;">\$700</td> <td style="text-align: right;">\$117</td> <td style="text-align: right;">\$840</td> <td style="text-align: right;">\$140</td> </tr> <tr> <td>Travel</td> <td style="text-align: right;">\$2,100</td> <td style="text-align: right;">\$350</td> <td style="text-align: right;">\$2,520</td> <td style="text-align: right;">\$420</td> </tr> <tr> <td>Office Supplies</td> <td style="text-align: right;">\$288</td> <td style="text-align: right;">\$48</td> <td style="text-align: right;">\$345</td> <td style="text-align: right;">\$58</td> </tr> <tr> <td>Total</td> <td style="text-align: right;">\$3,088</td> <td style="text-align: right;">\$515</td> <td style="text-align: right;">\$3,705</td> <td style="text-align: right;">\$618</td> </tr> </tbody> </table> | | Expense | Department Request | | Governor's Recommendation | | FY14 Fiscal Note (ten months of funding) | Two Months of Funding | Unit Cost | Two Months of Funding | Professional Development | \$700 | \$117 | \$840 | \$140 | Travel | \$2,100 | \$350 | \$2,520 | \$420 | Office Supplies | \$288 | \$48 | \$345 | \$58 | Total | \$3,088 | \$515 | \$3,705 | \$618 |
| Expense | Department Request | | Governor's Recommendation | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | FY14 Fiscal Note (ten months of funding) | Two Months of Funding | Unit Cost | Two Months of Funding | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Professional Development | \$700 | \$117 | \$840 | \$140 | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Travel | \$2,100 | \$350 | \$2,520 | \$420 | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Office Supplies | \$288 | \$48 | \$345 | \$58 | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Total | \$3,088 | \$515 | \$3,705 | \$618 | | | | | | | | | | | | | | | | | | | | | | | | | | |

SUPPLEMENTAL NEW DECISION ITEM

| Department of Economic Development | | | Budget Unit 41965C | | | | | | |
|--|---------------------------|-----------------------|----------------------------|--|------------------------------|--------------------------|------------------------------|--------------------------|---------------------------------|
| Division of Business and Community Services | | | | | | | | | |
| Sports Tax Credit | | DI# 2419002 | | Original FY 2014 House Bill Section, if applicable 7.015 | | | | | |
| 4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS. | | | | | | | | | |
| | Dept Req GR DOLLARS | Dept Req GR FTE | Dept Req FED DOLLARS | Dept Req FED FTE | Dept Req OTHER DOLLARS | Dept Req OTHER FTE | Dept Req TOTAL DOLLARS | Dept Req TOTAL FTE | Dept Req One-Time DOLLARS |
| Budget Object Class/Job Class | | | | | | | | | |
| 100/ECONOMIC DEV INCENTIVE SPC III | 6,836 | 0.17 | | | | | 6,836 | 0.17 | 6,836 |
| Total PS | 6,836 | 0.17 | 0 | 0.0 | 0 | 0.0 | 6,836 | 0.17 | 6,836 |
| 580/Office Equipment | 6,255 | | | | | | 6,255 | | 6,255 |
| 320/Professional Development | 116 | | | | | | 116 | | 116 |
| 140/Travel, In-State | 350 | | | | | | 350 | | 350 |
| 190/Supplies | 49 | | | | | | 49 | | 49 |
| Total EE | 6,770 | | 0 | | 0 | | 6,770 | | 6,770 |
| Grand Total | 13,606 | 0.17 | 0 | 0.0 | 0 | 0.0 | 13,606 | 0.17 | 13,606 |
| | Gov Rec GR DOLLARS | Gov Rec GR FTE | Gov Rec FED DOLLARS | Gov Rec FED FTE | Gov Rec OTHER DOLLARS | Gov Rec OTHER FTE | Gov Rec TOTAL DOLLARS | Gov Rec TOTAL FTE | Gov Rec One-Time DOLLARS |
| Budget Object Class/Job Class | | | | | | | | | |
| 100/ECONOMIC DEV INCENTIVE SPC III | 5,167 | 0.17 | | | | | 5,167 | 0.17 | 5,167 |
| Total PS | 5,167 | 0.17 | 0 | 0.0 | 0 | 0.0 | 5,167 | 0.17 | 5,167 |
| 580/Office Equipment | 4,135 | | | | | | 4,135 | | 4,135 |
| 320/Professional Development | 140 | | | | | | 140 | | 140 |
| 140/Travel, In-State | 420 | | | | | | 420 | | 420 |
| 190/Supplies | 58 | | | | | | 58 | | 58 |
| Total EE | 4,753 | | 0 | | 0 | | 4,753 | | 4,753 |
| Grand Total | 9,920 | 0.17 | 0 | 0.0 | 0 | 0.0 | 9,920 | 0.17 | 9,920 |

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|---|----------|----------|----------|----------|--|----------------|----------|----------|----------------|
| Department of Economic Development | | | | | Budget Unit <u>42075C</u> | | | | |
| Division of Business and Community Services | | | | | | | | | |
| BRAC Analysis DI# 2419005 | | | | | Original FY 2014 House Bill Section, if applicable <u>7.025</u> | | | | |
| 1. AMOUNT OF REQUEST | | | | | | | | | |
| FY 2014 Supplemental Budget Request | | | | | FY 2014 Supplemental Governor's Recommendation | | | | |
| | GR | Federal | Other | Total | | GR | Federal | Other | Total |
| PS | 0 | 0 | 0 | 0 | PS | 0 | 0 | 0 | 0 |
| EE | 0 | 0 | 0 | 0 | EE | 0 | 0 | 0 | 0 |
| PSD | 0 | 0 | 0 | 0 | PSD | 125,000 | 0 | 0 | 125,000 |
| TRF | 0 | 0 | 0 | 0 | TRF | 0 | 0 | 0 | 0 |
| Total | 0 | 0 | 0 | 0 | Total | 125,000 | 0 | 0 | 125,000 |
| FTE | 0.00 | 0.00 | 0.00 | 0.00 | FTE | 0.00 | 0.00 | 0.00 | 0.00 |
| POSITIONS | 0 | 0 | 0 | 0 | POSITIONS | 0 | 0 | 0 | 0 |
| NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | | NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | |
| <i>Est. Fringe</i> | 0 | 0 | 0 | 0 | <i>Est. Fringe</i> | 0 | 0 | 0 | 0 |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | |
| *As the department submitted the request after the October 1st deadline, the request amount shows zero. | | | | | | | | | |
| 2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM. | | | | | | | | | |
| It was announced in January, 2012 that Congress would seek authorization for the first Base Realignment and Closure (BRAC) analysis in 2013, with another round in 2015. This request will pay for the development of a report detailing the state's collective strategy to protect, return, and enhance Missouri's defense installation and their positive impact. | | | | | | | | | |
| 3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.) | | | | | | | | | |
| This request is for the anticipated amount needed for the cost of the report. | | | | | | | | | |

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|--|----------------|----------------|-----------------|-----------------|---|-------------------|-------------------|-------------------|----------------------|
| Department of Economic Development | | | | | Budget Unit <u>42075C</u> | | | | |
| Division of Business and Community Services | | | | | | | | | |
| BRAC Analysis | | | DI# 2419005 | | Original FY 2014 House Bill Section, if applicable <u>7.025</u> | | | | |
| 4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS. | | | | | | | | | |
| | Dept Req GR | Dept Req GR | Dept Req FED | Dept Req FED | Dept Req OTHER | Dept Req OTHER | Dept Req TOTAL | Dept Req TOTAL | Dept Req One-Time |
| Budget Object Class/Job Class | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS |
| Grand Total | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 |
| | Gov Rec GR | Gov Rec GR | Gov Rec FED | Gov Rec FED | Gov Rec OTHER | Gov Rec OTHER | Gov Rec TOTAL | Gov Rec TOTAL | Gov Rec One-Time |
| Budget Object Class/Job Class | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS |
| Program Distributions | 125,000 | | | | | | 125,000 | | 125,000 |
| Total PSD | 125,000 | | 0 | | 0 | | 125,000 | | 125,000 |
| Grand Total | 125,000 | 0.0 | 0 | 0.0 | 0 | 0.0 | 125,000 | 0.0 | 125,000 |
| 5. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional funding.) | | | | | | | | | |
| 5a. Provide an effectiveness measure. Not applicable | | | | | 5b. Provide an efficiency measure. Not applicable | | | | |
| 5c. Provide the number of clients/individuals served, if applicable. Not applicable | | | | | 5d. Provide a customer satisfaction measure, if available. Not applicable | | | | |
| 6. STRATEGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGETS: | | | | | | | | | |
| See BRAC core. | | | | | | | | | |

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|---|----------|----------|----------|----------|--|----------|----------|--------------|--------------|
| Department of Insurance, Financial Institutions and Professional Registration | | | | | Budget Unit <u>42740C</u> | | | | |
| Division of Professional Registration - State Board of Nursing | | | | | | | | | |
| Nursing Attorney Fees and Expenses | | | | | DI# 2375002 | | | | |
| | | | | | Original FY 2014 House Bill Section, if applicable <u>7.505</u> | | | | |
| 1. AMOUNT OF REQUEST | | | | | | | | | |
| FY 2014 Supplemental Budget Request | | | | | FY 2014 Supplemental Governor's Recommendation | | | | |
| | GR | Federal | Other | Total | | GR | Federal | Other | Total |
| PS | 0 | 0 | 0 | 0 | PS | 0 | 0 | 0 | 0 |
| EE | 0 | 0 | 0 | 0 | EE | 0 | 0 | 7,150 | 7,150 |
| PSD | 0 | 0 | 0 | 0 | PSD | 0 | 0 | 0 | 0 |
| TRF | 0 | 0 | 0 | 0 | TRF | 0 | 0 | 0 | 0 |
| Total | 0 | 0 | 0 | 0 | Total | 0 | 0 | 7,150 | 7,150 |
| FTE | 0.00 | 0.00 | 0.00 | 0.00 | FTE | 0.00 | 0.00 | 0.00 | 0.00 |
| POSITIONS | 0 | 0 | 0 | 0 | POSITIONS | 0 | 0 | 0 | 0 |
| NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | | NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | |
| Est. Fringe | 0 | 0 | 0 | 0 | Est. Fringe | 0 | 0 | 0 | 0 |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | |
| *As the department submitted the request after the October 1st deadline, the request amount shows zero. | | | | | | | | | |
| Other Funds: State Board of Nursing Fund (0635) | | | | | Other Funds: State Board of Nursing Fund (0635) | | | | |
| 2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM. | | | | | | | | | |
| <p>In case No. 11-0499 AF, the Administrative Hearing Commission (AHC) granted the petitioner's application for an award of legal expenses incurred by the respondents in <i>Mary Elaine Greer vs. State Board of Nursing</i>. The AHC ordered the State Board of Nursing to pay the petitioner fees and costs in the amount of \$7,149.16. The AHC found that the Board's position in the underlying case was not substantially justified.</p> <p>In accordance with section 536.087, RSMo, the State Board of Nursing is seeking appropriation authority in order to pay this award. The award will be paid from the State Board of Nursing fund. This is a one-time expense.</p> | | | | | | | | | |

SUPPLEMENTAL NEW DECISION ITEM

| | | |
|---|-------------|---|
| Department of Insurance, Financial Institutions and Professional Registration | Budget Unit | <u>42740C</u> |
| Division of Professional Registration - State Board of Nursing | | |
| Nursing Attorney Fees and Expenses | DI# 2375002 | Original FY 2014 House Bill Section, if applicable <u>7.505</u> |

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.)

The State Board of Nursing is requesting \$7,150 to allow payment in full of attorney fees and costs. The actual amounts are \$6,795.00 in attorney fees and \$354.16 in costs.

4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS.

| Budget Object Class/Job Class | Dept Req GR DOLLARS | Dept Req GR FTE | Dept Req FED DOLLARS | Dept Req FED FTE | Dept Req OTHER DOLLARS | Dept Req OTHER FTE | Dept Req TOTAL DOLLARS | Dept Req TOTAL FTE | Dept Req One-Time DOLLARS |
|-------------------------------|---------------------------|-----------------------|----------------------------|------------------------|------------------------------|--------------------------|------------------------------|--------------------------|---------------------------------|
| 400/Professional Services | | | | | 0 | | 0 | | 0 |
| Total EE | <u>0</u> | | <u>0</u> | | <u>0</u> | | <u>0</u> | | <u>0</u> |
| Grand Total | <u>0</u> | <u>0.0</u> | <u>0</u> | <u>0.0</u> | <u>0</u> | <u>0.0</u> | <u>0</u> | <u>0.0</u> | <u>0</u> |

| Budget Object Class/Job Class | Gov Rec GR DOLLARS | Gov Rec GR FTE | Gov Rec FED DOLLARS | Gov Rec FED FTE | Gov Rec OTHER DOLLARS | Gov Rec OTHER FTE | Gov Rec TOTAL DOLLARS | Gov Rec TOTAL FTE | Gov Rec One-Time DOLLARS |
|-------------------------------|--------------------------|----------------------|---------------------------|-----------------------|-----------------------------|-------------------------|-----------------------------|-------------------------|--------------------------------|
| 400/Professional Services | | | | | 7,150 | | 7,150 | | 7,150 |
| Total EE | <u>0</u> | | <u>0</u> | | <u>7,150</u> | | <u>7,150</u> | | <u>7,150</u> |
| Grand Total | <u>0</u> | <u>0.0</u> | <u>0</u> | <u>0.0</u> | <u>7,150</u> | <u>0.0</u> | <u>7,150</u> | <u>0.0</u> | <u>7,150</u> |

6. STRATEGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGETS:

The State Board of Nursing will carry out the directives of the AHC decision.

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|---|----------|----------|---------------|---------------|--|----------|----------|---------------|---------------|
| Department of Insurance, Financial Institutions and Professional Registration | | | | | Budget Unit <u>42760C</u> | | | | |
| Division of Professional Registration - Board of Pharmacy | | | | | | | | | |
| Pharmacy Attorney Fees and Expenses | | | | | DI# 2375001 | | | | |
| | | | | | Original FY 2014 House Bill Section, if applicable <u>7.515</u> | | | | |
| 1. AMOUNT OF REQUEST | | | | | | | | | |
| FY 2014 Supplemental Budget Request | | | | | FY 2014 Supplemental Governor's Recommendation | | | | |
| | GR | Federal | Other | Total | | GR | Federal | Other | Total |
| PS | 0 | 0 | 0 | 0 | PS | 0 | 0 | 0 | 0 |
| EE | 0 | 0 | 13,769 | 13,769 | EE | 0 | 0 | 13,769 | 13,769 |
| PSD | 0 | 0 | 0 | 0 | PSD | 0 | 0 | 0 | 0 |
| TRF | 0 | 0 | 0 | 0 | TRF | 0 | 0 | 0 | 0 |
| Total | 0 | 0 | 13,769 | 13,769 | Total | 0 | 0 | 13,769 | 13,769 |
| FTE | 0.00 | 0.00 | 0.00 | 0.00 | FTE | 0.00 | 0.00 | 0.00 | 0.00 |
| POSITIONS | 0 | 0 | 0 | 0 | POSITIONS | 0 | 0 | 0 | 0 |
| NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | | NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | |
| Est. Fringe | 0 | 0 | 0 | 0 | Est. Fringe | 0 | 0 | 0 | 0 |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | |
| Other Funds: Board of Pharmacy Fund (0637) | | | | | Other Funds: Board of Pharmacy Fund (0637) | | | | |
| 2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM. | | | | | | | | | |
| <p>In case No. 11-1959 AF, the Administrative Hearing Commission (AHC) granted the petitioner's application for an award of legal expenses incurred by the respondents in <i>Dale Stalder vs. Board of Pharmacy</i>. The AHC ordered the Board of Pharmacy to pay the petitioner fees and costs in the amount of \$13,768.50. The AHC found that the Board's position in the underlying case was not substantially justified.</p> <p>In accordance with section 536.087, RSMo, the Board of Pharmacy is seeking appropriation authority in order to pay this award. The award will be paid from the Board of Pharmacy fund. This is a one-time expense.</p> | | | | | | | | | |

SUPPLEMENTAL NEW DECISION ITEM

| Department of Insurance, Financial Institutions and Professional Registration | | | | | Budget Unit <u>42760C</u> | | | | |
|---|---------------------------|-----------------------|----------------------------|------------------------|------------------------------|---|------------------------------|--------------------------|---------------------------------|
| Division of Professional Registration - Board of Pharmacy | | | | | | | | | |
| Pharmacy Attorney Fees and Expenses | | | | DI# <u>2375001</u> | | Original FY 2014 House Bill Section, if applicable <u>7.515</u> | | | |
| 3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.) | | | | | | | | | |
| <p>The Board of Pharmacy is requesting \$13,769 to allow payment in full of attorney fees and costs. The actual amounts are \$13,269.00 in attorney fees and \$499.50 in costs.</p> | | | | | | | | | |
| 4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS. | | | | | | | | | |
| Budget Object Class/Job Class | Dept Req GR DOLLARS | Dept Req GR FTE | Dept Req FED DOLLARS | Dept Req FED FTE | Dept Req OTHER DOLLARS | Dept Req OTHER FTE | Dept Req TOTAL DOLLARS | Dept Req TOTAL FTE | Dept Req One-Time DOLLARS |
| 400/Professional Services | | | | | 13,769 | | 13,769 | | 13,769 |
| Total EE | <u>0</u> | | <u>0</u> | | <u>13,769</u> | | <u>13,769</u> | | <u>13,769</u> |
| Grand Total | <u>0</u> | <u>0.0</u> | <u>0</u> | <u>0.0</u> | <u>13,769</u> | <u>0.0</u> | <u>13,769</u> | <u>0.0</u> | <u>13,769</u> |
| Budget Object Class/Job Class | Gov Rec GR DOLLARS | Gov Rec GR FTE | Gov Rec FED DOLLARS | Gov Rec FED FTE | Gov Rec OTHER DOLLARS | Gov Rec OTHER FTE | Gov Rec TOTAL DOLLARS | Gov Rec TOTAL FTE | Gov Rec One-Time DOLLARS |
| 400/Professional Services | | | | | 13,769 | | 13,769 | | 13,769 |
| Total EE | <u>0</u> | | <u>0</u> | | <u>13,769</u> | | <u>13,769</u> | | <u>13,769</u> |
| Grand Total | <u>0</u> | <u>0.0</u> | <u>0</u> | <u>0.0</u> | <u>13,769</u> | <u>0.0</u> | <u>13,769</u> | <u>0.0</u> | <u>13,769</u> |
| 6. STRATEGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGETS: | | | | | | | | | |
| <p>The Board of Pharmacy will carry out the directives of the settlement agreement.</p> | | | | | | | | | |

SUPPLEMENTAL NEW DECISION ITEM

Department of Labor and Industrial Relations
 Division of Workers' Compensation
 Workers' Compensation SB1 Costs DI# 2625002

Budget Unit 62915C
 Original FY 2014 House Bill Section, if applicable 07.840

1. AMOUNT OF REQUEST

| FY 2014 Supplemental Budget Request | | | | |
|-------------------------------------|----------|----------|----------------|----------------|
| | GR | Federal | Other | Total |
| PS | 0 | 0 | 36,520 | 36,520 |
| EE | 0 | 0 | 357,737 | 357,737 |
| PSD | 0 | 0 | 0 | 0 |
| TRF | 0 | 0 | 0 | 0 |
| Total | 0 | 0 | 394,257 | 394,257 |

FTE 0.00 0.00 1.00 1.00
 POSITIONS 0 0 3 3
 NUMBER OF MONTHS POSITIONS ARE NEEDED: 4

| | | | | |
|--------------------|---|---|--------|--------|
| Est. Fringe | 0 | 0 | 19,264 | 19,264 |
|--------------------|---|---|--------|--------|

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Workers' Compensation Fund (0652)

| FY 2014 Supplemental Governor's Recommendation | | | | |
|--|----------|----------|----------------|----------------|
| | GR | Federal | Other | Total |
| PS | 0 | 0 | 36,520 | 36,520 |
| EE | 0 | 0 | 357,737 | 357,737 |
| PSD | 0 | 0 | 0 | 0 |
| TRF | 0 | 0 | 0 | 0 |
| Total | 0 | 0 | 394,257 | 394,257 |

FTE 0.00 0.00 0.00 0.00
 POSITIONS 0 0 0 0
 NUMBER OF MONTHS POSITIONS ARE NEEDED:

| | | | | |
|--------------------|---|---|--------|--------|
| Est. Fringe | 0 | 0 | 19,264 | 19,264 |
|--------------------|---|---|--------|--------|

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Workers' Compensation Fund (0652)

SUPPLEMENTAL NEW DECISION ITEM**Department of Labor and Industrial Relations****Budget Unit** 62915C**Division of Workers' Compensation****Workers' Compensation SB1 Costs****DI#** 2625002**Original FY 2014 House Bill Section, if applicable** 07.840**2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.**

Senate Bill 1 was passed in the 2013 Legislative Session and became effective on January 1, 2014. This act modifies the law relating to the Second Injury Fund and occupational disease within the workers' compensation system. One component of the act is the ability to impose a supplemental surcharge not to exceed three percent of net premiums from 2014 to 2021 in an effort to bolster the Second Injury Fund.

The increase in funding for the Second Injury Fund will allow the Division of Workers' Compensation to begin paying some held awards in an effort to eliminate the payment backlog. There will be an increased volume of processed awards and settlements, additional records related to payment priority, and increased complexity in the interest computations for the held benefits/benefits to be paid on awarded amounts due to the change in interest rates. Interest was previously established at ten percent per annum; per legislation effective January 1, 2014, interest will be at the adjusted rate established by the Director of Revenue pursuant to Section 32.065, RSMo or five percent, whichever is greater. In addition, there will be settlements relating to the permanent partial disability benefits which need to be tracked as the payments would not be made until the monies are collected in the Second Injury Fund. As of December 15, 2013, there were 31,457 open pending Second Injury Fund claims and 243 Second Injury Fund claims on or awaiting appeal.

Senate Bill 1 legislation will require computer programming changes to the existing mainframe system to allow for billing and collection of the supplemental surcharge and refunds that may be issued upon request. The system will also need to be programmed to:

- allow for the tracking of occupational diseases due to toxic exposure.
- accept electronic and paper first reports of injuries with new nature codes and injuries cause codes involved with the toxic exposure or mesothelioma injuries.
- capture new details on the toxic diagnosis/exposure relating to these cases. These new injuries will require new electronic correspondence with the parties involved.
- allow for tracking of employers who want to insure, in whole or in part, their employer's liability under a policy of insurance or a self-insurance plan.

SUPPLEMENTAL NEW DECISION ITEM

Department of Labor and Industrial Relations

Budget Unit 62915C

Division of Workers' Compensation

Workers' Compensation SB1 Costs

DI# 2625002

Original FY 2014 House Bill Section, if applicable 07.840

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.)

The Division of Workers' Compensation (DWC) is requesting 3.0 FTE (2.0 Accountant II and 1.0 Administrative Office Support Assistant) beginning in March 2014 to support the additional work load from SB 1. The accountants would be utilized in the Second Injury Fund (SIF) Unit to help with benefit payments. The clerical staff would be in the Medical Fee Dispute Unit to deal with the anticipated increase in filings of the Application for Payment of Additional Reimbursement form by health care providers. Salaries for each position are based on the promotion of existing staff for four months in FY 2014. In the TAFP Fiscal Note, Legislative Oversight indicated 3.0 FTE (1.0 attorney and 2.0 clerical) would be necessary based on the legislation. DWC believes 3.0 FTE (2.0 Accountant II and 1.0 Administrative Office Support Assistant) would best fill the needs related to implementing this legislation.

General expense and equipment costs for the two staff are:

Office supplies for 3.0 FTE at a rate of \$345 per year for four months.

Telephone costs of \$20 per month for 3.0 FTE for four months.

One-time computer equipment for 3.0 staff at \$706 per staff.

One-time office equipment for 3.0 staff at \$1,678 per staff

ITSD, in collaboration with DWC, is currently evaluating the costs of immediate needed changes to the Workers' Compensation computer system to be able to fulfill obligations set forth in SB1 legislation. DWC is entering into a Critical Needs PAQ with ITSD with an amount not to exceed \$350,000 to make necessary changes to the computer systems to allow the division to be in compliance with SB 1.

The Governor's recommendation does not include FTE because there is sufficient FTE authority within the Division of Workers' Compensation.

SUPPLEMENTAL NEW DECISION ITEM

| Department of Labor and Industrial Relations | | | | Budget Unit 62915C | | | | | |
|--|----------------|----------------|-----------------|--------------------|---|-------------------|-------------------|-------------------|----------------------|
| Division of Workers' Compensation | | | | | | | | | |
| Workers' Compensation SB1 Costs | | | DI# 2625002 | | Original FY 2014 House Bill Section, if applicable 07.840 | | | | |
| 4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS. | | | | | | | | | |
| | Dept Req GR | Dept Req GR | Dept Req FED | Dept Req FED | Dept Req OTHER | Dept Req OTHER | Dept Req TOTAL | Dept Req TOTAL | Dept Req One-Time |
| Budget Object Class/Job Class | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS |
| 100/Admin Office Support Asst | | | | | 11,739 | 0.3 | 11,739 | 0.3 | 11,739 |
| 100/Accountant II | | | | | 24,781 | 0.7 | 24,781 | 0.7 | 24,781 |
| Total PS | 0 | 0.0 | 0 | 0.0 | 36,520 | 1.0 | 36,520 | 1.0 | 36,520 |
| 190/Supplies | | | | | 345 | | 345 | | 345 |
| 340/Communication Serv & Supp | | | | | 240 | | 240 | | 240 |
| 400/Professional Services | | | | | 350,000 | | 350,000 | | 350,000 |
| 480/Computer Equipment | | | | | 2,118 | | 2,118 | | 2,118 |
| 580/Office Equipment | | | | | 5,034 | | 5,034 | | 5,034 |
| Total EE | 0 | | 0 | | 357,737 | | 357,737 | | 357,737 |
| Grand Total | 0 | 0.0 | 0 | 0.0 | 394,257 | 1.0 | 394,257 | 1.0 | 394,257 |
| | Gov Rec GR | Gov Rec GR | Gov Rec FED | Gov Rec FED | Gov Rec OTHER | Gov Rec OTHER | Gov Rec TOTAL | Gov Rec TOTAL | Gov Rec One-Time |
| Budget Object Class/Job Class | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS |
| 100/Admin Office Support Asst | | | | | 11,739 | | 11,739 | 0.0 | 11,739 |
| 100/Accountant II | | | | | 24,781 | | 24,781 | 0.0 | 24,781 |
| Total PS | 0 | 0.0 | 0 | 0.0 | 36,520 | 0.0 | 36,520 | 0.0 | 36,520 |
| 190/Supplies | | | | | 345 | | 345 | | 345 |
| 340/Communication Serv & Supp | | | | | 240 | | 240 | | 240 |
| 400/Professional Services | | | | | 350,000 | | 350,000 | | 350,000 |
| 480/Computer Equipment | | | | | 2,118 | | 2,118 | | 2,118 |
| 580/Office Equipment | | | | | 5,034 | | 5,034 | | 5,034 |
| Total EE | 0 | | 0 | | 357,737 | | 357,737 | | 357,737 |
| Grand Total | 0 | 0.0 | 0 | 0.0 | 394,257 | 0.0 | 394,257 | 0.0 | 394,257 |

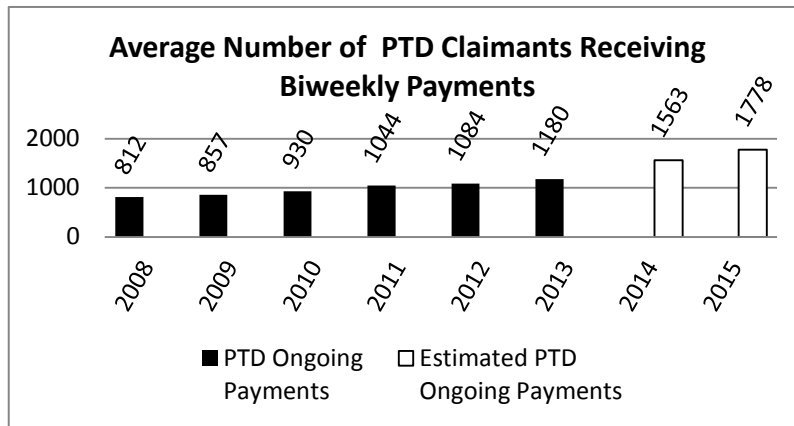
SUPPLEMENTAL NEW DECISION ITEM

Department of Labor and Industrial Relations
 Division of Workers' Compensation
 Workers' Compensation SB1 Costs DI# 2625002

Budget Unit 62915C
 Original FY 2014 House Bill Section, if applicable 07.840

5. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional funding.)

5a. Provide an effectiveness measure.



5b. Provide an efficiency measure.
 N/A

5c. Provide the number of clients/individuals served, if applicable.
 N/A

5d. Provide a customer satisfaction measure, if available.
 N/A

6. STRATEGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGETS:

N/A

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|--|----------|----------|------------------|------------------|--|----------|----------|------------------|------------------|
| Department of Labor and Industrial Relations | | | | | Budget Unit <u>62925C</u> | | | | |
| Division of Workers' Compensation | | | | | | | | | |
| Second Injury Fund - SB1 | | | | DI# 2625001 | Original FY 2014 House Bill Section, if applicable <u>7.845</u> | | | | |
| 1. AMOUNT OF REQUEST | | | | | | | | | |
| FY 2014 Supplemental Budget Request | | | | | FY 2014 Supplemental Governor's Recommendation | | | | |
| | GR | Federal | Other | Total | | GR | Federal | Other | Total |
| PS | 0 | 0 | 0 | 0 | PS | 0 | 0 | 0 | 0 |
| EE | 0 | 0 | 0 | 0 | EE | 0 | 0 | 0 | 0 |
| PSD | 0 | 0 | 7,945,489 | 7,945,489 | PSD | 0 | 0 | 7,945,489 | 7,945,489 E |
| TRF | 0 | 0 | 0 | 0 | TRF | 0 | 0 | 0 | 0 |
| Total | 0 | 0 | 7,945,489 | 7,945,489 | Total | 0 | 0 | 7,945,489 | 7,945,489 |
| FTE | 0.00 | 0.00 | 0.00 | 0.00 | FTE | 0.00 | 0.00 | 0.00 | 0.00 |
| POSITIONS | 0 | 0 | 0 | 0 | POSITIONS | 0 | 0 | 0 | 0 |
| NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | | NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | |
| Est. Fringe | 0 | 0 | 0 | 0 | Est. Fringe | 0 | 0 | 0 | 0 |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | |
| Other Funds: Workers' Compensation - Second Injury Fund (0653) | | | | | Other Funds: Workers' Compensation - Second Injury Fund (0653) | | | | |
| 2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM. | | | | | | | | | |
| <p>Senate Bill 1 was passed in the 2013 Legislative Session and became effective on January 1, 2014. This act modifies the law relating to the Second Injury Fund and occupational disease within the workers' compensation system. One component of the act is the ability to impose a supplemental surcharge not to exceed 3% of net premiums from 2014 to 2021 in an effort to bolster the Second Injury Fund. The Division of Workers' Compensation needs additional appropriation authority for benefit payments.</p> <p>Currently the Second Injury Fund has approximately 1,800 cases in which payments have been held, with unpaid obligations around \$38.5 million. The \$38.5 million is the cost of the first payment only and does not include the bi-weekly benefits and interest that has accrued since the original payments were due. Awards from March of 2012 to current are presently being held.</p> <p>The fund will start to see increased revenues, as a result of the legislation, during the 4th quarter of FY 2014. As each held award's initial payment is made, there will be future bi-weekly benefit/interest obligations on each newly paid award.</p> | | | | | | | | | |

SUPPLEMENTAL NEW DECISION ITEM

| Department of Labor and Industrial Relations | | | | Budget Unit <u>62925C</u> | | | | | |
|---|---|-----------------------|----------------------------|---|------------------------------|--|------------------------------|--------------------------|---------------------------------|
| Division of Workers' Compensation | | | | | | | | | |
| Second Injury Fund - SB1 | | DI# 2625001 | | Original FY 2014 House Bill Section, if applicable <u>7.845</u> | | | | | |
| 3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.) | | | | | | | | | |
| The additional FY 2014 appropriation requested for benefits is based on historical expenditures, the amount of unpaid liability that has been held due to funding issues and the projected revenues attributable to the supplemental assessment. | | | | | | | | | |
| 4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS. | | | | | | | | | |
| Budget Object Class/Job Class | Dept Req GR DOLLARS | Dept Req GR FTE | Dept Req FED DOLLARS | Dept Req FED FTE | Dept Req OTHER DOLLARS | Dept Req OTHER FTE | Dept Req TOTAL DOLLARS | Dept Req TOTAL FTE | Dept Req One-Time DOLLARS |
| Program Distributions | | | | | 7,945,489 | | 7,945,489 | | 7,945,489 |
| Total PSD | <u>0</u> | | <u>0</u> | | <u>7,945,489</u> | | <u>7,945,489</u> | | <u>7,945,489</u> |
| Grand Total | <u>0</u> | <u>0.0</u> | <u>0</u> | <u>0.0</u> | <u>7,945,489</u> | <u>0.0</u> | <u>7,945,489</u> | <u>0.0</u> | <u>7,945,489</u> |
| Budget Object Class/Job Class | Gov Rec GR DOLLARS | Gov Rec GR FTE | Gov Rec FED DOLLARS | Gov Rec FED FTE | Gov Rec OTHER DOLLARS | Gov Rec OTHER FTE | Gov Rec TOTAL DOLLARS | Gov Rec TOTAL FTE | Gov Rec One-Time DOLLARS |
| Program Distributions | | | | | 7,945,489E | | 7,945,489E | | 7,945,489E |
| Total PSD | <u>0</u> | | <u>0</u> | | <u>7,945,489</u> | | <u>7,945,489</u> | | <u>7,945,489</u> |
| Grand Total | <u>0</u> | <u>0.0</u> | <u>0</u> | <u>0.0</u> | <u>7,945,489</u> | <u>0.0</u> | <u>7,945,489</u> | <u>0.0</u> | <u>7,945,489</u> |
| 5. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional funding.) | | | | | | | | | |
| 5a. | Provide an effectiveness measure. N/A | | | | 5b. | Provide an efficiency measure. N/A | | | |
| 5c. | Provide the number of clients/individuals served, if applicable. N/A | | | | 5d. | Provide a customer satisfaction measure, if N/A | | | |
| 6. STRATEGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGETS: | | | | | | | | | |
| N/A | | | | | | | | | |

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|---|----------|------------------|----------|------------------|--|----------|------------------|----------|------------------|
| Department of Labor and Industrial Relations | | | | | Budget Unit <u>63046C</u> | | | | |
| Division of Employment Security | | | | | Original FY 2014 House Bill Section, if applicable <u>07.880</u> | | | | |
| Employment and Training Increase DI# 2625003 | | | | | | | | | |
| 1. AMOUNT OF REQUEST | | | | | | | | | |
| FY 2014 Supplemental Budget Request | | | | | FY 2014 Supplemental Governor's Recommendation | | | | |
| | GR | Federal | Other | Total | | GR | Federal | Other | Total |
| PS | 0 | 0 | 0 | 0 | PS | 0 | 0 | 0 | 0 |
| EE | 0 | 0 | 0 | 0 | EE | 0 | 0 | 0 | 0 |
| PSD | 0 | 2,000,000 | 0 | 2,000,000 | PSD | 0 | 2,000,000 | 0 | 2,000,000 |
| TRF | 0 | 0 | 0 | 0 | TRF | 0 | 0 | 0 | 0 |
| Total | 0 | 2,000,000 | 0 | 2,000,000 | Total | 0 | 2,000,000 | 0 | 2,000,000 |
| FTE | 0.00 | 0.00 | 0.00 | 0.00 | FTE | 0.00 | 0.00 | 0.00 | 0.00 |
| POSITIONS | 0 | 0 | 0 | 0 | POSITIONS | 0 | 0 | 0 | 0 |
| NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | | NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | |
| Est. Fringe | 0 | 0 | 0 | 0 | Est. Fringe | 0 | 0 | 0 | 0 |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | |
| Other Funds: | | | | | Other Funds: | | | | |
| 2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM. | | | | | | | | | |
| <p>Unemployment Insurance (UI) benefits to eligible claimants are provided as a result of job loss due to natural disasters and workforce reductions related to trade agreements through the Employment & Training Payments core. Based upon federal criteria, the Disaster Unemployment Assistance (DUA) and Trade Adjustment Assistance (TAA) programs provide these benefits. The administrative costs associated with these programs are included in the division's administrative core request.</p> <p>The Middle Class Tax Relief and Job Creation Act of 2012 contained a provision that allowed states with current Short-Time Compensation (STC) programs to request reimbursement for STC benefit costs for up to three years and six months after the date of enactment of the Act; however the combined number of weeks of reimbursement may not exceed 156. Missouri has a STC program and entered into an agreement with the US Department of Labor to receive reimbursement for STC benefit costs. Due to the method being used by the US Department of Labor to distribute the STC reimbursement funds, appropriation authority is needed to convey the federal funds to the UI Trust Fund for distribution to claimants.</p> <p>In FY 2013, an 'E' was removed from the Employment and Training Payments Core appropriation. This increase is needed to allow the division to accept and transmit to the UI Trust Fund the federal funds related to these specific federal programs.</p> | | | | | | | | | |

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|---|---|----------------|------------------|--|-------------------|--|-------------------|-------------------|----------------------|
| Department of Labor and Industrial Relations | | | | Budget Unit <u>63046C</u> | | | | | |
| Division of Employment Security | | | | | | | | | |
| Employment and Training Increase DI# 2625003 | | | | Original FY 2014 House Bill Section, if applicable <u>07.880</u> | | | | | |
| 3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.) | | | | | | | | | |
| <p>Funding for the Short Time Compensation is estimated to be \$400,000 per month or \$4,800,000 annually. Missouri currently has approximately \$3,000,000 due in reimbursement under this program. An additional \$2,000,000 in appropriation authority should allow the division to accept and transmit the STC funds to the UI Trust Fund.</p> | | | | | | | | | |
| 4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS. | | | | | | | | | |
| | Dept Req GR | Dept Req GR | Dept Req FED | Dept Req FED | Dept Req OTHER | Dept Req OTHER | Dept Req TOTAL | Dept Req TOTAL | Dept Req One-Time |
| Budget Object Class/Job Class | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS |
| Program Distributions | | | 2,000,000 | | | | 2,000,000 | | 2,000,000 |
| Total PSD | 0 | | 2,000,000 | | 0 | | 2,000,000 | | 2,000,000 |
| Grand Total | 0 | 0.0 | 2,000,000 | 0.0 | 0 | 0.0 | 2,000,000 | 0.0 | 2,000,000 |
| | Gov Rec GR | Gov Rec GR | Gov Rec FED | Gov Rec FED | Gov Rec OTHER | Gov Rec OTHER | Gov Rec TOTAL | Gov Rec TOTAL | Gov Rec One-Time |
| Budget Object Class/Job Class | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS |
| Program Distributions | | | 2,000,000 | | | | 2,000,000 | | 2,000,000 |
| Total PSD | 0 | | 2,000,000 | | 0 | | 2,000,000 | | 2,000,000 |
| Grand Total | 0 | 0.0 | 2,000,000 | 0.0 | 0 | 0.0 | 2,000,000 | 0.0 | 2,000,000 |
| 5. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional funding.) | | | | | | | | | |
| 5a. | Provide an effectiveness measure. | | | | 5b. | Provide an efficiency measure. | | | |
| | N/A | | | | | N/A | | | |
| 5c. | Provide the number of clients/individuals served, if applicable. | | | | 5d. | Provide a customer satisfaction measure, if | | | |
| | N/A | | | | | N/A | | | |
| 6. STRATEGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGETS: | | | | | | | | | |
| N/A | | | | | | | | | |

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|---|----------|---------------|----------|---------------|--|----------|---------------|----------|---------------|
| Department of Labor and Industrial Relations | | | | | Budget Unit <u>63409C</u> | | | | |
| Missouri Commission on Human Rights | | | | | | | | | |
| Increase MCHR Federal Appropriation | | | | DI# 2625004 | Original FY 2014 House Bill Section, if applicable <u>7.900</u> | | | | |
| 1. AMOUNT OF REQUEST | | | | | | | | | |
| FY 2014 Supplemental Budget Request | | | | | FY 2014 Supplemental Governor's Recommendation | | | | |
| | GR | Federal | Other | Total | | GR | Federal | Other | Total |
| PS | 0 | 21,650 | 0 | 21,650 | PS | 0 | 0 | 0 | 0 |
| EE | 0 | 12,500 | 0 | 12,500 | EE | 0 | 12,500 | 0 | 12,500 |
| PSD | 0 | 11,000 | 0 | 11,000 | PSD | 0 | 11,000 | 0 | 11,000 |
| TRF | 0 | 0 | 0 | 0 | TRF | 0 | 0 | 0 | 0 |
| Total | 0 | 45,150 | 0 | 45,150 | Total | 0 | 23,500 | 0 | 23,500 |
| FTE | 0.00 | 0.50 | 0.00 | 0.50 | FTE | 0.00 | 0.00 | 0.00 | 0.00 |
| POSITIONS | 0 | 0 | 0 | 2 | POSITIONS | 0 | 0 | 0 | 0 |
| NUMBER OF MONTHS POSITIONS ARE NEEDED: | | | | 3 | NUMBER OF MONTHS POSITIONS ARE NEEDED: | | | | |
| Est. Fringe | 0 | 11,420 | 0 | 11,420 | Est. Fringe | 0 | 0 | 0 | 0 |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | |
| Other Funds: | | | | | Other Funds: | | | | |
| 2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM. | | | | | | | | | |
| <p>This Commission is mandated under the Missouri Human Rights Act, Chapter 213; Title VII and Title VIII of the U.S. Civil Rights Law. These funds are requested because the Missouri Commission on Human Rights (MCHR) has a special federal grant award from the U.S. Department of Housing and Urban Development. The grant award will allow MCHR to provide expanded education and outreach for Missouri citizens to understand their rights and responsibilities under the Fair Housing Law. MCHR provides equitable and timely resolutions of discrimination claims through enforcement of the Missouri Human Rights Act. MCHR devises, recommends, and implements ways to prevent and eliminate discrimination.</p> | | | | | | | | | |

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|--|--|--|--------------------|--|--|--|--------------|--|--|
| Department of Labor and Industrial Relations | | | | Budget Unit <u>63409C</u> | | | | | |
| Missouri Commission on Human Rights | | | | | | | | | |
| Increase MCHR Federal Appropriation | | | DI# <u>2625004</u> | Original FY 2014 House Bill Section, if applicable | | | <u>7.900</u> | | |

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.)

The amount requested for this decision item is based on Missouri Commission on Human Rights' proposal for a one-time (multi-year) increase in the federal contract with the Department of Housing and Urban Development (HUD). Missouri Commission on Human Rights is requesting appropriation authority for two FTE (one production Human Relations Officer (HRO) and one intake HRO), training and office equipment. The Housing Intake position takes all housing intake calls, determines jurisdiction, drafts the complaint of discrimination, and gets the position statements. After the Housing Intake Officer completes the preliminary process of the investigation, the discrimination charge is then given to the production Housing Investigator to complete the investigation and make a determination. The production Housing Investigator must complete 48 housing investigations per year. The Missouri Commission on Human Rights has also requested funds to continue to educate the citizens of Missouri on their rights and responsibilities under the Missouri Fair Housing Act.

The Governor's recommended amount does not include PS or FTE because there is sufficient PS and FTE authority within the Missouri Commission on Human Rights' core.

4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS.

| Budget Object Class/Job Class | Dept Req GR DOLLARS | Dept Req GR FTE | Dept Req FED DOLLARS | Dept Req FED FTE | Dept Req OTHER DOLLARS | Dept Req OTHER FTE | Dept Req TOTAL DOLLARS | Dept Req TOTAL FTE | Dept Req One-Time DOLLARS |
|-------------------------------|---------------------------|-----------------------|----------------------------|------------------------|------------------------------|--------------------------|------------------------------|--------------------------|---------------------------------|
| 100/Human Relations OFCR I | | | 21,650 | 0.5 | | | 21,650 | 0.5 | 21,650 |
| Total PS | 0 | 0.0 | 21,650 | 0.5 | 0 | 0.0 | 21,650 | 0.5 | 21,650 |
| 140/Travel, In-State | | | 2,000 | | | | 2,000 | | 2,000 |
| 160/Travel, Out-of-State | | | 5,500 | | | | 5,500 | | 5,500 |
| 580/Office Equipment | | | 5,000 | | | | 5,000 | | 5,000 |
| Total EE | 0 | | 12,500 | | 0 | | 12,500 | | 12,500 |
| Program Distributions | | | 11,000 | | | | 11,000 | | 11,000 |
| Total PSD | 0 | | 11,000 | | 0 | | 11,000 | | 11,000 |
| Grand Total | 0 | 0.0 | 45,150 | 0.5 | 0 | 0.0 | 45,150 | 0.5 | 45,150 |

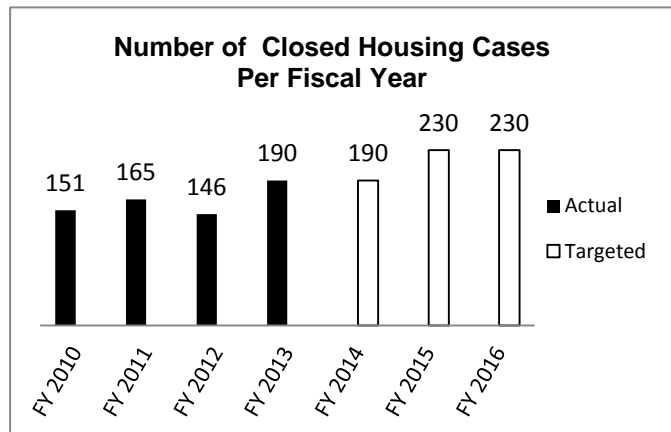
SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|---|-----------------------------------|-------------------------------|------------------------------------|--------------------------------|--|----------------------------------|--------------------------------------|----------------------------------|---|
| Department of Labor and Industrial Relations | | | Budget Unit <u>63409C</u> | | | | | | |
| Missouri Commission on Human Rights | | | | | | | | | |
| Increase MCHR Federal Appropriation | | | DI# 2625004 | | Original FY 2014 House Bill Section, if applicable <u>7.900</u> | | | | |
| Budget Object Class/Job Class | Gov Rec GR DOLLARS | Gov Rec GR FTE | Gov Rec FED DOLLARS | Gov Rec FED FTE | Gov Rec OTHER DOLLARS | Gov Rec OTHER FTE | Gov Rec TOTAL DOLLARS | Gov Rec TOTAL FTE | Gov Rec One-Time DOLLARS |
| 100/Human Relations OFCR I | | | 0 | | | | 0 | 0.0 | 0 |
| Total PS | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 |
| 140/Travel, In-State | | | 2,000 | | | | 2,000 | | 2,000 |
| 160/Travel, Out-of-State | | | 5,500 | | | | 5,500 | | 5,500 |
| 580/Office Equipment | | | 5,000 | | | | 5,000 | | 5,000 |
| Total EE | 0 | | 12,500 | | 0 | | 12,500 | | 12,500 |
| Program Distributions | | | 11,000 | | | | 11,000 | | 11,000 |
| Total PSD | 0 | | 11,000 | | 0 | | 11,000 | | 11,000 |
| Grand Total | 0 | 0.0 | 23,500 | 0.0 | 0 | 0.0 | 23,500 | 0.0 | 23,500 |

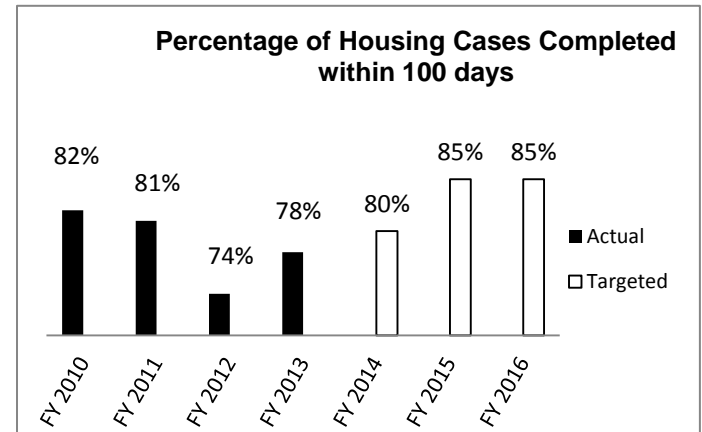
SUPPLEMENTAL NEW DECISION ITEM

| | | |
|--|--|---------|
| Department of Labor and Industrial Relations | Budget Unit | 63409C |
| Missouri Commission on Human Rights | | |
| Increase MCHR Federal Appropriation | DI# | 2625004 |
| | Original FY 2014 House Bill Section, if applicable | 7.900 |
| 5. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional funding.) | | |

5a. Provide an effectiveness measure.



5b. Provide an efficiency measure.



5c. Provide the number of clients/individuals served, if applicable.

MCHR will be able to serve more than 100 additional individuals seeking help or education regarding their housing rights and responsibilities.

5d. Provide a customer satisfaction measure, if available.
N/A

6. STRATEGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGETS:

A Human Relations Officer (HRO) provides education and outreach to the Missouri Citizens while also being held to production requirements. A production HRO is required to complete 48 housing investigations per year. These HROs work to continue MCHR's efforts to prevent and eliminate illegal discrimination in employment, housing and public accommodation. MCHR will continue to hold the HROs to strict production standards to meet and achieve our performance measures.

SUPPLEMENTAL NEW DECISION ITEM

Department of Public Safety

Budget Unit 81313C

Office of the Director

MODEX Fund Spending Authority DI# 2812001Original FY 2014 House Bill Section, if applicable N/A

1. AMOUNT OF REQUEST

| FY 2014 Supplemental Budget Request | | | | |
|-------------------------------------|----|---------|---------|---------|
| | GR | Federal | Other | Total |
| PS | 0 | 0 | 28,000 | 28,000 |
| EE | 0 | 0 | 201,500 | 201,500 |
| PSD | 0 | 0 | 0 | 0 |
| TRF | 0 | 0 | 0 | 0 |
| Total | 0 | 0 | 229,500 | 229,500 |

FTE 0.00 0.00 0.33 0.33
 POSITIONS 0 0 0 1
 NUMBER OF MONTHS POSITIONS ARE NEEDED: 4

| | | | | |
|--|--|--|--|--|
| Est. Fringe | | | | |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | |

Other Funds: MODEX Fund (0867)

| FY 2014 Supplemental Governor's Recommendation | | | | |
|--|----|---------|---------|---------|
| | GR | Federal | Other | Total |
| PS | 0 | 0 | 28,000 | 28,000 |
| EE | 0 | 0 | 201,500 | 201,500 |
| PSD | 0 | 0 | 0 | 0 |
| TRF | 0 | 0 | 0 | 0 |
| Total | 0 | 0 | 229,500 | 229,500 |

FTE 0.00 0.00 0.33 0.33
 POSITIONS 0 0 0 1
 NUMBER OF MONTHS POSITIONS ARE NEEDED: 4

| | | | | |
|--|--|--|--|--|
| Est. Fringe | | | | |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | |

Other Funds: MODEX Fund (0867)

2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

In 2013, SB100 established the MODEX fund under Section 488.5320 RSMo: "There is hereby created in the state treasury the 'MODEX Fund', which shall consist of money collected under subsection 1 of this section. The fund shall be administered by the Peace Officers Standards and Training Commission established in section 590.120. The state treasurer shall be custodian of the fund. In accordance with Sections 30.170 and 30.180 RSMo, the state treasurer may approve disbursements. The fund shall be a dedicated fund and, upon appropriation, money in the fund shall be used solely for the operational support and expansion of the MODEX system." The Missouri Data Exchange (MODEX) is a statewide law enforcement information sharing system. MODEX provides local, county, and state level law enforcement agencies the ability to share information among their records management systems. MODEX was created in 2006 as an initiative by local police, sheriffs, and state law enforcement agencies and is administered by the Department of Public Safety. The MODEX Fund was established to create a permanent funding source for support and maintenance of the system and to provide for system expansion.

System support costs include maintenance of integrated system interfaces, associated hardware, software, data storage, and operational costs. If supplemental spending authority is not available, DPS would be unable to pay the remaining FY14 maintenance and development bills, and may be assessed late charges for being past due.

SUPPLEMENTAL NEW DECISION ITEM

Department of Public Safety
Office of the Director
MODEX Fund Spending Authority DI# 2812001

Budget Unit 81313C

Original FY 2014 House Bill Section, if applicable N/A

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.)

The costs are based upon current salary and maintenance agreements for the remaining six months of FY14. The total costs for operating the program in FY15 will be \$501,508 which is requested in HB8.

4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS.

| Budget Object Class/Job Class | Dept Req GR DOLLARS | Dept Req GR FTE | Dept Req FED DOLLARS | Dept Req FED FTE | Dept Req OTHER DOLLARS | Dept Req OTHER FTE | Dept Req TOTAL DOLLARS | Dept Req TOTAL FTE | Dept Req One-Time DOLLARS |
|------------------------------------|---------------------------|-----------------------|----------------------------|------------------------|------------------------------|--------------------------|------------------------------|--------------------------|---------------------------------|
| 100/Special Assistant-Professional | | | | | 28,000 | 0.33 | 28,000 | 0.33 | 28,000 |
| Total PS | 0 | 0.0 | 0 | 0.0 | 28,000 | 0.33 | 28,000 | 0.33 | 28,000 |
| 140/Travel, In-State | | | | | 2,000 | | 2,000 | | 2,000 |
| 190/Supplies | | | | | 500 | | 500 | | 500 |
| 430/M&R Services | | | | | 199,000 | | 199,000 | | 199,000 |
| Total EE | 0 | | 0 | | 201,500 | | 201,500 | | 201,500 |
| Grand Total | 0 | 0.0 | 0 | 0.0 | 229,500 | 0.33 | 229,500 | 0.33 | 229,500 |

| Budget Object Class/Job Class | Gov Rec GR DOLLARS | Gov Rec GR FTE | Gov Rec FED DOLLARS | Gov Rec FED FTE | Gov Rec OTHER DOLLARS | Gov Rec OTHER FTE | Gov Rec TOTAL DOLLARS | Gov Rec TOTAL FTE | Gov Rec One-Time DOLLARS |
|------------------------------------|--------------------------|----------------------|---------------------------|-----------------------|-----------------------------|-------------------------|-----------------------------|-------------------------|--------------------------------|
| 100/Special Assistant-Professional | | | | | 28,000 | 0.33 | 28,000 | 0.33 | 28,000 |
| Total PS | 0 | 0.0 | 0 | 0.0 | 28,000 | 0.33 | 28,000 | 0.33 | 28,000 |
| 140/Travel, In-State | | | | | 2,000 | | 2,000 | | 2,000 |
| 190/Supplies | | | | | 500 | | 500 | | 500 |
| 430/M&R Services | | | | | 199,000 | | 199,000 | | 199,000 |
| Total EE | 0 | | 0 | | 201,500 | | 201,500 | | 201,500 |
| Grand Total | 0 | 0 | 0 | 0 | 229,500 | 0.33 | 229,500 | 0.33 | 229,500 |

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|---|---------------|----------------|--------------|---------------|--|---------------|----------------|--------------|---------------|
| Department of Public Safety | | | | | Budget Unit <u>81405C</u> | | | | |
| Capitol Police | | | | | | | | | |
| E & E Increase DI#2812004 | | | | | Original FY 2014 House Bill Section, if applicable <u>8.075</u> | | | | |
| 1. AMOUNT OF REQUEST | | | | | | | | | |
| FY 2014 Supplemental Budget Request | | | | | FY 2014 Supplemental Governor's Recommendation | | | | |
| | GR | Federal | Other | Total | | GR | Federal | Other | Total |
| PS | 0 | 0 | 0 | 0 | PS | 0 | 0 | 0 | 0 |
| EE | 31,325 | 0 | 0 | 31,325 | EE | 29,674 | 0 | 0 | 29,674 |
| PSD | 0 | 0 | 0 | 0 | PSD | 0 | 0 | 0 | 0 |
| TRF | 0 | 0 | 0 | 0 | TRF | 0 | 0 | 0 | 0 |
| Total | 31,325 | 0 | 0 | 31,325 | Total | 29,674 | 0 | 0 | 29,674 |
| FTE | 0.00 | 0.00 | 0.00 | 0.00 | FTE | 0.00 | 0.00 | 0.00 | 0.00 |
| POSITIONS | 0 | 0 | 0 | 0 | POSITIONS | 0 | 0 | 0 | 0 |
| NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | | NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | |
| Est. Fringe | 0 | 0 | 0 | 0 | Est. Fringe | 0 | 0 | 0 | 0 |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | |
| *The difference between the amount in the supplemental bill and the total supplemental requested is release of reserves. | | | | | | | | | |
| 2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM. | | | | | | | | | |
| <p>Capitol Police Officers are authorized under RSMo 8.177. Expense and Equipment (E&E) funds are needed to purchase uniforms for officers, equipment for firearms, parking enforcement software, professional accreditation and vehicle replacement. The request is for a \$31,325 increase to the E&E budget to fund the above items. In addition, the increased cost of vehicle fuel necessitates an increase in funding. Funding this request will result in increased public safety as well afford the ability to address officer safety concerns regarding equipment.</p> <p>The Capitol Police appropriations had flexibility between PS and E&E prior to FY14. Because of the loss of flexibility, the Capitol Police cannot use savings from vacancies to meet its critical E&E needs. Its Personal Services appropriation will lapse at least \$31,325 to offset this requested supplemental.</p> | | | | | | | | | |

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|------------------------------------|-------------------|--|---|--|--|--|--|--------------|--|
| Department of Public Safety | | | Budget Unit <u>81405C</u> | | | | | | |
| Capitol Police | | | | | | | | | |
| E & E Increase | DI#2812004 | | Original FY 2014 House Bill Section, if applicable | | | | | 8.075 | |

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.)

Veterinarian Services \$400: Veterinarian bills are increasing due to the current age of both the department's K-9's. **Replacement Vehicles \$13,500:** One of the patrol vehicles has over 99,000 miles. Due to its high mileage there has been an increase in repair costs. This request includes the purchase of a used Mo. State Highway Patrol vehicle to replace the high mileage vehicle. **Police Uniforms \$5,100:** Officers are in need of replacement uniforms. In FY13, Capitol Police only replaced uniforms that were beyond repair. **Fuel \$2,500:** Capitol Police is requesting an increase in funds to cover rising fuel cost. **Ammunition \$3,000:** No ammunition was purchased in FY13. Capitol Police is requesting funds to purchase enough ammunition of complete yearly firearms training. **Patrol Bicycle Equipment replace/repair \$1,250:** Capitol Police patrol bicycles are over 15 years old and are in need of replacement or repair. **Parking ticket writer software \$1,275:** Capitol Police is requesting funds to update the parking ticket writer software. **Firearms \$800:** The internal parts on the department shotguns are starting to wear out from repeated use. This could result in a fire malfunction causing serious injury or death to an officer if the weapon did not fire when needed. Funds are requested to repair or replace the current department shotguns. **National Law Enforcement Accreditation \$3,500:** To aid in obtaining national accreditation.

4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS.

| Budget Object Class/Job Class | Dept Req GR DOLLARS | Dept Req GR FTE | Dept Req FED DOLLARS | Dept Req FED FTE | Dept Req OTHER DOLLARS | Dept Req OTHER FTE | Dept Req TOTAL DOLLARS | Dept Req TOTAL FTE | Dept Req One-Time DOLLARS |
|-------------------------------|---------------------------|-----------------------|----------------------------|------------------------|------------------------------|--------------------------|------------------------------|--------------------------|---------------------------------|
| 190/Supplies | 10,600 | | | | | | 10,600 | | 10,600 |
| 320/Professional Development | 3,500 | | | | | | 3,500 | | 3,500 |
| 400/Professional Services | 400 | | | | | | 400 | | 400 |
| 430/M&R Services | 2,075 | | | | | | 2,075 | | 2,075 |
| 590/Other Equipment | 1,250 | | | | | | 1,250 | | 1,250 |
| 560/Motorized Equipment | 13,500 | | | | | | 13,500 | | 13,500 |
| Total EE | 31,325 | | 0 | | 0 | | 31,325 | | 31,325 |
| Grand Total | 31,325 | 0.0 | 0 | 0.0 | 0 | 0.0 | 31,325 | 0.0 | 31,325 |

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|--|---------------|---------------|----------------|--|--|------------------|------------------|------------------|---------------------|
| Department of Public Safety | | | | Budget Unit 81405C | | | | | |
| Capitol Police | | | | | | | | | |
| E & E Increase | | DI#2812004 | | Original FY 2014 House Bill Section, if applicable | | | | 8.075 | |
| | | | | | | | | | |
| | Gov Rec GR | Gov Rec GR | Gov Rec FED | Gov Rec FED | Gov Rec OTHER | Gov Rec OTHER | Gov Rec TOTAL | Gov Rec TOTAL | Gov Rec One-Time |
| Budget Object Class/Job Class | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS |
| 190/Supplies | 10,600 | | | | | | 10,600 | | 10,600 |
| 320/Professional Development | 3,500 | | | | | | 3,500 | | 3,500 |
| 400/Professional Services | 400 | | | | | | 400 | | 400 |
| 430/M&R Services | 2,075 | | | | | | 2,075 | | 2,075 |
| 590/Other Equipment | 1,250 | | | | | | 1,250 | | 1,250 |
| 560/Motorized Equipment | 11,849 | | | | | | 11,849 | | 11,849 |
| Total EE | 29,674 | | 0 | | 0 | | 29,674 | | 29,674 |
| Grand Total | 29,674 | 0.0 | 0 | 0.0 | 0 | 0.0 | 29,674 | 0.0 | 29,674 |
| | | | | | | | | | |
| 5. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional funding.) | | | | | | | | | |
| 5a. Provide an effectiveness measure. Funding this request will result in increased public safety as well afford us the ability to address officer safety concerns regarding equipment. | | | | | 5b. Provide an efficiency measure. Funding the requested items will aid in Capitol Police being more efficient in responding to emergency and non-emergency calls. | | | | |
| 5c. Provide the number of clients/individuals served, if applicable. 220,000 annual visitors to the Capitol Complex - Approximately 18,000 state employees in Cole County | | | | | 5d. Provide a customer satisfaction measure, if available. FY2010 Survey Results- 81% Excellent or Good FY2011 Survey Results- 98% Excellent or Good FY2012 Survey Results- 97% Positive Response FY2013 Survey Results- In Progress | | | | |
| 6. STRATEGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGETS: | | | | | | | | | |
| N/A | | | | | | | | | |

SUPPLEMENTAL NEW DECISION ITEM

Department of Public Safety
Office of the Adjutant General
Contract Services Federal Adjustment DI#2812002

Budget Unit 85442C
Original FY 2014 House Bill Section, if applicable 8.270

1. AMOUNT OF REQUEST

| FY 2014 Supplemental Budget Request | | | | |
|-------------------------------------|----|-----------|-------|-----------|
| | GR | Federal | Other | Total |
| PS | 0 | 0 | 0 | 0 |
| EE | 0 | 2,600,000 | 0 | 2,600,000 |
| PSD | 0 | 0 | 0 | 0 |
| TRF | 0 | 0 | 0 | 0 |
| Total | 0 | 2,600,000 | 0 | 2,600,000 |

FTE 0.00 0.00 0.00 0.00
POSITIONS 0 0 0 0
NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

| | | | | |
|---|--|--|--|--|
| Est. Fringe | | | | |
| Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation. | | | | |

Other Funds:

| FY 2014 Supplemental Governor's Recommendation | | | | |
|--|----|---------|-------|-------|
| | GR | Federal | Other | Total |
| PS | 0 | 0 | 0 | 0 |
| EE | 0 | 1 | 0 | 1 E |
| PSD | 0 | 0 | 0 | 0 |
| TRF | 0 | 0 | 0 | 0 |
| Total | 0 | 1 | 0 | 1 E |

FTE 0.00 0.00 0.00 0.00
POSITIONS 0 0 0 0
NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

| | | | | |
|---|--|--|--|--|
| Est. Fringe | | | | |
| Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation. | | | | |

Other Funds:

2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

The federal fiscal year begins in October, resulting in prior federal year expenditures for July-September posting against current state FY spending authority. It is impossible to ascertain if additional 100% federal year-end funding will be allocated to the state of MO to support unfunded projects. \$1.1M of requested spending authority will support regular federal FY13 obligations July - September which will post against the state FY14 spending authority. A year-end allotment was approved by National Guard Bureau to support the lifecycle replacement of routers and switches statewide and procurement of voice-over IP phones. Total additional funding is \$1.5M.

The Governor's Recommendation reflects the uncertainty of the amount of additional funding that will be available and expended during FY14.

SUPPLEMENTAL NEW DECISION ITEM

Department of Public Safety
 Office of the Adjutant General
 Contract Services Federal Adjustment DI#2812002

Budget Unit 85442C

Original FY 2014 House Bill Section, if applicable 8.270

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.)

The National Guard received \$2.6M in federal grants to update their IT system's routers and switches statewide, as well as procurement of voice-over IP phones, as well as an additional \$5M in federal funding for carrying out the National Guard Real Property Operations and Maintenance agreement. The current appropriations will not provide enough spending authority to allow for this increased funding.

| | |
|----------------------------------|--------------|
| FY 14 Additional Federal Funds | \$ 7,596,000 |
| FY 14 Projected Usage | \$ 7,100,000 |
| Total FY 14 Appropriation Needed | \$14,696,000 |

| | |
|-----------------------------|-------------|
| Current FY 14 Appropriation | \$7,155,972 |
|-----------------------------|-------------|

| | |
|---------------------------|-------------|
| FY 14 Projected Shortfall | \$7,540,028 |
|---------------------------|-------------|

4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS.

| Budget Object Class/Job Class | Dept Req GR DOLLARS | Dept Req GR FTE | Dept Req FED DOLLARS | Dept Req FED FTE | Dept Req OTHER DOLLARS | Dept Req OTHER FTE | Dept Req TOTAL DOLLARS | Dept Req TOTAL FTE | Dept Req One-Time DOLLARS |
|-------------------------------|---------------------------|-----------------------|----------------------------|------------------------|------------------------------|--------------------------|------------------------------|--------------------------|---------------------------------|
| 190/Supplies | | | 400,000 | | | | 400,000 | | 400,000 |
| 480/Computer Equipment | | | 1,500,000 | | | | 1,500,000 | | 1,500,000 |
| 640/Property and Improvements | | | 700,000 | | | | 700,000 | | 700,000 |
| Total EE | <u>0</u> | | <u>2,600,000</u> | | <u>0</u> | | <u>2,600,000</u> | | <u>2,600,000</u> |
| Grand Total | <u>0</u> | <u>0.0</u> | <u>2,600,000</u> | <u>0.0</u> | <u>0</u> | <u>0.0</u> | <u>2,600,000</u> | <u>0.0</u> | <u>2,600,000</u> |

| Budget Object Class/Job Class | Gov Rec GR DOLLARS | Gov Rec GR FTE | Gov Rec FED DOLLARS | Gov Rec FED FTE | Gov Rec OTHER DOLLARS | Gov Rec OTHER FTE | Gov Rec TOTAL DOLLARS | Gov Rec TOTAL FTE | Gov Rec One-Time DOLLARS |
|-------------------------------|--------------------------|----------------------|---------------------------|-----------------------|-----------------------------|-------------------------|-----------------------------|-------------------------|--------------------------------|
| 480/Computer Equipment | | | 1 | | | | 1 | | 1 |
| Total EE | <u>0</u> | | <u>1</u> | | <u>0</u> | | <u>1</u> | | <u>1</u> |
| Grand Total | <u>0</u> | <u>0.0</u> | <u>1</u> | <u>0.0</u> | <u>0</u> | <u>0.0</u> | <u>1</u> | <u>0.0</u> | <u>1</u> |

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | |
|-----------------------------------|--|-------------|--|--|--|--------------|--|
| Department of Public Safety | | | | Budget Unit <u>85455C</u> | | | |
| State Emergency Management Agency | | | | | | | |
| SEMA Grants-State Share | | DI# 2812005 | | Original FY 2014 House Bill Section, if applicable | | <u>8.290</u> | |

1. AMOUNT OF REQUEST

| | FY 2014 Supplemental Budget Request | | | |
|--------------|-------------------------------------|----------|----------|----------|
| | GR | Federal | Other | Total |
| PS | 0 | 0 | 0 | 0 |
| EE | 0 | 0 | 0 | 0 |
| PSD | 0 | 0 | 0 | 0 |
| TRF | 0 | 0 | 0 | 0 |
| Total | 0 | 0 | 0 | 0 |

| | | | | |
|-----------|------|------|------|------|
| FTE | 0.00 | 0.00 | 0.00 | 0.00 |
| POSITIONS | 0 | 0 | 0 | 0 |

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

| | FY 2014 Supplemental Governor's Recommendation | | | |
|--------------|--|----------|----------|---------------------|
| | GR | Federal | Other | Total |
| PS | 0 | 0 | 0 | 0 |
| EE | 0 | 0 | 0 | 0 |
| PSD | 20,000,000 | 0 | 0 | 20,000,000 E |
| TRF | 0 | 0 | 0 | 0 |
| Total | 20,000,000 | 0 | 0 | 20,000,000 E |

| | | | | |
|-----------|------|------|------|------|
| FTE | 0.00 | 0.00 | 0.00 | 0.00 |
| POSITIONS | 0 | 0 | 0 | 0 |

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

| | | | | |
|--------------------|--|--|--|--|
| <i>Est. Fringe</i> | | | | |
|--------------------|--|--|--|--|

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

| | | | | |
|--------------------|--|--|--|--|
| <i>Est. Fringe</i> | | | | |
|--------------------|--|--|--|--|

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

*As the department submitted the request after the October 1st deadline, the request amount shows zero.

2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

An "E" was requested for this line item in the original budget, but was not approved. Supplemental funding is needed for the state share and mission assignments for disaster grants. Postponing payments will result in interest due to the federal government after 90 days.

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.)

The supplemental amount represents the outstanding mission assignments and current estimated state share for the remainder of the fiscal year. The \$20 million request includes \$14.6 million in known payments (mostly for Joplin) plus \$5.4 million in additional authority to allow for prompt payment to local communities as they request reimbursement for disaster-related expenses.

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|--|---------------------------|-----------------------|--------------------------------|--|------------------------------|--------------------------|------------------------------|--------------------------|---------------------------------|
| Department of Public Safety | | | Budget Unit | | 85455C | | | | |
| State Emergency Management Agency | | | | | | | | | |
| SEMA Grants-State Share | | DI# 2812005 | | Original FY 2014 House Bill Section, if applicable | | | | 8.290 | |
| | | | | | | | | | |
| 4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS. | | | | | | | | | |
| | | | | | | | | | |
| Budget Object Class/Job Class | Dept Req GR DOLLARS | Dept Req GR FTE | Dept Req FED DOLLAR S | Dept Req FED FTE | Dept Req OTHER DOLLARS | Dept Req OTHER FTE | Dept Req TOTAL DOLLARS | Dept Req TOTAL FTE | Dept Req One-Time DOLLARS |
| Program Distributions | 0 | | | | | | 0 | | 0 |
| Total PSD | 0 | | 0 | | 0 | | 0 | | 0 |
| | | | | | | | | | |
| Grand Total | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 |
| | | | | | | | | | |
| Budget Object Class/Job Class | Gov Rec GR DOLLARS | Gov Rec GR FTE | Gov Rec FED DOLLAR S | Gov Rec FED FTE | Gov Rec OTHER DOLLARS | Gov Rec OTHER FTE | Gov Rec TOTAL DOLLARS | Gov Rec TOTAL FTE | Gov Rec One-Time DOLLARS |
| Program Distributions | 20,000,000 | | | | | | 20,000,000 | | 20,000,000 |
| Total PSD | 20,000,000 | | 0 | | 0 | | 20,000,000 | | 20,000,000 |
| Grand Total | 20,000,000 | 0.0 | 0 | 0.0 | 0 | 0.0 | 20,000,000 | 0.0 | 20,000,000 |
| | | | | | | | | | |

SUPPLEMENTAL NEW DECISION ITEM

Department of Corrections
Office of the Director
Puppies for Parole Program DI# 2931002

Budget Unit 94430C

Original FY 2014 House Bill Section, if applicable 9.020

1. AMOUNT OF REQUEST

| | FY 2014 Supplemental Budget Request | | | |
|--------------|-------------------------------------|----------|----------|----------|
| | GR | Federal | Other | Total |
| PS | 0 | 0 | 0 | 0 |
| EE | 0 | 0 | 0 | 0 |
| PSD | 0 | 0 | 0 | 0 |
| Total | 0 | 0 | 0 | 0 |

FTE 0.00 0.00 0.00 0.00
POSITIONS 0 0 0 0
NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

| | | | | |
|--------------------|---|---|---|---|
| Est. Fringe | 0 | 0 | 0 | 0 |
|--------------------|---|---|---|---|

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

*As the department submitted the request after the October 1st deadline, the request amount shows zero.

| | FY 2014 Supplemental Governor's Recommendation | | | |
|--------------|--|----------|---------------|---------------|
| | GR | Federal | Other | Total |
| PS | 0 | 0 | 0 | 0 |
| EE | 0 | 0 | 20,000 | 20,000 |
| PSD | 0 | 0 | 0 | 0 |
| Total | 0 | 0 | 20,000 | 20,000 |

FTE 0.00 0.00 0.00 0.00
POSITIONS 0 0 0 0
NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

| | | | | |
|--------------------|---|---|---|---|
| Est. Fringe | 0 | 0 | 0 | 0 |
|--------------------|---|---|---|---|

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Institutions Gift Trust Fund (0925)

SUPPLEMENTAL NEW DECISION ITEM**Department of Corrections****Budget Unit** 94430C**Office of the Director****Puppies for Parole Program****DI#** 2931002**Original FY 2014 House Bill Section, if applicable** 9.020**2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.**

This request is for spending authority to accept cash donations for the Puppies for Parole Program within the state's correctional centers. Offenders within the institutions host dogs from local animal shelters and train them, to improve the dog's adoptability within the local community.

The program creates a partnership between a participating correctional facility and a local community animal shelter. The Puppies for Parole Program operates at no cost to the State or the Department, although the Department seeks donations to help care for the animals. Veterinary services are provided by the partnering agency. Animals remain in the program approximately 8-10 weeks, but under no circumstances longer than 6 months. Offenders are screened and have to meet eligibility requirements to participate in the program. Upon completion of the program, the dogs are returned to the partnering agency for adoption. Currently 18 of Missouri's 20 institutions participate in the program. This program saves dogs from euthanasia because the offenders make the dogs more adoptable. The program also teaches offenders responsibility and requires high standards of behavior during their incarceration in order to be eligible to participate in the program.

SUPPLEMENTAL NEW DECISION ITEM

Department of Corrections
 Office of the Director
 Puppies for Parole Program DI# 2931002

Budget Unit 94430C
 Original FY 2014 House Bill Section, if applicable 9.020

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.)

This request is needed to increase the Department's ability to expend contributions, gifts and grants to support the efforts of the Puppies for Parole Program.

| HB - Section | Approp. | Type | Fund | Amount |
|-------------------------------------|---------|------|------|----------|
| 09.020 Institutions Gift Trust Fund | 7168 | EE | 0925 | \$20,000 |

4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS.

| Budget Object Class/Job Class | Dept Req GR DOLLARS | Dept Req GR FTE | Dept Req FED DOLLARS | Dept Req FED FTE | Dept Req OTHER DOLLARS | Dept Req OTHER FTE | Dept Req TOTAL DOLLARS | Dept Req TOTAL FTE | Dept Req One-Time DOLLARS |
|-------------------------------|---------------------------|-----------------------|----------------------------|------------------------|------------------------------|--------------------------|------------------------------|--------------------------|---------------------------------|
| Total EE | <u>0</u> | | <u>0</u> | | <u>0</u> | | <u>0</u> | | <u>0</u> |
| Grand Total | <u>0</u> | <u>0.00</u> | <u>0</u> | <u>0.00</u> | <u>0</u> | <u>0.00</u> | <u>0</u> | <u>0.00</u> | <u>0</u> |

| Budget Object Class/Job Class | Gov Rec GR DOLLARS | Gov Rec GR FTE | Gov Rec FED DOLLARS | Gov Rec FED FTE | Gov Rec OTHER DOLLARS | Gov Rec OTHER FTE | Gov Rec TOTAL DOLLARS | Gov Rec TOTAL FTE | Gov Rec One-Time DOLLARS |
|-------------------------------|--------------------------|----------------------|---------------------------|-----------------------|-----------------------------|-------------------------|-----------------------------|-------------------------|--------------------------------|
| 190/Supplies | | | | | 20,000 | | 20,000 | | 20,000 |
| Total EE | <u>0</u> | | <u>0</u> | | <u>20,000</u> | | <u>20,000</u> | | <u>20,000</u> |
| Grand Total | <u>0</u> | <u>0.00</u> | <u>0</u> | <u>0.00</u> | <u>20,000</u> | <u>0.00</u> | <u>20,000</u> | <u>0.00</u> | <u>20,000</u> |

SUPPLEMENTAL NEW DECISION ITEM

Department of Corrections
 Division of Offender Rehabilitative Services
 Offender Healthcare Increase DI# 2931001

Budget Unit 97432COriginal FY 2014 House Bill Section, if applicable 9.190

1. AMOUNT OF REQUEST

| | FY 2014 Supplemental Budget Request | | | |
|-------|-------------------------------------|---------|-------|-------|
| | GR | Federal | Other | Total |
| PS | 0 | 0 | 0 | 0 |
| EE | 0 | 0 | 0 | 0 |
| PSD | 0 | 0 | 0 | 0 |
| TRF | 0 | 0 | 0 | 0 |
| Total | 0 | 0 | 0 | 0 |

FTE 0.00 0.00 0.00 0.00
 POSITIONS 0 0 0 0

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

| | | | | |
|--------------------|---|---|---|---|
| Est. Fringe | 0 | 0 | 0 | 0 |
|--------------------|---|---|---|---|

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

*As the department submitted the request after the October 1st deadline, the request amount shows zero.

| | FY 2014 Supplemental Governor's Recommendation | | | |
|-------|--|---------|-------|---------|
| | GR | Federal | Other | Total |
| PS | 0 | 0 | 0 | 0 |
| EE | 527,172 | 0 | 0 | 527,172 |
| PSD | 0 | 0 | 0 | 0 |
| TRF | 0 | 0 | 0 | 0 |
| Total | 527,172 | 0 | 0 | 527,172 |

FTE 0.00 0.00 0.00 0.00
 POSITIONS 0 0 0 0

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

| | | | | |
|--------------------|---|---|---|---|
| Est. Fringe | 0 | 0 | 0 | 0 |
|--------------------|---|---|---|---|

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

This supplemental is needed to provide funding for constitutionally and statutorily mandated health services (medical, mental health and sex offender services) for incarcerated offenders in 20 correctional facilities. The current appropriation is not sufficient to provide services to the projected number of offenders in prison throughout fiscal year 2014. The FY14 Budget was based upon a projected population of 31,337 offenders in prison per day. The population as of 12/31/2013 was 31,556.

The DOC utilizes these funds to maintain and improve the health of incarcerated offenders; to assist in control and containment of infectious and chronic diseases; improve the health of offenders with chronic mental illness; reduce the number of sexual assault victims within the community; and to ensure that offenders are constitutionally confined. The current comprehensive contract for inmate health services became effective July 1, 2007. The FY14 per diem rate is \$11.20 per day for medical services and \$2.512 per day for mental health services, for a total cost for FY15 of \$13.712 per offender per day.

SUPPLEMENTAL NEW DECISION ITEM

| | | |
|---|--------------------|--|
| Department of Corrections | | Budget Unit <u>97432C</u> |
| Division of Offender Rehabilitative Services | | |
| Offender Healthcare Increase | DI# 2931001 | Original FY 2014 House Bill Section, if applicable <u>9.190</u> |

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.)

The Department contracts for offender healthcare services and pays a capitated rate per offender per day. Increases in the offender population over the number that had been budgeted for drives this request for supplemental funding. Based off of current population and past expenditures the department estimates an additional \$527,172 will be needed to cover the remaining costs for FY 14.

| HB - Section | Approp | Type | Fund | Amount |
|-----------------------------|--------|------|------|-----------|
| 09.190 Medical Services E&E | 2778 | EE | 0101 | \$527,172 |

4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS.

| Budget Object Class/Job Class | Dept Req GR DOLLARS | Dept Req GR FTE | Dept Req FED DOLLARS | Dept Req FED FTE | Dept Req OTHER DOLLARS | Dept Req OTHER FTE | Dept Req TOTAL DOLLARS | Dept Req TOTAL FTE | Dept Req One-Time DOLLARS |
|-------------------------------|---------------------------|-----------------------|----------------------------|------------------------|------------------------------|--------------------------|------------------------------|--------------------------|---------------------------------|
| Professional Services (400) | | | | | | | 0 | | 0 |
| Total EE | 0 | | 0 | | 0 | | 0 | | 0 |
| Grand Total | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 |

| Budget Object Class/Job Class | Gov Rec GR DOLLARS | Gov Rec GR FTE | Gov Rec FED DOLLARS | Gov Rec FED FTE | Gov Rec OTHER DOLLARS | Gov Rec OTHER FTE | Gov Rec TOTAL DOLLARS | Gov Rec TOTAL FTE | Gov Rec One-Time DOLLARS |
|-------------------------------|--------------------------|----------------------|---------------------------|-----------------------|-----------------------------|-------------------------|-----------------------------|-------------------------|--------------------------------|
| Professional Services (400) | 527,172 | | | | | | 527,172 | | 527,172 |
| Total EE | 527,172 | | 0 | | 0 | | 527,172 | | 527,172 |
| Grand Total | 527,172 | 0.00 | 0 | 0.00 | 0 | 0.00 | 527,172 | 0.00 | 527,172 |

SUPPLEMENTAL NEW DECISION ITEM

Department of Corrections
 Division of Offender Rehabilitative Services
 Offender Healthcare Increase DI# 2931001

Budget Unit 97432C
 Original FY 2014 House Bill Section, if applicable 9.190

5. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional funding.)

5a. Provide an effectiveness measure.

5b. Provide an efficiency measure.

**Percentage of offenders with positive TB test completing 12 months of therapy:
 (The Healthy People 2010 baseline is 74%)**

**Number of suicide attempts requiring outside intervention or care
 beyond the level provided by nurses**

| FY11 Actual | FY12 Actual | FY13 Actual | FY14 Proj. | FY15 Proj. | FY16 Proj. | FY11 Actual | FY12 Actual | FY13 Actual | FY14 Proj. | FY15 Proj. | FY16 Proj. |
|-------------|-------------|-------------|------------|------------|------------|-------------|-------------|-------------|------------|------------|------------|
| 100% | 100% | 99% | 99% | 99% | 99% | 38 | 98 | 85 | 85 | 85 | 85 |

**Percentage of pregnant offenders who receive the appropriate number of checkups
 while incarcerated: (The Healthy People 2010 baseline is 90%)**

Contract per diem rate for Medical/Mental healthcare

| FY11 Actual | FY12 Actual | FY13 Actual | FY14 Proj. | FY15 Proj. | FY16 Proj. | FY11 Actual | FY12 Actual | FY13 Actual | FY14 Actual | FY15 Proj. | FY16 Proj. |
|-------------|-------------|-------------|------------|------------|------------|-------------|-------------|-------------|-------------|------------|------------|
| 100% | 100% | 100% | 100% | 100% | 100% | \$12.144 | \$12.703 | \$12.958 | \$13.712 | ** | ** |

Note: Contract in RFP process; current contract will end June 30, 2014.

**Percentage of female offenders receiving a pap test in previous two years of
 incarceration**

| FY11 Actual | FY12 Actual | FY13 Actual | FY14 Proj. | FY15 Proj. | FY16 Proj. |
|-------------|-------------|-------------|------------|------------|------------|
| 100% | 100% | 100% | 100% | 100% | 100% |

5c. Provide the number of clients/individuals served, if applicable.

**5d. Provide a customer satisfaction measure, if
 available.**

N/A

Average Daily Prison Population less outcounts

| FY11 Actual | FY12 Actual | FY13 Actual | FY14 Proj. | FY15 Proj. | FY16 Proj. |
|-------------|-------------|-------------|------------|------------|------------|
| 30,595 | 30,914 | 31,246 | 31,337 | 31,715 | 32,094 |

SUPPLEMENTAL NEW DECISION ITEM**Department of Corrections****Budget Unit** 97432C**Division of Offender Rehabilitative Services****Offender Healthcare Increase****DI#** 2931001**Original FY 2014 House Bill Section, if applicable** 9.190**6. STRATEGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGETS:**

The Department, along with the offender healthcare contractors, will continue to emphasize primary prevention strategies to maintain wellness along with the practice of disease management through early enrollment in the chronic care clinics.

The mental health contractor will provide training to department staff which will enable staff to better detect the warning signs of potential suicidal gestures.

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|--|----------------|----------------|--|-----------------|-------------------|-------------------|-------------------|-------------------|----------------------|
| Department of Corrections | | | Budget Unit 98415C | | | | | | |
| Board of Probation and Parole | | | | | | | | | |
| Debt Offset Escrow | | DI# 2931003 | Original FY 2014 House Bill Section, if applicable | | | | | | 9.225 |
| | | | | | | | | | |
| 4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS. | | | | | | | | | |
| | Dept Req GR | Dept Req GR | Dept Req FED | Dept Req FED | Dept Req OTHER | Dept Req OTHER | Dept Req TOTAL | Dept Req TOTAL | Dept Req One-Time |
| Budget Object Class/Job Class | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS |
| Transfers | | | | | | | 0 | | 0 |
| Total EE | 0 | | 0 | | 0 | | 0 | | 0 |
| Grand Total | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 |
| | | | | | | | | | |
| | Gov Rec GR | Gov Rec GR | Gov Rec FED | Gov Rec FED | Gov Rec OTHER | Gov Rec OTHER | Gov Rec TOTAL | Gov Rec TOTAL | Gov Rec One-Time |
| Budget Object Class/Job Class | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS |
| Transfers | | | | | 350,000 | | 350,000 | | 350,000 |
| Total EE | 0 | | 0 | | 350,000 | | 350,000 | | 350,000 |
| Grand Total | 0 | 0.00 | 0 | 0.00 | 350,000 | 0.00 | 350,000 | 0.00 | 350,000 |

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|---|-----------|----------------|--------------|--------------|--|---------------|----------------|--------------|---------------|
| Department of Mental Health | | | | | Budget Unit <u>65105C</u> | | | | |
| Director's Office | | | | | | | | | |
| Court Ordered Legal Fees DI#2650003 | | | | | Original FY 2014 House Bill Section, if applicable <u>10.005</u> | | | | |
| 1. AMOUNT OF REQUEST | | | | | | | | | |
| FY 2014 Supplemental Budget Request | | | | | FY 2014 Supplemental Governor's Recommendation | | | | |
| | GR | Federal | Other | Total | | GR | Federal | Other | Total |
| PS | 0 | 0 | 0 | 0 | PS | 0 | 0 | 0 | 0 |
| EE | 0 | 0 | 0 | 0 | EE | 16,389 | 0 | 0 | 16,389 |
| PSD | 0 | 0 | 0 | 0 | PSD | 0 | 0 | 0 | 0 |
| TRF | 0 | 0 | 0 | 0 | TRF | 0 | 0 | 0 | 0 |
| Total | 0 | 0 | 0 | 0 | Total | 16,389 | 0 | 0 | 16,389 |
| FTE 0.00 | | | | | FTE 0.00 | | | | |
| POSITIONS 0 | | | | | POSITIONS 0 | | | | |
| NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | | NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | |
| Est. Fringe | 0 | 0 | 0 | 0 | Est. Fringe | 0 | 0 | 0 | 0 |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | |
| *As the department submitted the request after the October 1st deadline, the request amount shows zero. | | | | | | | | | |
| 2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM. | | | | | | | | | |
| <p>In March 2007, Marshall Habilitation Center (MHC) dismissed an employee based on the facility's finding that the employee had committed one count of physical abuse and one count of neglect against a consumer. The employee appealed that decision to the DMH Hearings Administrator, who upheld the MHC decision. The employee then appealed the DMH Hearings Administrator's decision to the Circuit Court of Saline County, which overturned the DMH decision. DMH then appealed the Saline County decision to the Western District Court of Appeals, which upheld the Saline County decision. While the appeal was pending, the employee's attorney filed a petition for attorney fees in Saline County. DMH challenged the attorney fees application on the grounds that the attorney was not entitled to an award of attorney fees under Section 536.087, RSMo, because DMH, although it lost on the merits of the case, was substantially justified in its original decision. In the alternative, DMH also argued that if the court found that DMH was not substantially justified that the employee's attorney's request for attorney fees exceeded the \$75 per hour allowed under Section 536.085, RSMo. After the hearing, the Saline County Circuit Court found that DMH was not substantially justified and that a "special factor" existed to exceed the statutory \$75 per hour and awarded the employee's attorney \$21,633. DMH appealed the Saline County decision to the Western District Court of Appeals. On October 2, 2013, the Western District upheld the Saline County decision that DMH was not substantially justified and that the employee's attorney was entitled to recover attorney fees; however, the Western District determined that the amount of fees allowed was limited to \$75 under the statute and remanded the case to Saline County Circuit Court to recalculate the reasonable fees and expenses for the underlying case and the appeal of attorney fees. Per stipulation of the parties, on December 13, 2013, the Saline County Circuit Court entered its order awarding the employee's attorney \$16,681 in attorney fees and expenses.</p> | | | | | | | | | |

SUPPLEMENTAL NEW DECISION ITEM

| | | | |
|---|-------------------|---|---------------------------------------|
| Department of Mental Health | | Budget Unit <u>65105C</u> | |
| Director's Office | | | |
| Court Ordered Legal Fees | DI#2650003 | Original FY 2014 House Bill Section, if applicable <u>10.005</u> | |
| 3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.) | | | |
| DEPARTMENT REQUEST | | | |
| Not applicable because the final court order was not entered until December 2013. | | | |
| GOVERNOR RECOMMENDS | | | |
| The amount the court ordered the state to pay is as follows: | | | |
| <div style="margin-left: 40px;"> \$16,681 Attorney Fees (\$292) Release of Governor's Reserve \$16,389 Total Request </div> | | | |
| HB Section | Approp | Fund | Amount |
| 10.005 Director's Office | 2043 | 0101 | \$16,389 |
| | | | Reserve Release Without Offset |
| | | | \$292 |
| 4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS. | | | |
| | Dept Req | Dept Req | Dept Req |
| | GR | GR | FED |
| | DOLLARS | FTE | DOLLARS |
| Budget Object Class/Job Class | | | |
| | DOLLARS | FTE | DOLLARS |
| Total EE | <u>0</u> | <u>0</u> | <u>0</u> |
| Grand Total | <u>0</u> | <u>0.0</u> | <u>0</u> |
| | Dept Req | Dept Req | Dept Req |
| | FED | FED | OTHER |
| | DOLLARS | FTE | DOLLARS |
| Budget Object Class/Job Class | | | |
| | DOLLARS | FTE | DOLLARS |
| Total EE | <u>16,389</u> | <u>0</u> | <u>0</u> |
| Grand Total | <u>16,389</u> | <u>0.0</u> | <u>0</u> |

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|------------------------------------|--|--|--|--|---|--|--|--|--|
| Department of Mental Health | | | | | Budget Unit: 65106C | | | | |
| Department wide | | | | | | | | | |
| Overtime | | | | | DI#: 2650001 | | | | |
| | | | | | Original FY 2014 House Bill Section, if applicable | | | | |
| | | | | | 10.010 | | | | |

| | | | | | | | | | |
|--|------------------|----------------|--------------|------------------|--|------------------|-------------|--------------|------------------|
| 1. AMOUNT OF REQUEST | | | | | | | | | |
| FY 2014 Supplemental Budget Request | | | | | FY 2014 Supplemental Governor's Recommendation | | | | |
| | GR | Federal | Other | Total | | GR | Fed | Other | Total |
| PS | 5,834,511 | 0 | 0 | 5,834,511 | PS | 6,012,057 | 0 | 0 | 6,012,057 |
| EE | 0 | 0 | 0 | 0 | EE | 0 | 0 | 0 | 0 |
| PSD | 0 | 0 | 0 | 0 | PSD | 0 | 0 | 0 | 0 |
| TRF | 0 | 0 | 0 | 0 | TRF | 0 | 0 | 0 | 0 |
| Total | 5,834,511 | 0 | 0 | 5,834,511 | Total | 6,012,057 | 0 | 0 | 6,012,057 |
| FTE | 0.00 | 0.00 | 0.00 | 0.00 | FTE | 0.00 | 0.00 | 0.00 | 0.00 |
| POSITIONS | 0 | 0 | 0 | 0 | POSITIONS | 0 | 0 | 0 | 0 |
| NUMBER OF MONTHS POSITIONS ARE NEEDED: | | | | N/A | NUMBER OF MONTHS POSITIONS ARE NEEDED: | | | | N/A |
| Est. Fringe | 3,077,705 | 0 | 0 | 3,077,705 | Est. Fringe | 3,171,360 | 0 | 0 | 3,171,360 |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | |
| Other Funds: None. *\$33,670 will be released from reserves to partially fund the revised request. | | | | | Other Funds: *\$126,820 will be released from reserves to partially fund the revised request. | | | | |

| | | | | | | | | | |
|---|--|--|--|--|--|--|--|--|--|
| 2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM. | | | | | | | | | |
| Senate Bill 367, passed in the 2005 legislative session, allows employees providing direct client care in state institutions that are operated 24 hours a day, 7 days a week to request payment in lieu of compensatory time off. These requests may be made and must be paid each month. This includes federal, state, and holiday time. Additional funding is needed to meet the legislative requirements for overtime pay. | | | | | | | | | |

SUPPLEMENTAL NEW DECISION ITEM

| | | | | |
|--|---------------|--|----------------------------|--------------------|
| Department of Mental Health | | | Budget Unit: 65106C | |
| Department wide | | | | |
| Overtime | DI#: 2650001 | Original FY 2014 House Bill Section, if applicable | | 10.010 |
| 3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.) | | | | |
| DEPARTMENT REQUEST: | | | | |
| Additional funding is needed for the payment of direct care staff overtime as required by statute. Funding is requested for projected overtime payments beyond current appropriation. | | | | |
| <u>CPS Facilities</u> | | <u>Amount</u> | <u>DD Facilities</u> | |
| Fulton State Hospital | | \$2,442,783 | Higginsville | \$491,526 |
| Hawthorn Children's PRC | | \$145,600 | Marshall Hab Center | \$264,609 |
| Northwest MO PRC | | \$25,953 | Nevada | \$467,576 |
| St. Louis PRC | | \$676,000 | St. Louis DDTC | \$274,661 |
| Metro St. Louis | | \$20,800 | SEMORs | \$464,699 |
| Southwest MO PRC | | \$7,198 | Bellefontaine Hab Center | \$255,070 |
| Southeast MO MHC | | \$102,930 | Sub Total | \$2,218,141 |
| Southeast MO MHC - SORTS | | \$136,795 | Less 3% Governor's Reserve | (\$12,458) |
| Center for Behavioral Medicine | | \$42,500 | Total | \$2,205,683 |
| Cottonwood RTC | | \$49,481 | | |
| Sub Total | | \$3,650,040 | CPS Facilities: | \$3,650,040 |
| Less 3% Governor's Reserve | | (\$21,212) | DD Facilities: | \$2,218,141 |
| Total | | \$3,628,828 | Total: | \$5,868,181 |
| HB Section | Approp | Type | Fund | Amount |
| 10.010 - Overtime | 7031 | PS | 0101 | \$5,868,181 |
| | | | Less 3% Governor's Reserve | (\$33,670) |
| | Total | | | \$5,834,511 |
| | | | | Less 3% |
| | | | | (\$33,670) |
| | | | | \$5,834,511 |

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | |
|---|---------------|----------------------------|--|---------------------|----------------------------|
| Department of Mental Health | | | | Budget Unit: 65106C | |
| Department wide | | | | | |
| Overtime | DI#: 2650001 | | Original FY 2014 House Bill Section, if applicable | | 10.010 |
| 3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (Continued) | | | | | |
| GOVERNOR RECOMMENDS: | | | | | |
| The department revised its request to reflect more recent information. Additional funding is needed for the payment of direct care staff overtime as required by statute. Funding was requested for projected overtime payments beyond current appropriation. | | | | | |
| <u>CPS Facilities</u> | | <u>Amount</u> | <u>DD Facilities</u> | | |
| Fulton State Hospital | | \$2,442,783 | Higginsville | | \$505,498 |
| Northwest MO PRC | | \$25,953 | Marshall Hab Center | | \$295,609 |
| St. Louis PRC | | \$826,000 | Nevada | | \$489,311 |
| Metro St. Louis | | \$20,800 | St. Louis DDTC | | \$315,651 |
| Southwest MO PRC | | \$10,165 | SEMORs | | \$469,699 |
| Southeast MO MHC | | \$102,930 | Bellefontaine Hab Center | | \$292,602 |
| Southeast MO MHC - SORTS | | \$136,795 | Sub Total | | \$2,368,370 |
| Center for Behavioral Medicine | | \$10,000 | | | |
| Hawthorn CPH | | \$145,600 | | | |
| Cottonwood RTC | | \$49,481 | | | |
| Sub Total | | \$3,770,507 | | | |
| | | | CPS Facilities: | | \$3,770,507 |
| | | | DD Facilities: | | \$2,368,370 |
| | | | Total: | | \$6,138,877 |
| HB Section | Approp | Type | Fund | Amount | |
| 10.010 - Overtime | 7031 | PS | 0101 | \$6,138,877 | |
| | | Less 3% Governor's Reserve | | (\$126,820) | |
| | Total | | | \$6,012,057 | |
| | | | | | Less 3% (\$126,820) |
| | | | | | \$6,012,057 |

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|-----------------------------|--------------|--|--|--|--|--|--|--|--------|
| Department of Mental Health | | | | Budget Unit: <u>65106C</u> | | | | | |
| Department wide | | | | | | | | | |
| Overtime | DI#: 2650001 | | | Original FY 2014 House Bill Section, if applicable | | | | | 10.010 |

| 4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS. | | | | | | | | | |
|--|---------------------------|-----------------------|----------------------------|------------------------|------------------------------|--------------------------|------------------------------|--------------------------|---------------------------------|
| | Dept Req GR DOLLARS | Dept Req GR FTE | Dept Req FED DOLLARS | Dept Req FED FTE | Dept Req OTHER DOLLARS | Dept Req OTHER FTE | Dept Req TOTAL DOLLARS | Dept Req TOTAL FTE | Dept Req One-Time DOLLARS |
| Budget Object Class/Job Class | | | | | | | | | |
| 100/Other | 5,834,511 | | 0 | | | | 5,834,511 | | 5,834,511 |
| Total PS | 5,834,511 | 0.00 | 0 | 0.00 | 0 | 0.00 | 5,834,511 | 0.00 | 5,834,511 |
| Grand Total | 5,834,511 | 0.00 | 0 | 0.00 | 0 | 0.00 | 5,834,511 | 0.00 | 5,834,511 |

| | Gov Rec GR DOLLARS | Gov Rec GR FTE | Gov Rec FED DOLLARS | Gov Rec FED FTE | Gov Rec OTHER DOLLARS | Gov Rec OTHER FTE | Gov Rec TOTAL DOLLARS | Gov Rec TOTAL FTE | Gov Rec One-Time DOLLARS |
|-------------------------------|--------------------------|----------------------|---------------------------|-----------------------|-----------------------------|-------------------------|-----------------------------|-------------------------|--------------------------------|
| Budget Object Class/Job Class | | | | | | | | | |
| 100/Other | 6,012,057 | | 0 | | | | 6,012,057 | | 6,012,057 |
| Total PS | 6,012,057 | 0.00 | 0 | 0.00 | 0 | 0.00 | 6,012,057 | 0.00 | 6,012,057 |
| Grand Total | 6,012,057 | 0.00 | 0 | 0.00 | 0 | 0.00 | 6,012,057 | 0.00 | 6,012,057 |

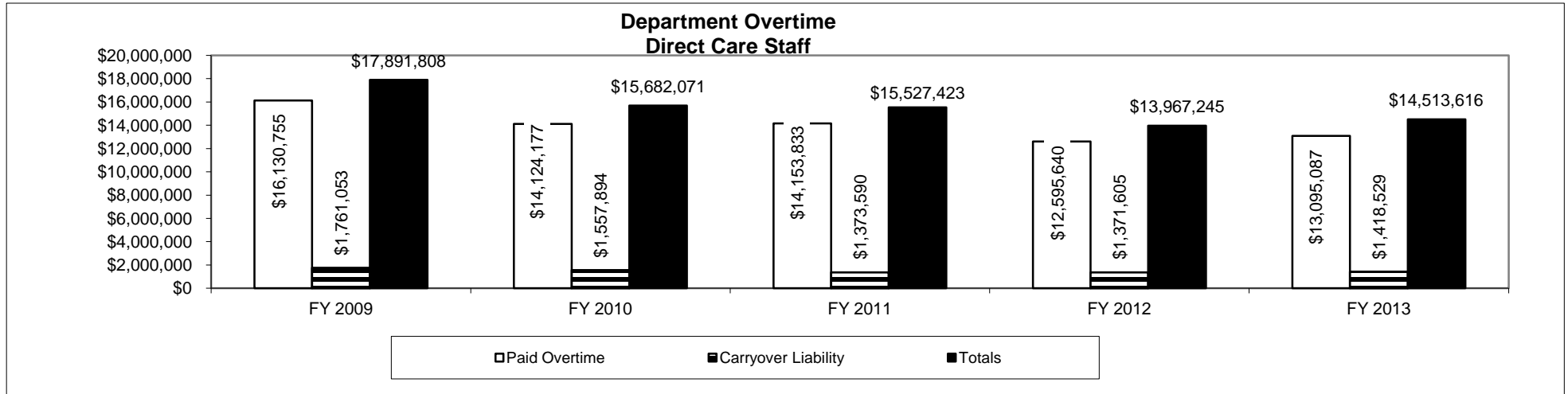
| 5. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional funding.) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|--|---|-----------------------|-------------------------|-----------------------|-------------------------|---------|-------|-------|-------|---------|-------|-------|-------|---------|-------|-------|-------|---------|-------|-------|-------|---------|-------|-------|-------|---------|-------|-------|-------|---------|-------|-------|-------|
| <p>5a. Provide an effectiveness measure.</p> <p>Not applicable.</p> | <p>5b. Provide an efficiency measure.</p> <p>Not applicable.</p> | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <p>5c. Provide the number of clients/individuals served, if applicable.</p> <p>Number of employees earning federal, state or holiday time</p> <table style="width:100%; border-collapse: collapse;"> <tr> <th></th> <th align="center"><u>Federal Comp</u></th> <th align="center"><u>State Comp</u></th> <th align="center"><u>Holiday Comp</u></th> </tr> <tr> <td>FY 2007</td> <td align="right">5,778</td> <td align="right">6,245</td> <td align="right">6,417</td> </tr> <tr> <td>FY 2008</td> <td align="right">5,789</td> <td align="right">6,214</td> <td align="right">6,324</td> </tr> <tr> <td>FY 2009</td> <td align="right">5,637</td> <td align="right">5,846</td> <td align="right">6,188</td> </tr> <tr> <td>FY 2010</td> <td align="right">5,161</td> <td align="right">5,310</td> <td align="right">5,736</td> </tr> <tr> <td>FY 2011</td> <td align="right">4,761</td> <td align="right">4,932</td> <td align="right">5,378</td> </tr> <tr> <td>FY 2012</td> <td align="right">4,902</td> <td align="right">4,842</td> <td align="right">5,333</td> </tr> <tr> <td>FY 2013</td> <td align="right">5,035</td> <td align="right">4,961</td> <td align="right">5,408</td> </tr> </table> | | | <u>Federal Comp</u> | <u>State Comp</u> | <u>Holiday Comp</u> | FY 2007 | 5,778 | 6,245 | 6,417 | FY 2008 | 5,789 | 6,214 | 6,324 | FY 2009 | 5,637 | 5,846 | 6,188 | FY 2010 | 5,161 | 5,310 | 5,736 | FY 2011 | 4,761 | 4,932 | 5,378 | FY 2012 | 4,902 | 4,842 | 5,333 | FY 2013 | 5,035 | 4,961 | 5,408 |
| | <u>Federal Comp</u> | <u>State Comp</u> | <u>Holiday Comp</u> | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| FY 2007 | 5,778 | 6,245 | 6,417 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| FY 2008 | 5,789 | 6,214 | 6,324 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| FY 2009 | 5,637 | 5,846 | 6,188 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| FY 2010 | 5,161 | 5,310 | 5,736 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| FY 2011 | 4,761 | 4,932 | 5,378 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| FY 2012 | 4,902 | 4,842 | 5,333 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| FY 2013 | 5,035 | 4,961 | 5,408 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

SUPPLEMENTAL NEW DECISION ITEM

| | |
|-----------------------------|--|
| Department of Mental Health | Budget Unit: 65106C |
| Department wide | |
| Overtime | DI#: 2650001 |
| | Original FY 2014 House Bill Section, if applicable |
| | 10.010 |

5. PERFORMANCE MEASURES (Continued)

5c. Provide the number of clients/individuals served, if applicable. (Continued)



5d. Provide a customer satisfaction measure, if available.

Not applicable.

6. STRATEGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGETS:

Funds will be utilized to pay overtime obligations as required by statute.

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|---|--|-------------------|------------------|-------------------|--|---|-------------------|------------------|-------------------|
| Department of Mental Health | | | | | Budget Unit 66320C, 74205C | | | | |
| Multiple Divisions | | | | | | | | | |
| DMH Additional Authority | | | | | Original FY 2014 House Bill Section, if applicable | | | | |
| DI#: 2650002 | | | | | 10.120 & 10.410 | | | | |
| 1. AMOUNT OF REQUEST | | | | | | | | | |
| | FY 2014 Supplemental Budget Request | | | | | FY 2014 Supplemental Governor's Recommendation | | | |
| | GR | Federal | Other | Total | | GR | Federal | Other | Total |
| PS | 0 | 0 | 0 | 0 | PS | 0 | 0 | 0 | 0 |
| EE | 0 | 0 | 0 | 0 | EE | 0 | 0 | 0 | 0 |
| PSD | 0 | 10,000,000 | 2,000,000 | 12,000,000 | PSD | 0 | 10,000,000 | 2,600,000 | 12,600,000 |
| TRF | 0 | 0 | 0 | 0 | TRF | 0 | 0 | 0 | 0 |
| Total | 0 | 10,000,000 | 2,000,000 | 12,000,000 | Total | 0 | 10,000,000 | 2,600,000 | 12,600,000 |
| FTE | 0.00 | 0.00 | 0.00 | 0.00 | FTE | 0.00 | 0.00 | 0.00 | 0.00 |
| POSITIONS | 0 | 0 | 0 | 0 | POSITIONS | 0 | 0 | 0 | 0 |
| NUMBER OF MONTHS POSITIONS ARE NEEDED: N/A | | | | | NUMBER OF MONTHS POSITIONS ARE NEEDED: N/A | | | | |
| Est. Fringe | 0 | 0 | 0 | 0 | Est. Fringe | 0 | 0 | 0 | 0 |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | |
| Other Funds: Mental Health Interagency Payment Fund (0109) | | | | | Other Funds: Mental Health Interagency Payment Fund (0109) Mental Health Earnings Fund (0288) | | | | |
| 2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM. | | | | | | | | | |
| <p>The Division of Developmental Disabilities (DD) received a supplemental in FY 2013 for \$10,000,000 in federal authority in appropriation 2074 due to the "E" being removed from that appropriation. The increase was not included in the FY 2014 core, so a FY 2014 supplemental is being requested. This appropriation is used to cover the state match costs of consumers who are served through a DD Medicaid waiver. Additionally, the "E" was removed from Mental Health Interagency Payment Fund, appropriation 0399, so a FY 2014 supplemental for authority in the amount of \$2,000,000 is being requested. This appropriation is used to accept funds from the Department of Social Services for match costs for consumers who are in Children's Division custody but are served through a DD Medicaid waiver.</p> <p>As a result of further review, projections show the need for an increase in additional Mental Health Earnings Fund (MHEF) authority of \$600,000 to adequately support the Substance Abuse Traffic Offender Program (SATOP). The "E" on this appropriation was removed in FY13. This program is funded by the supplemental fees collected from the DWI offenders.</p> <p>A FY 2015 new decision item cost-to-continue is being requested for all of these supplemental items.</p> | | | | | | | | | |

SUPPLEMENTAL NEW DECISION ITEM

| | | | |
|------------------------------------|---------------------|---|----------------------------|
| Department of Mental Health | | Budget Unit <u>66320C, 74205C</u> | |
| Multiple Divisions | | | |
| DMH Additional Authority | DI#: 2650002 | Original FY 2014 House Bill Section, if applicable | <u>10.120 & 10.410</u> |

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.)

Department Request: DMH is increasing appropriations 2074 and 0399 due to the "E" being removed from those appropriations. Additional authority is needed in both appropriations to cover state match for DD waiver services.

| HB Section | Fund | Approp | Approp Name | Amount |
|------------|------|--------|-------------------------------|---------------------|
| 10.410 | 0148 | 2074 | DD Community Program Medicaid | \$10,000,000 |
| 10.410 | 0109 | 0399 | DD DFS Clients | \$2,000,000 |
| | | | Total | <u>\$12,000,000</u> |

Governor Recommends: Additional authority of \$10,000,000 federal funds and \$2,000,000 Mental Health Interagency Payments Fund is needed to cover state match for DD Medicaid waiver services. As a result of further review, projections show the need for an increase in additional Mental Health Earnings Fund (MHEF) authority of \$600,000 to adequately support the Substance Abuse Traffic Offender Program (SATOP).

| HB Section | Fund | Approp | Approp Name | FY14 TAFP Amount | Supp Amount |
|------------|------|--------|-------------------------------|----------------------|----------------------|
| 10.120 | 0288 | 3901 | ADA SATOP | \$ 6,180,000 | \$ 600,000 |
| 10.410 | 0148 | 2074 | DD Community Program Medicaid | \$ 16,473,482 | \$ 10,000,000 |
| 10.410 | 0109 | 0399 | DD DFS Clients | \$ 8,755,000 | \$ 2,000,000 |
| | | | | <u>\$ 31,408,482</u> | <u>\$ 12,600,000</u> |

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|--|----------------|----------------|----------------------------|--|-------------------|-------------------|-------------------|-------------------|----------------------|
| Department of Mental Health | | | Budget Unit 66320C, 74205C | | | | | | |
| Multiple Divisions | | | | | | | | | |
| DMH Additional Authority | | DI#: 2650002 | | Original FY 2014 House Bill Section, if applicable | | | | 10.120 &10.410 | |
| | | | | | | | | | |
| 4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS. | | | | | | | | | |
| | Dept Req GR | Dept Req GR | Dept Req FED | Dept Req FED | Dept Req OTHER | Dept Req OTHER | Dept Req TOTAL | Dept Req TOTAL | Dept Req One-Time |
| Budget Object Class/Job Class | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS |
| Program Distributions | | | 10,000,000 | | 2,000,000 | | 12,000,000 | | 0 |
| Total PSD | 0 | | 10,000,000 | | 2,000,000 | | 12,000,000 | | 0 |
| Grand Total | 0 | 0.00 | 10,000,000 | 0.00 | 2,000,000 | 0.00 | 12,000,000 | 0.00 | 0 |
| | | | | | | | | | |
| | Gov Rec GR | Gov Rec GR | Gov Rec FED | Gov Rec FED | Gov Rec OTHER | Gov Rec OTHER | Gov Rec TOTAL | Gov Rec TOTAL | Gov Rec One-Time |
| Budget Object Class/Job Class | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS |
| Program Distributions | 0 | | 10,000,000 | | 2,600,000 | | 12,600,000 | | 12,600,000 |
| Total PSD | 0 | | 10,000,000 | | 2,600,000 | | 12,600,000 | | 12,600,000 |
| Grand Total | 0 | 0.0 | 10,000,000 | 0.0 | 2,600,000 | 0.0 | 12,600,000 | 0.0 | 12,600,000 |
| | | | | | | | | | |

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|---|------------------|-------------------|----------|-------------------|--|------------------|-------------------|----------|-------------------|
| Department of Mental Health Division of Developmental Disabilities DD Residential Waivers DI# 2650004 | | | | | Budget Unit <u>74205C</u> Original FY 2014 House Bill Section, if applicable <u>10.410</u> | | | | |
| 1. AMOUNT OF REQUEST | | | | | | | | | |
| FY 2014 Supplemental Budget Request | | | | | FY 2014 Supplemental Governor's Recommendation | | | | |
| | GR | Federal | Other | Total | | GR | Federal | Other | Total |
| PS | 0 | 0 | 0 | 0 | PS | 0 | 0 | 0 | 0 |
| EE | 0 | 0 | 0 | 0 | EE | 0 | 0 | 0 | 0 |
| PSD | 9,315,556 | 15,112,281 | 0 | 24,427,837 | PSD | 9,315,556 | 15,112,281 | 0 | 24,427,837 |
| TRF | 0 | 0 | 0 | 0 | TRF | 0 | 0 | 0 | 0 |
| Total | 9,315,556 | 15,112,281 | 0 | 24,427,837 | Total | 9,315,556 | 15,112,281 | 0 | 24,427,837 |
| FTE | 0.00 | 0.00 | 0.00 | 0.00 | FTE | 0.00 | 0.00 | 0.00 | 0.00 |
| POSITIONS | 0 | 0 | 0 | 0 | POSITIONS | 0 | 0 | 0 | 0 |
| NUMBER OF MONTHS POSITIONS ARE NEEDED: <u>N/A</u> | | | | | NUMBER OF MONTHS POSITIONS ARE NEEDED: <u>N/A</u> | | | | |
| Est. Fringe | <u>0</u> | <u>0</u> | <u>0</u> | <u>0</u> | Est. Fringe | <u>0</u> | <u>0</u> | <u>0</u> | <u>0</u> |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | |
| 2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM. | | | | | | | | | |
| <p>This item requests funding to serve the following individuals:</p> <ol style="list-style-type: none"> 1) Individuals who have transitioned from nursing homes into DD waiver services under the Money Follows the Person guidelines of the Rebalancing Incentive Act; 2) Individuals who have transitioned from the Department of Social Services (DSS) Children's Division placements and into DD waiver slots as they age out of the DSS system; and 3) All individuals in crisis in need of DD waiver services during FY 2014. Individuals may be in crisis due to sudden onset of factors such as loss of a caregiver or deterioration of behavioral or medical conditions. | | | | | | | | | |

SUPPLEMENTAL NEW DECISION ITEM

| | | |
|---|--------------------|---|
| Department of Mental Health | | Budget Unit <u>74205C</u> |
| Division of Developmental Disabilities | | |
| DD Residential Waivers | DI# 2650004 | Original FY 2014 House Bill Section, if applicable <u>10.410</u> |

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.)

#1: Nursing Home Transitions

Division of Developmental Disabilities (DD) has been working with the Department of Health and Senior Services and the MO HealthNet Division to implement the Money Follows the Person (MFP) program since 2007 and the Balanced Incentive Program (BIP) since FY 2013 to transition individuals out of nursing facilities and into the community. DD has effectively transitioned 206 individuals out of nursing homes and into the community using Home and Community Based Waiver services. The initial match rate for these individuals is 90/10 for their first year in the community. DD has never received funding to support the ongoing cost of these DD waiver services; however, reductions have occurred in the Medicaid nursing facility budget. This item provides funding to ensure those community services will continue and allow individuals to live in their community with appropriate Home and Community Based Waiver services. The average age of the individuals being transitioned from nursing homes to the community is 43 years of age. The age range of the individuals transitioned is from 18 years of age to 78. DD has requested a cost to continue item in FY 2015.

Actual cost of DD Waiver Services for individuals transitioned from nursing homes to the community is shown below.

| HB Section | Fund | Approp | Amount |
|------------|------|--------|--------------|
| 10.410 | 0101 | 2072 | \$6,351,513 |
| 10.410 | 0148 | 6680 | \$10,303,824 |
| | | | \$16,655,337 |

#2: Children's Division Transitions

Some children who are in the care and custody of the DSS Children's Division (CD) are also eligible for DD services. These children require substantial specialized services including residential services that are available through the DD Home and Community Based Waiver. Interdivisional agreements between the CD and DD are developed when DD agrees the child is eligible for the services and CD agrees to pay the state match. Children typically age out of interdivisional agreements at 21 years of age; however, some leave CD custody at age 18. When a child ages out or leaves CD custody, DD is required to pick up the cost the DD waiver services. Funding is necessary to allow DD to pick up the on-going costs once the children age out or leave CD custody so these young adults are able to continue receiving the necessary support including residential services.

Funding is requested to support additional costs in FY 2014 of DD Home and Community Based Waiver services because DD originally projected 40 children would age out in FY 2014 at an average daily cost of \$250 per day. Updated information shows 55 children will age out in FY 2014 at an average daily cost of \$300 per day. DD will be required to serve the additional children aging out of CD care and pick up the additional cost of these services. DD has requested a cost to continue for this item in FY 2015.

15 Additional Children Aging out of CD Funding Agreements X Average GR Daily Cost \$300 X 365 Days = \$1,642,500

40 Children Aging out of CD Funding Agreements X Additional Daily Cost above DD projection \$50 x 365 Days = \$730,000

SUPPLEMENTAL NEW DECISION ITEM

| | | | |
|---|---------------------------|---|--|
| Department of Mental Health | | Budget Unit <u>74205C</u> | |
| Division of Developmental Disabilities | | | |
| DD Residential Waivers | DI# <u>2650004</u> | Original FY 2014 House Bill Section, if applicable <u>10.410</u> | |

#2: Children's Division Transitions (continued...)

| HB Section | Fund | Approp | Amount |
|------------|------|--------|-------------|
| 10.410 | 0101 | 2072 | \$904,753 |
| 10.410 | 0148 | 6680 | 1,467,747 |
| | | | \$2,372,500 |

#3: Individuals Experiencing a Crisis

The Division of Developmental Disabilities projection for FY 2014 underestimated the number of individuals experiencing a crisis or emergency situation that would require residential placement in Fiscal Year 2014. Individuals experiencing a crisis or emergency situation require residential support services as a result of aging caregivers, a change in status of caregiver, or an individual's medical or behavioral needs becoming so severe that family cannot keep them safe in their own home. This supplemental item is being requested to fund the cost of Home and Community Based Waiver services in Fiscal Year 2014 for 200 additional individuals not included in the FY 2014 Medicaid Utilization Request. For the past three fiscal years, DD has noticed an increase in the number of new individuals in crisis or emergency situations that come into DD waiver services rise from approximately 200 to 270 individuals per year. The 2011 profile for Missouri within a University of Colorado publication, "State of the States in Developmental Disabilities," shows over 17,600 individuals with developmental disabilities are living with a caregiver over the age of 60. Aging parents that have cared for their son or daughter in their own home are no longer physically able to continue taking care of them as a result of their own poor health. Other families have experienced a change in status or the death of a caregiver and no one else is available to provide needed support.

The Division projects a trend of at least 270 individuals in crisis or emergency situations per year who will require residential support services as a result of aging caregivers or an individual's medical or behavioral needs becoming so severe that family cannot keep them safe in their own home. Some families have tried to continue to meet the needs of their family member but the individual's medical or behavioral issues have escalated and the family can no longer meet the individual's needs safely at home. All of these issues require a family to request residential support services to address the individual's crisis/emergency situation. If residential support services are not provided, these individuals will not get the necessary support services and their situations will continue to worsen. Delays in obtaining necessary support services for individuals in crisis or emergency situations will create unsafe situations where individuals and possibly family members could be in danger. DD has requested a cost to continue item in FY 2015 to continue funding these services.

Projected cost of DD Waiver Services for Individuals experiencing a crisis or emergency situation requiring residential services in FY 2014:

200 individuals X Average Daily Cost of \$300 X 90 Days = \$5,400,000

Projected cost to fund services for 90 days in FY 2014.

| HB Section | Fund | Approp | Amount |
|------------|------|--------|-------------|
| 10.410 | 0101 | 2072 | \$2,059,290 |
| 10.410 | 0148 | 6680 | \$3,340,710 |
| | | | \$5,400,000 |

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|--|----------------|----------------|---------------------------|--|-------------------|-----------------------|-------------------|-------------------|----------------------|
| Department of Mental Health | | | Budget Unit <u>74205C</u> | | | | | | |
| Division of Developmental Disabilities | | | | | | | | | |
| DD Residential Waivers | | DI# 2650004 | | Original FY 2014 House Bill Section, if applicable | | | | | <u>10.410</u> |
| 4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS. | | | | | | | | | |
| | Dept Req GR | Dept Req GR | Dept Req FED | Dept Req FED | Dept Req OTHER | Dept Req OTHER FTE | Dept Req TOTAL | Dept Req TOTAL | Dept Req One-Time |
| Budget Object Class/Job Class | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | | DOLLARS | FTE | DOLLARS |
| Program Distributions | 9,315,556 | | 15,112,281 | | | | 0 | 0.0 | 0 |
| Total PSD | 9,315,556 | | 15,112,281 | | 0 | | 24,427,837 | | 24,427,837 |
| Grand Total | 9,315,556 | 0.0 | 15,112,281 | 0.0 | 0 | 0.0 | 24,427,837 | 0.0 | 24,427,837 |
| | Gov Rec GR | Gov Rec GR | Gov Rec FED | Gov Rec FED | Gov Rec OTHER | Gov Rec OTHER FTE | Gov Rec TOTAL | Gov Rec TOTAL | Gov Rec One-Time |
| Budget Object Class/Job Class | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | | DOLLARS | FTE | DOLLARS |
| Program Distributions | 9,315,556 | | 15,112,281 | | | | 0 | 0.0 | 0 |
| Total PSD | 9,315,556 | | 15,112,281 | | 0 | | 24,427,837 | | 24,427,837 |
| Grand Total | 9,315,556 | 0.0 | 15,112,281 | 0.0 | 0 | 0.0 | 24,427,837 | 0.0 | 24,427,837 |

SUPPLEMENTAL NEW DECISION ITEM

Department of Mental Health
Division of Developmental Disabilities
DD Residential Waivers
DI# 2650004

Budget Unit 74205C

Original FY 2014 House Bill Section, if applicable 10.410

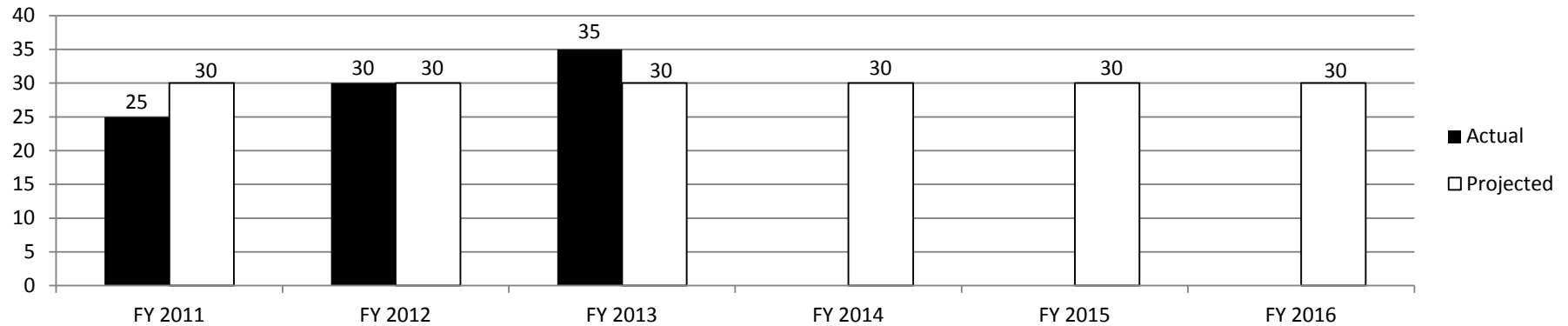
5. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional funding.)

5a. Provide an effectiveness measure.

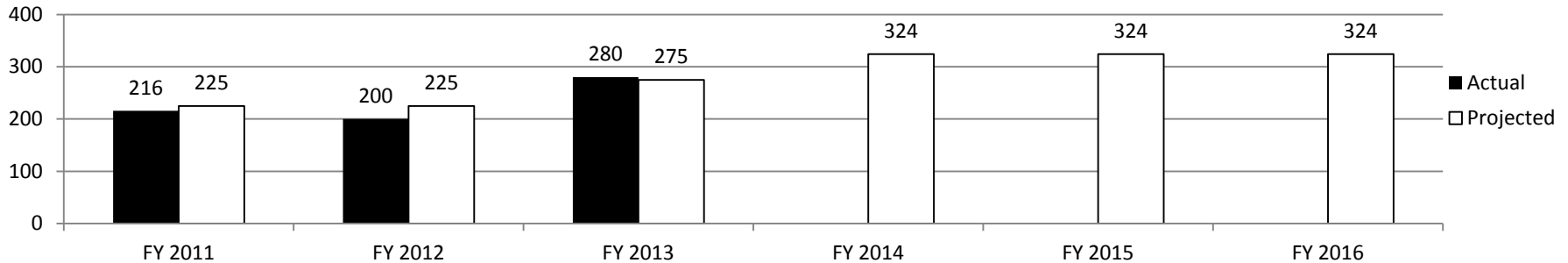
Not applicable.

5b. Provide an efficiency measure.

Number of Nursing Home Transitions to DD Home and Community Based Waiver



Number of funding agreements with Children's Division



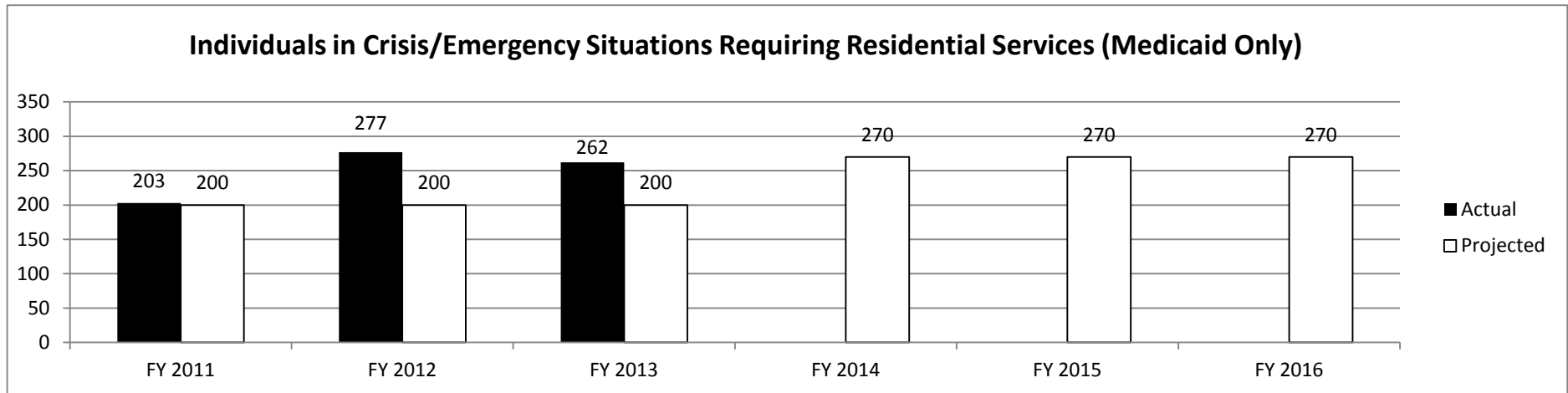
SUPPLEMENTAL NEW DECISION ITEM

Department of Mental Health
Division of Developmental Disabilities
DD Residential Waivers **DI# 2650004**

Budget Unit 74205C

Original FY 2014 House Bill Section, if applicable 10.410

5b. Provide an efficiency measure. (continued...)



5c. Provide the number of clients/individuals served, if applicable.

Number of consumers participating in the following MO HealthNet waivers:

| | FY 2011 | | FY 2012 | | FY 2013 | | FY 2014 | FY 2015 | FY 2016 |
|-----------------------------|-----------|--------|-----------|--------|-----------|--------|-----------|-----------|-----------|
| | Projected | Actual | Projected | Actual | Projected | Actual | Projected | Projected | Projected |
| Comprehensive Waiver | 8,250 | 7,693 | 7,975 | 8,073 | 8,275 | 8,443 | 8,443 | 8,443 | 8,443 |
| Community Support Waiver | 1,275 | 1,189 | 1,200 | 1,336 | 1,400 | 1,502 | 1,502 | 1,502 | 1,502 |
| Autism Waiver | 150 | 146 | 200 | 152 | 155 | 152 | 152 | 152 | 152 |
| Sarah Jian Lopez Waiver | 192 | 192 | 200 | 192 | 300 | 288 | 288 | 288 | 288 |
| Partnership for Hope Waiver | 850 | 944 | 1,300 | 1,448 | 2,548 | 1,821 | 2,500 | 2,500 | 2,500 |
| | 10,717 | 10,164 | 10,875 | 11,201 | 12,678 | 12,206 | 12,885 | 12,885 | 12,885 |

5d. Provide a customer satisfaction measure, if available.

Not applicable.

SUPPLEMENTAL NEW DECISION ITEM

| | |
|--|---|
| Department of Mental Health Division of Developmental Disabilities DD Residential Waivers | Budget Unit <u>74205C</u> Original FY 2014 House Bill Section, if applicable <u>10.410</u> |
| DI# 2650004 | |

6. STRATEGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGETS:

#1: Nursing Home Transitions

Funding will continue to be redirected to support individuals transitioning from nursing homes to DD Home and Community Based Waiver services.

Division will continue to work with other state agencies to offer Home and Community Based Waiver services to individuals that can benefit from those services and continue to live in the community.

Division will continue to expand community service options available to individuals needing assistance to remain in their own home and live in the community.

#2: Children's Division Transitions

Transitioning young adults to DD funded Home and Community Based Waiver services allows them to continue to receive necessary support services after they have aged out of Children's Division agreements.

Young adults are allowed to continue to receive services in their current waiver residential setting and are not displaced after they age out of Children's Division services.

Transition to DD funded support services allow young adults to continue to learn job skills, training, or other necessary social skills as they become an adult and continue to receive DD services.

#3: Individuals Experiencing a Crisis

Division will utilize funding to purchase necessary residential support services for individuals in crisis or emergency situations in a timely manner.

Division will work with families and other treatment team members to address the needs of individuals in crisis or emergency situations to keep them in safe environments.

Funding will allow the Division to respond quickly to crisis/emergency situations and address the need's of individuals and families.

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|--|--|--|--|--|--|--|--|--|--|
| Department of Health & Senior Services | | | | | Budget Unit <u>58445C</u> | | | | |
| Division of Community & Public Health | | | | | | | | | |
| AIDS Drug Assistance Program (ADAP) DI# 2580003 | | | | | Original FY 2014 House Bill Section, if applicable 10.650 | | | | |

1. AMOUNT OF REQUEST

| | FY 2014 Supplemental Budget Request | | | |
|--------------|-------------------------------------|------------------|----------|------------------|
| | GR | Federal | Other | Total |
| PS | 0 | 0 | 0 | 0 |
| EE | 0 | 0 | 0 | 0 |
| PSD | 0 | 9,614,889 | 0 | 9,614,889 |
| TRF | 0 | 0 | 0 | 0 |
| Total | 0 | 9,614,889 | 0 | 9,614,889 |

| | | | | |
|-----------|------|------|------|------|
| FTE | 0.00 | 0.00 | 0.00 | 0.00 |
| POSITIONS | 0 | 0 | 0 | 0 |

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

| | FY 2014 Supplemental Governor's Recommendation | | | |
|--------------|--|------------------|----------|------------------|
| | GR | Fed | Other | Total |
| PS | 0 | 0 | 0 | 0 |
| EE | 0 | 0 | 0 | 0 |
| PSD | 0 | 9,614,889 | 0 | 9,614,889 |
| TRF | 0 | 0 | 0 | 0 |
| Total | 0 | 9,614,889 | 0 | 9,614,889 |

| | | | | |
|-----------|------|------|------|------|
| FTE | 0.00 | 0.00 | 0.00 | 0.00 |
| POSITIONS | 0 | 0 | 0 | 0 |

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

| | | | | |
|--------------------|---|---|---|---|
| Est. Fringe | 0 | 0 | 0 | 0 |
|--------------------|---|---|---|---|

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

| | | | | |
|--------------------|---|---|---|---|
| Est. Fringe | 0 | 0 | 0 | 0 |
|--------------------|---|---|---|---|

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

The AIDS Drug Assistance Program (ADAP) is a statewide program that provides life-sustaining medications to low-income Missourians living with HIV disease who do not have access through private insurance, Medicaid, or Medicare. Medications stabilize client health and their ability to continue to work, reduce susceptibility to infection, and prevent AIDS-related disability determinations that would make clients eligible for Medicaid. As program costs have increased, the federal government has made additional funding available to the state. Additional federal appropriation authority is needed to ensure that the Department of Health and Senior Services (DHSS) can continue providing life-savings medications to program participants. ADAP is authorized through the Ryan White Modernization Act of 2010.

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|---|--|--------------------|---|--|--|--|--|--|---------------|
| Department of Health & Senior Services | | | Budget Unit <u>58445C</u> | | | | | | |
| Division of Community & Public Health | | | | | | | | | |
| AIDS Drug Assistance Program (ADAP) | | DI# 2580003 | Original FY 2014 House Bill Section, if applicable | | | | | | 10.650 |

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.)

This request results from a combination of increased participants in the ADAP program and increased costs for HIV/AIDS medications. The number of ADAP participants is projected to increase by 312 (eight percent) in FY 2014. In addition, the FDA has approved new, more expensive antiretrovirals, increasing programmatic costs by nearly 16 percent since FY 2011. DHSS projects ADAP expenditures will increase by \$9.6 million as a result of these factors. The increased cost for ADAP can be covered with federal funding that is available to the state through pharmaceutical rebates on the cost of HIV/AIDS medications.

4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS.

| Budget Object Class/Job Class | Dept Req GR DOLLARS | Dept Req GR FTE | Dept Req FED DOLLARS | Dept Req FED FTE | Dept Req OTHER DOLLARS | Dept Req OTHER FTE | Dept Req TOTAL DOLLARS | Dept Req TOTAL FTE | Dept Req One-Time DOLLARS |
|-------------------------------|---------------------------|-----------------------|----------------------------|------------------------|------------------------------|--------------------------|------------------------------|--------------------------|---------------------------------|
| Program Distributions | <u> </u> | | <u>9,614,889</u> | | <u> </u> | | <u>9,614,889</u> | | <u>9,614,889</u> |
| Total PSD | 0 | | 9,614,889 | | 0 | | 9,614,889 | | 9,614,889 |
| Grand Total | 0 | 0.0 | 9,614,889 | 0.0 | 0 | 0.0 | 9,614,889 | 0.0 | 9,614,889 |

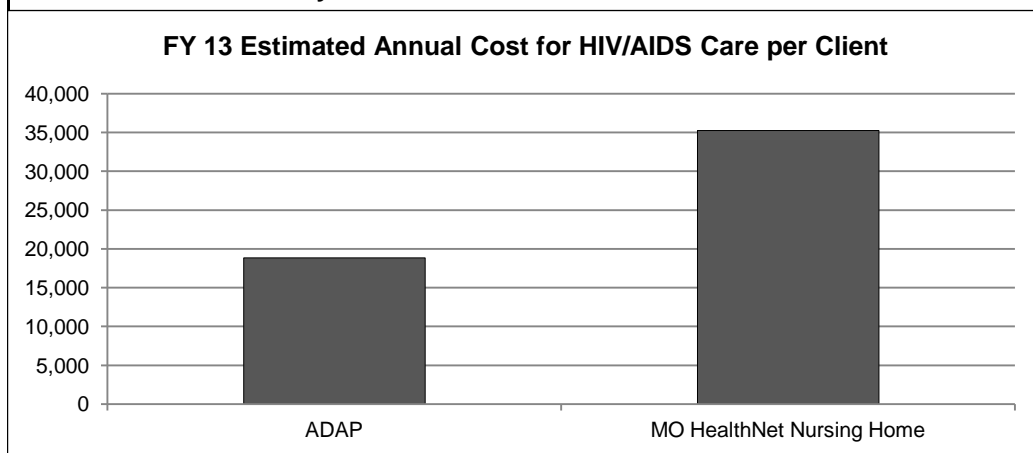
| Budget Object Class/Job Class | Gov Rec GR DOLLARS | Gov Rec GR FTE | Gov Rec FED DOLLARS | Gov Rec FED FTE | Gov Rec OTHER DOLLARS | Gov Rec OTHER FTE | Gov Rec TOTAL DOLLARS | Gov Rec TOTAL FTE | Gov Rec One-Time DOLLARS |
|-------------------------------|--------------------------|----------------------|---------------------------|-----------------------|-----------------------------|-------------------------|-----------------------------|-------------------------|--------------------------------|
| Program Distributions | <u> </u> | | <u>9,614,889</u> | | <u> </u> | | <u>9,614,889</u> | | <u>9,614,889</u> |
| Total PSD | 0 | | 9,614,889 | | 0 | | 9,614,889 | | 9,614,889 |
| Grand Total | 0 | 0.0 | 9,614,889 | 0.0 | 0 | 0.0 | 9,614,889 | 0.0 | 9,614,889 |

SUPPLEMENTAL NEW DECISION ITEM

| | | |
|--|-------------|--|
| Department of Health & Senior Services | Budget Unit | 58445C |
| Division of Community & Public Health | | |
| AIDS Drug Assistance Program (ADAP) | DI# 2580003 | Original FY 2014 House Bill Section, if applicable |
| | | 10.650 |

5. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional funding.)

5a. Provide an efficiency measure.



5c. Provide the number of clients/individuals served, if applicable.

| | FFY 2010 | FFY 2011 | FFY 2012 | FFY 2013 Proj. | FY 2014 Proj. | FY 2015 Proj. |
|--|----------|----------|----------|----------------|---------------|---------------|
| Accessing ADAP | 2,842 | 3,335 | 3,597 | 3,900 | 4,212 | 4,462 |
| Accessing anti-retrovirals | 2,375 | 2,955 | 3,201 | 3,471 | 3,749 | 3,999 |
| Accessing three or more anti-retrovirals | 2,356 | 2,908 | 3,147 | 3,412 | 3,685 | 3,935 |

The above data pertains to clients who adhere to national guidelines of using three or more anti-retrovirals to improve health status and reduce HIV infectiousness. Each measure represents unduplicated clients served by Missouri's ADAP program and their adherence to CDC Treatment Guidelines and Protocols.

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|--|---------------|----------------|--------------|--|---|---------------|----------------|--------------|----------------|
| Department of Health and Senior Services | | | | Budget Unit <u>58241C</u> | | | | | |
| Division of Senior and Disability Services | | | | | | | | | |
| SB 127 - HCBS Assessments | | | | Original FY 2014 House Bill Section, if applicable <u>10.680</u> | | | | | |
| DI# 2580001 | | | | | | | | | |
| 1. AMOUNT OF REQUEST | | | | | | | | | |
| FY 2014 Supplemental Budget Request | | | | FY 2014 Supplemental Governor's Recommendation | | | | | |
| | GR | Federal | Other | Total | | GR | Federal | Other | Total |
| PS | 0 | 66,658 | 0 | 66,658 | PS | 0 | 66,658 | 0 | 66,658 |
| EE | 48,942 | 56,094 | 0 | 105,036 | EE | 48,942 | 56,094 | 0 | 105,036 |
| PSD | 0 | 0 | 0 | 0 | PSD | 0 | 0 | 0 | 0 |
| TRF | 0 | 0 | 0 | 0 | TRF | 0 | 0 | 0 | 0 |
| Total | <u>48,942</u> | <u>122,752</u> | <u>0</u> | <u>171,694</u> | Total | <u>48,942</u> | <u>122,752</u> | <u>0</u> | <u>171,694</u> |
| FTE | 1.75 | 1.75 | 0.00 | 3.50 | FTE | 1.75 | 1.75 | 0.00 | 3.50 |
| POSITIONS | 0 | 0 | 0 | 6 | POSITIONS | 0 | 0 | 0 | 6 |
| NUMBER OF MONTHS POSITIONS ARE NEEDED: | | | | 7 | NUMBER OF MONTHS POSITIONS ARE NEEDED: | | | | 7 |
| Est. Fringe | 0 | 35,162 | 0 | 35,162 | Est. Fringe | 0 | 35,162 | 0 | 35,162 |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | | |
| 2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM. | | | | | | | | | |
| <p>Funding is requested to implement provisions of SB 127 (2013), which became effective August 28, 2013 and revised Section 208.895, RSMo., to require referrals for Home and Community-Based Services (HCBS) to be processed within 15 days. If a referral is not scheduled within ten business days of receipt, an HCBS provider may complete an initial assessment and care plan, which then requires review and approval by the department within five days. The bill requires auditing of providers who perform initial assessments to include a review of plans of care, provider assessments, and choice and communication of HCBS provider service options. The department must also make available a review of its process for informing participants of service options within MO Medicaid HCBS and information on referrals. The bill requires the department to develop an automated electronic assessment care plan tool to be used by providers. The department must provide a recommendation regarding the implementation of the tool by January 1, 2014. Also, the department must report to the General Assembly regarding implementation of the bill by December 31, 2014.</p> <p>Supplemental funding is required in order to implement required policy changes, compile data, assist providers, and troubleshoot implementation issues.</p> | | | | | | | | | |

SUPPLEMENTAL NEW DECISION ITEM

| | | | |
|--|-------------|--|--------|
| Department of Health and Senior Services | | Budget Unit | 58241C |
| Division of Senior and Disability Services | | | |
| SB 127 - HCBS Assessments | DI# 2580001 | Original FY 2014 House Bill Section, if applicable | 10.680 |

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.)

Staff and associated E&E costs

Because of the immediate need for training, data collection, assistance to providers, and the requirements for reports and recommendations, DSDS requires funding for six positions and associated costs for seven months as follows:

One Aging Program Specialist II to develop service standards regarding assessments, file rules and regulations, field questions from staff regarding policy issues, answer inquiries from the Centers for Medicare and Medicaid Services (CMS), and develop quality review methods.

One Aging Program Specialist (APS) II to assist with the maintenance of the web tool, assist in the development of an assessment care plan tool, troubleshoot problems, answer provider questions, review error reports, correct errors, and assist in the continued maintenance of the web tool.

One Training Technician II to complete initial training of new provider-assessors and provide periodic training thereafter for updates of the web tool and the assessment tool and to ensure assessments are conducted according to state and federal statutes and regulations, Medicaid rules, and DHSS policies.

Two Management Analysis Specialist (MAS) IIs to review data regarding assessments completed, determine statistical norms, design reports and reporting methods, calculate valid sample sizes, conduct random sampling of services, participants, and providers. MAS IIs will also identify data outliers and analyze the impact of the assessment methods on the cost of services, amount of services authorized, and participant satisfaction.

One Senior Office Support Assistant-Keyboarding to provide clerical support for the APS IIs and MAS IIs including scheduling, correspondence, data entry, filing, and other routine clerical duties.

Standard one-time costs and seven months of ongoing expense and equipment costs associated with the FTE are included in this request.

Web tool alterations

Alterations will be required to the web tool which will allow providers to enter data regarding assessments and care plans. These alterations, along with the development and implementation of an automated electronic assessment care plan tool, are expected to cost an estimated \$250,000. It would involve programming changes to the web tool and integration with MO Medicaid Management Information System (MMIS). System changes over seven months are expected to total \$82,500, with the remainder of the alterations being completed/paid for in FY 2015.

| | GR Need | Reserve | Supp GR Request | Supp Fed Request | Total Request |
|-----|---------|----------|-----------------|------------------|---------------|
| PS | 66,658 | (66,658) | 0 | 66,658 | 66,658 |
| E&E | 56,094 | (7,152) | 48,942 | 56,094 | 105,036 |
| | 122,752 | (73,810) | 48,942 | 122,752 | 171,694 |

Note: The difference between the costs referenced above versus the amounts listed in box 1 and box 4 is due to release of the Governor's reserve. The amounts to be released total \$73,810, including \$66,658 PS and \$7,152 E&E.

SUPPLEMENTAL NEW DECISION ITEM

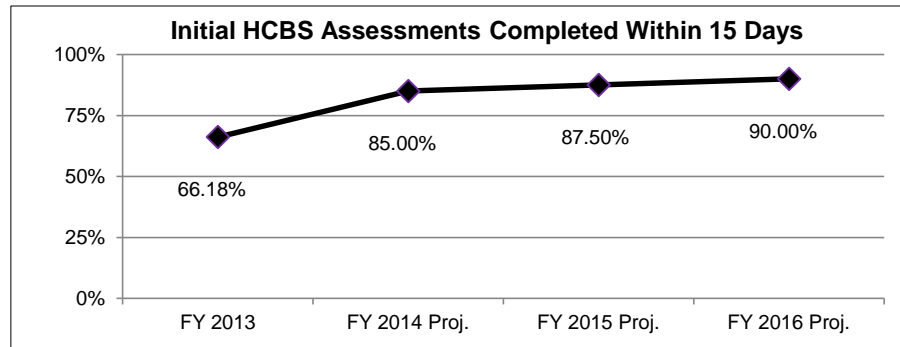
| Department of Health and Senior Services | | | Budget Unit 58241C | | | | | | |
|--|---------------------------|-----------------------|--|------------------------|------------------------------|--------------------------|------------------------------|--------------------------|---------------------------------|
| Division of Senior and Disability Services | | | | | | | | | |
| SB 127 - HCBS Assessments | | DI# 2580001 | Original FY 2014 House Bill Section, if applicable | | | | | | 10.680 |
| 4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS. | | | | | | | | | |
| Budget Object Class/Job Class | Dept Req GR DOLLARS | Dept Req GR FTE | Dept Req FED DOLLARS | Dept Req FED FTE | Dept Req OTHER DOLLARS | Dept Req OTHER FTE | Dept Req TOTAL DOLLARS | Dept Req TOTAL FTE | Dept Req One-Time DOLLARS |
| 100/Aging Program Specialist II | 0 | 0.58 | 23,322 | 0.58 | | | 23,322 | 1.16 | 23,322 |
| 100/Training Technician II | 0 | 0.30 | 11,661 | 0.29 | | | 11,661 | 0.59 | 11,661 |
| 100/Management Analysis Specialist II | 0 | 0.58 | 24,218 | 0.59 | | | 24,218 | 1.17 | 24,218 |
| 100/Sr. Office Supp. Asst. - Keyboarding | 0 | 0.29 | 7,457 | 0.29 | | | 7,457 | 0.58 | 7,457 |
| Total PS | 0 | 1.75 | 66,658 | 1.75 | 0 | 0.0 | 66,658 | 3.50 | 66,658 |
| 140/Travel, In-State | 4,350 | | 4,350 | | | | 8,700 | | 8,700 |
| 190/Supplies | 600 | | 600 | | | | 1,200 | | 1,200 |
| 340/Communication Services & Supplies | 2,091 | | 2,091 | | | | 4,182 | | 4,182 |
| 400/Professional Services | 34,098 | | 41,250 | | | | 75,348 | | 75,348 |
| 480/Computer Equipment | 6,189 | | 6,189 | | | | 12,378 | | 12,378 |
| 580/Office Equipment | 1,614 | | 1,614 | | | | 3,228 | | 3,228 |
| Total EE | 48,942 | | 56,094 | | 0 | | 105,036 | | 105,036 |
| Grand Total | 48,942 | 1.75 | 122,752 | 1.75 | 0 | 0.0 | 171,694 | 3.50 | 171,694 |
| Budget Object Class/Job Class | Gov Rec GR DOLLARS | Gov Rec GR FTE | Gov Rec FED DOLLARS | Gov Rec FED FTE | Gov Rec OTHER DOLLARS | Gov Rec OTHER FTE | Gov Rec TOTAL DOLLARS | Gov Rec TOTAL FTE | Gov Rec One-Time DOLLARS |
| 100/Aging Program Specialist II | 0 | 0.58 | 23,322 | 0.58 | | | 23,322 | 1.16 | 23,322 |
| 100/Training Technician II | 0 | 0.30 | 11,661 | 0.29 | | | 11,661 | 0.59 | 11,661 |
| 100/Management Analysis Specialist II | 0 | 0.58 | 24,218 | 0.59 | | | 24,218 | 1.17 | 24,218 |
| 100/Sr. Office Supp. Asst. - Keyboarding | 0 | 0.29 | 7,457 | 0.29 | | | 7,457 | 0.58 | 7,457 |
| Total PS | 0 | 1.75 | 66,658 | 1.75 | 0 | 0.00 | 66,658 | 3.50 | 66,658 |
| 140/Travel, In-State | 4,350 | | 4,350 | | | | 8,700 | | 8,700 |
| 190/Supplies | 600 | | 600 | | | | 1,200 | | 1,200 |
| 340/Communication Services & Supplies | 2,091 | | 2,091 | | | | 4,182 | | 4,182 |
| 400/Professional Services | 34,098 | | 41,250 | | | | 75,348 | | 75,348 |
| 480/Computer Equipment | 6,189 | | 6,189 | | | | 12,378 | | 12,378 |
| 580/Office Equipment | 1,614 | | 1,614 | | | | 3,228 | | 3,228 |
| Total EE | 48,942 | | 56,094 | | 0 | | 105,036 | | 105,036 |
| Grand Total | 48,942 | 1.75 | 122,752 | 1.75 | 0 | 0.00 | 171,694 | 3.50 | 171,694 |

SUPPLEMENTAL NEW DECISION ITEM

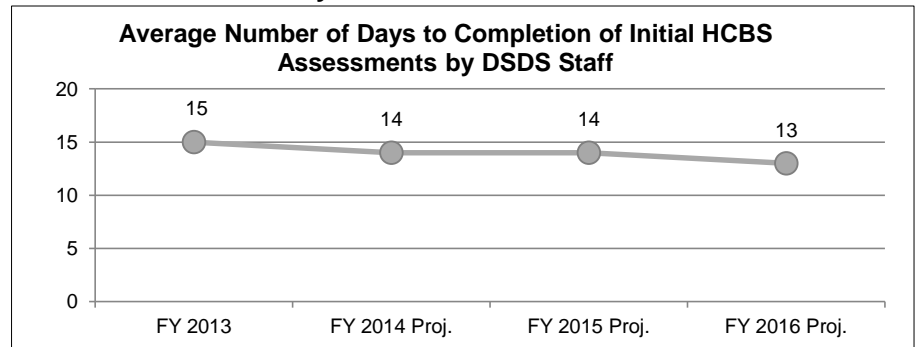
| | | | |
|--|-------------|--|---------------|
| Department of Health and Senior Services | | Budget Unit | <u>58241C</u> |
| Division of Senior and Disability Services | | | |
| SB 127 - HCBS Assessments | DI# 2580001 | Original FY 2014 House Bill Section, if applicable | <u>10.680</u> |

5. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional funding.)

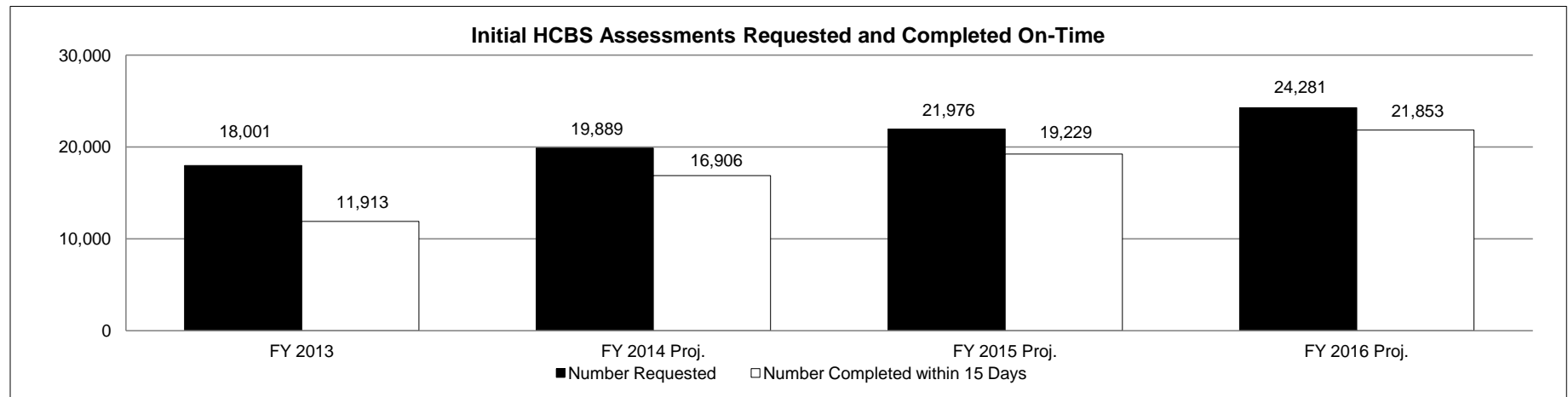
5a. Provide an effectiveness measure.



5b. Provide an efficiency measure.



5c. Provide the number of clients/individuals served, if applicable.



SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|---|--|--|--|--|--|--|--|--|--|
| Department of Health and Senior Services | | | | | Budget Unit <u>58847C</u> | | | | |
| Division of Senior and Disability Services | | | | | | | | | |
| Medicaid Home and Community-Based Services DI# 2580002 | | | | | Original FY 2014 House Bill Section, if applicable <u>10.695</u> | | | | |

1. AMOUNT OF REQUEST

| | FY 2014 Supplemental Budget Request | | | |
|--------------|-------------------------------------|-------------------|----------|-------------------|
| | GR | Federal | Other | Total |
| PS | 0 | 0 | 0 | 0 |
| EE | 0 | 0 | 0 | 0 |
| PSD | 14,949,000 | 24,007,872 | 0 | 38,956,872 |
| TRF | 0 | 0 | 0 | 0 |
| Total | 14,949,000 | 24,007,872 | 0 | 38,956,872 |

| | | | | |
|--|------|------|------|------|
| FTE | 0.00 | 0.00 | 0.00 | 0.00 |
| POSITIONS | 0 | 0 | 0 | 0 |
| NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | |

| | | | | |
|--------------------|---|---|---|---|
| <i>Est. Fringe</i> | 0 | 0 | 0 | 0 |
|--------------------|---|---|---|---|

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

| | FY 2014 Supplemental Governor's Recommendation | | | |
|--------------|--|-------------------|----------|-------------------|
| | GR | Federal | Other | Total |
| PS | 0 | 0 | 0 | 0 |
| EE | 0 | 0 | 0 | 0 |
| PSD | 10,331,800 | 27,968,246 | 0 | 38,300,046 |
| TRF | 0 | 0 | 0 | 0 |
| Total | 10,331,800 | 27,968,246 | 0 | 38,300,046 |

| | | | | |
|--|------|------|------|------|
| FTE | 0.00 | 0.00 | 0.00 | 0.00 |
| POSITIONS | 0 | 0 | 0 | 0 |
| NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | |

| | | | | |
|--------------------|---|---|---|---|
| <i>Est. Fringe</i> | 0 | 0 | 0 | 0 |
|--------------------|---|---|---|---|

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

Supplemental funding is required to maintain Home and Community-Based Services (HCBS) care plans currently authorized and provided to Medicaid participants receiving long-term care in their homes and communities. HCBS include Medicaid State Plan Personal Care, Independent Living Waiver, Adult Day Care Waiver, and the Aged and Disabled Waiver administered by the Division of Senior and Disability Services and the AIDS Waiver, Medically Fragile Adult Waiver, and Healthy Children and Youth Program administered by the Division of Community and Public Health. Funding is requested to cover anticipated costs due to an increased number of eligible individuals utilizing the program. This request is not associated with expansion of the program or eligibility requirements. The federal authority for this program is the Social Security Act Sections 1894, 1905(a)(7), 1905(a)(24), 1915(c), and 1934; 42 CFR 440.130, 440.170(f), 440.180, 440.210 and 460. The state authority for this program is Sections 208.152, 208.168, and 660.661-660.687, RSMo.

SUPPLEMENTAL NEW DECISION ITEM

| | |
|--|---|
| Department of Health and Senior Services | Budget Unit <u>58847C</u> |
| Division of Senior and Disability Services | |
| Medicaid Home and Community-Based Services DI# 2580002 | Original FY 2014 House Bill Section, if applicable <u>10.695</u> |

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.)

DEPARTMENT REQUESTS:

The FY 2014 appropriation for HCBS is \$650,500,648, which includes \$222,342,345 General Revenue and \$428,158,303 federal funds. Based on projected utilization of HCBS, an additional \$38,956,872 will be needed for HCBS in FY 2014. The projected cost increase is attributed to caseload growth. Using the blended Federal Medical Assistance Percentage (FMAP) rate for FY 2014 of 61.865 percent and assuming \$25,633,280 in federal Balancing Incentive Program expenditures, this equates to \$14,949,000 in General Revenue and \$24,007,872 in federal funds.

GOVERNOR RECOMMENDS:

| | Total | | GR | FED | Total |
|----------------------|--------------|----------------------|-------------------|-------------------|-------------------|
| FY 2014 Core | 650,500,648 | Revised FY 2014 Need | 262,674,145 | 426,126,549 | 688,800,694 |
| Revised FY 2014 Need | 688,800,694 | BIP earnings | (30,000,000) | 30,000,000 | |
| Shortfall | (38,300,046) | FY 2014 Need w/ BIP | 232,674,145 | 456,126,549 | 688,800,694 |
| | | FY 2014 Core | 222,342,345 | 428,158,303 | 650,500,648 |
| | | Difference | 10,331,800 | 27,968,246 | 38,300,046 |

Missouri was awarded enhanced FMAP through the Balancing Incentives Payment (BIP) program in June 2012. The state estimates Missouri will receive a revised \$30 million in FY 2014 in federal Medicaid earnings as a result of the BIP program. BIP encourages states to provide more support for community-based long-term services and supports than institutional services.

4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS.

| | Dept Req | Dept Req | Dept Req | Dept Req | Dept Req | | Dept Req | Dept Req | Dept Req |
|--------------------------------------|-------------------|-----------------|-------------------|-----------------|-----------------|------------------|-------------------|-----------------|-------------------|
| | GR | GR | FED | FED | OTHER | Dept Req | TOTAL | TOTAL | One-Time |
| Budget Object Class/Job Class | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | OTHER FTE | DOLLARS | FTE | DOLLARS |
| Program Distributions | 14,949,000 | | 24,007,872 | | 0 | | 38,956,872 | | 38,956,872 |
| Total PSD | 14,949,000 | | 24,007,872 | | 0 | | 38,956,872 | | 38,956,872 |
| Grand Total | 14,949,000 | 0.0 | 24,007,872 | 0.0 | 0 | 0.0 | 38,956,872 | 0.0 | 38,956,872 |
| | Gov Rec | Gov Rec | Gov Rec | Gov Rec | Gov Rec | | Gov Rec | Gov Rec | Gov Rec |
| | GR | GR | FED | FED | OTHER | Gov Rec | TOTAL | TOTAL | One-Time |
| Budget Object Class/Job Class | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | OTHER FTE | DOLLARS | FTE | DOLLARS |
| Program Distributions | 10,331,800 | | 27,968,246 | | 0 | | 38,300,046 | | 38,300,046 |
| Total PSD | 10,331,800 | | 27,968,246 | | 0 | | 38,300,046 | | 38,300,046 |
| Grand Total | 10,331,800 | 0.0 | 27,968,246 | 0.0 | 0 | 0.0 | 38,300,046 | 0.0 | 38,300,046 |

SUPPLEMENTAL NEW DECISION ITEM

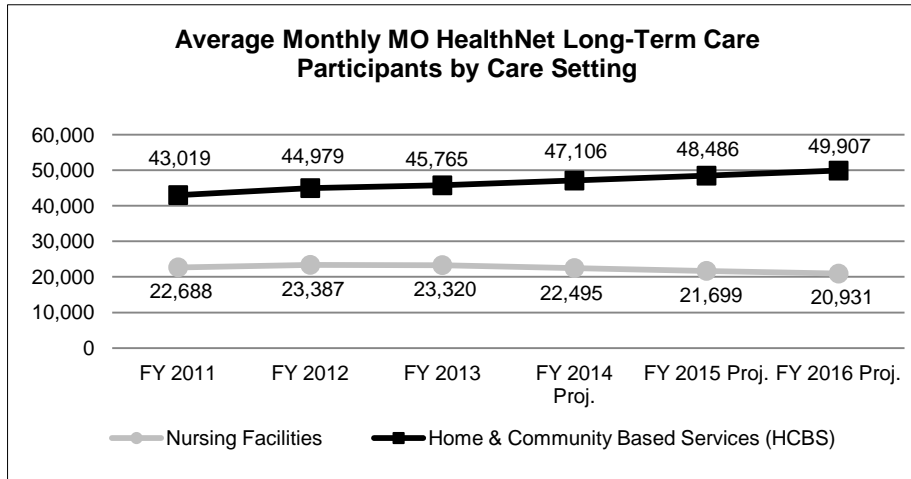
Department of Health and Senior Services
Division of Senior and Disability Services
Medicaid Home and Community-Based Services DI# 2580002

Budget Unit 58847C

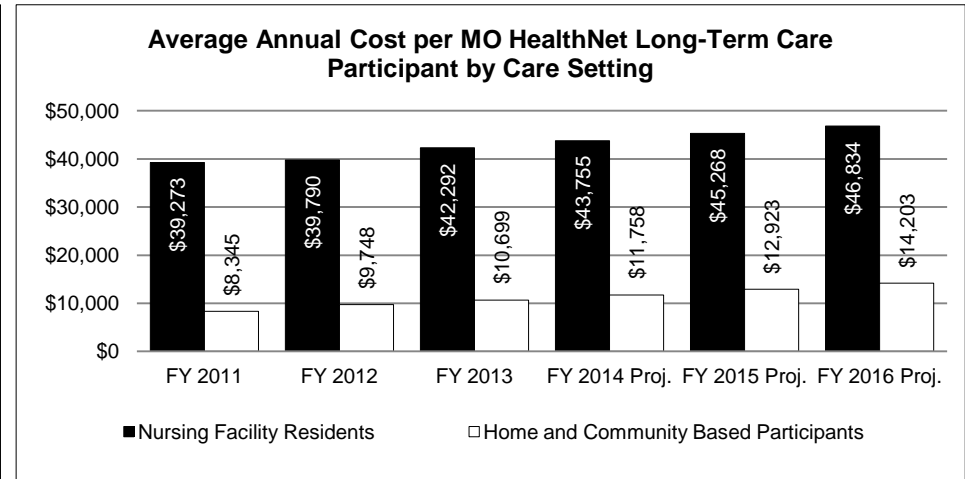
Original FY 2014 House Bill Section, if applicable 10.695

5. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional funding.)

5a. Provide an effectiveness measure.

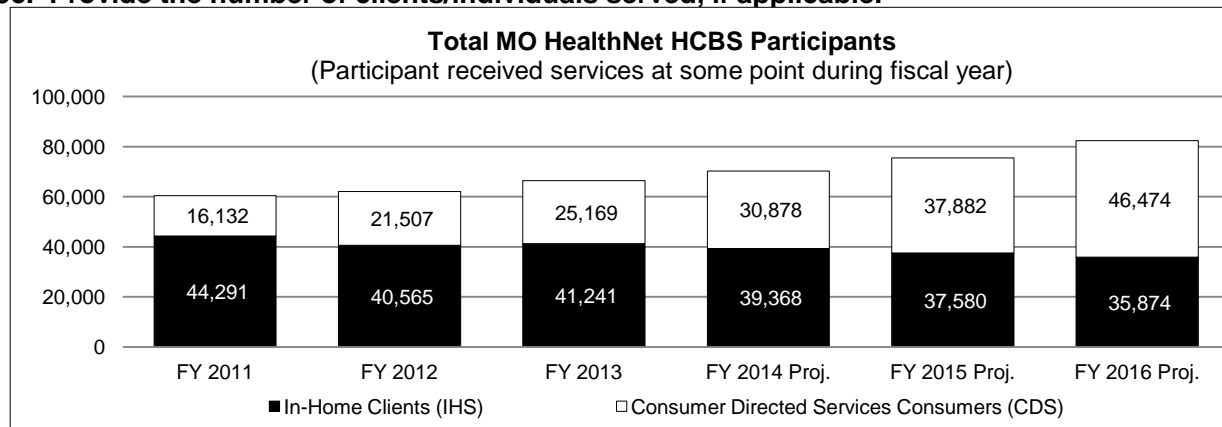


5b. Provide an efficiency measure.



*The above comparison does not take into account the higher level of need, on average, for nursing facility residents.

5c. Provide the number of clients/individuals served, if applicable.



SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|---|------|---------|-------|-------|--|-------|---------|-------|-------|
| Health and Senior Services | | | | | Budget Unit <u>58859C</u> | | | | |
| Senior and Disability Services | | | | | | | | | |
| MO Quality Home Care Council | | | | | DI#2580004 | | | | |
| | | | | | Original FY 2014 House Bill Section, if applicable <u>N/A</u> | | | | |
| 1. AMOUNT OF REQUEST | | | | | | | | | |
| FY 2014 Supplemental Budget Request | | | | | FY 2014 Supplemental Governor's Recommendation | | | | |
| | GR | Federal | Other | Total | | GR | Federal | Other | Total |
| PS | 0 | 0 | 0 | 0 | PS | 0 | 0 | 0 | 0 |
| EE | 0 | 0 | 0 | 0 | EE | 2,430 | 0 | 0 | 2,430 |
| PSD | 0 | 0 | 0 | 0 | PSD | 0 | 0 | 0 | 0 |
| TRF | 0 | 0 | 0 | 0 | TRF | 0 | 0 | 0 | 0 |
| Total | 0 | 0 | 0 | 0 | Total | 2,430 | 0 | 0 | 2,430 |
| FTE | 0.00 | 0.00 | 0.00 | 0.00 | FTE | 0.00 | 0.00 | 0.00 | 0.00 |
| POSITIONS | 0 | 0 | 0 | 0 | POSITIONS | 0 | 0 | 0 | 0 |
| NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | | NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | |
| <i>Est. Fringe</i> | 0 | 0 | 0 | 0 | <i>Est. Fringe</i> | 0 | 0 | 0 | 0 |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | |
| *As the department submitted the request after the October 1 st deadline, the request amount shows zero. | | | | | | | | | |
| 2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM. | | | | | | | | | |
| <p>Funding is requested to establish the Missouri Quality Home Care Council in accordance with Sections 208.850-208.871, RSMo, which was approved by Missouri voters as Proposition B on November 4, 2008. The purpose of the council is to ensure the availability and improve the quality of home care services. Duties of the council include assessing the size, quality, and stability of the home care workforce and its ability to meet the needs of consumers; training of personal care attendants; making recommendations regarding minimum qualifications of personal care attendants; establishing a state-wide list of eligible, available attendants; providing replacement referrals of personal care attendants; assessing and making recommendations regarding the mechanisms available to prevent abuse and neglect of consumers in a home care setting; recommending wages and rates paid to personal care attendants; establishing terms and conditions of employment for personal care attendants; and cooperating with the Department of Health and Senior Services and vendors to improve personal care services and their delivery.</p> | | | | | | | | | |

SUPPLEMENTAL NEW DECISION ITEM

| | | | |
|---------------------------------------|-------------------|--|--|
| Health and Senior Services | | Budget Unit <u>58859C</u> | |
| Senior and Disability Services | | | |
| MO Quality Home Care Council | DI#2580004 | Original FY 2014 House Bill Section, if applicable <u>N/A</u> | |

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.)

Expense and Equipment - \$2,430
The council meets quarterly. Funding is requested for expenses associated with the last quarterly meeting of FY 2014 to include mileage reimbursement, food, and lodging for members (\$75 per member X 12 members X 1 meeting = \$900); meeting supplies (\$75 X 1 meeting = \$75); communication services including conference calls, video conferences, and accommodations for council members with disabilities (\$269); and printing and mailing (\$200 mailing + \$986 printing).

4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS.

| Budget Object Class/Job Class | Dept Req GR DOLLARS | Dept Req GR FTE | Dept Req FED DOLLARS | Dept Req FED FTE | Dept Req OTHER DOLLARS | Dept Req OTHER FTE | Dept Req TOTAL DOLLARS | Dept Req TOTAL FTE | Dept Req One-Time DOLLARS |
|---------------------------------------|---------------------------|-----------------------|----------------------------|------------------------|------------------------------|--------------------------|------------------------------|--------------------------|---------------------------------|
| 140/Travel, In-State | 0 | | 0 | | 0 | | 0 | | 0 |
| 190/Supplies | 0 | | 0 | | 0 | | 0 | | 0 |
| 340/Communication Services & Supplies | 0 | | 0 | | 0 | | 0 | | 0 |
| 400/Professional Services | 0 | | 0 | | 0 | | 0 | | 0 |
| Total EE | 0 | | 0 | | 0 | | 0 | | 0 |
| Grand Total | 0 | 0.00 | 0 | 0.00 | 0 | 0.0 | 0 | 0.0 | 0 |

| Budget Object Class/Job Class | Gov Rec GR DOLLARS | Gov Rec GR FTE | Gov Rec FED DOLLARS | Gov Rec FED FTE | Gov Rec OTHER DOLLARS | Gov Rec OTHER FTE | Gov Rec TOTAL DOLLARS | Gov Rec TOTAL FTE | Gov Rec One-Time DOLLARS |
|---------------------------------------|--------------------------|----------------------|---------------------------|-----------------------|-----------------------------|-------------------------|-----------------------------|-------------------------|--------------------------------|
| 140/Travel, In-State | 900 | | 0 | | 0 | | 900 | | 0 |
| 190/Supplies | 75 | | 0 | | 0 | | 75 | | 0 |
| 340/Communication Services & Supplies | 269 | | 0 | | 0 | | 269 | | 0 |
| 400/Professional Services | 1,186 | | 0 | | 0 | | 1,186 | | 0 |
| Total EE | 2,430 | | 0 | | 0 | | 2,430 | | 0 |
| Grand Total | 2,430 | 0.00 | 0 | 0.00 | 0 | 0.0 | 2,430 | 0.0 | 0 |

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|--|---------------|----------|----------|---------------|--|---------------|----------|----------|---------------|
| Department of Social Services | | | | | Budget Unit <u>90140C</u> | | | | |
| Family Support Division | | | | | | | | | |
| Supplemental Nursing Care | | | | | DI# <u>2886007</u> | | | | |
| | | | | | Original FY 2014 House Bill Section, if applicable <u>11.120</u> | | | | |
| 1. AMOUNT OF REQUEST | | | | | | | | | |
| FY 2014 Supplemental Budget Request | | | | | FY 2014 Supplemental Governor's Recommendation | | | | |
| | GR | Federal | Other | Total | | GR | Federal | Other | Total |
| PS | 0 | 0 | 0 | 0 | PS | 0 | 0 | 0 | 0 |
| EE | 0 | 0 | 0 | 0 | EE | 0 | 0 | 0 | 0 |
| PSD | 21,191 | 0 | 0 | 21,191 | PSD | 21,191 | 0 | 0 | 21,191 |
| TRF | 0 | 0 | 0 | 0 | TRF | 0 | 0 | 0 | 0 |
| Total | <u>21,191</u> | <u>0</u> | <u>0</u> | <u>21,191</u> | Total | <u>21,191</u> | <u>0</u> | <u>0</u> | <u>21,191</u> |
| FTE | 0.00 | 0.00 | 0.00 | 0.00 | FTE | 0.00 | 0.00 | 0.00 | 0.00 |
| POSITIONS | 0 | 0 | 0 | 0 | POSITIONS | 0 | 0 | 0 | 0 |
| NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | | NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | |
| <i>Est. Fringe</i> | 0 | 0 | 0 | 0 | <i>Est. Fringe</i> | 0 | 0 | 0 | 0 |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | |
| Other Funds: _____ | | | | | Other Funds: _____ | | | | |
| 2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM. | | | | | | | | | |
| Funding is requested due to fluctuation in caseloads in the Supplemental Nursing program. In FY 2013, caseloads declined 2.4% from FY 2012. The FY 2014 budget was reduced based on the projected caseload decline; however, while caseloads are still declining, they are not falling as fast as projected. | | | | | | | | | |
| State statute: RSMo. 208.016 & 208.030, Federal law: Section 1618 of the Social Security Act. | | | | | | | | | |

SUPPLEMENTAL NEW DECISION ITEM

| Department of Social Services | | | Budget Unit <u>90140C</u> | | | | | | | | |
|--|---------------------------|-----------------------|--|------------------------|------------------------------|------------------------------------|------------------------------|--------------------------|---------------------------------|-------------------------|--------|
| Family Support Division | | | | | | | | | | | |
| Supplemental Nursing Care | | DI# <u>2886007</u> | Original FY 2014 House Bill Section, if applicable <u>11.120</u> | | | | | | | | |
| 3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.) | | | | | | | | | | | |
| <p>Based on current actual/projected expenditures & personal needs allowance, it is anticipated that additional funding will be necessary to operate the Supplemental Nursing Care program for FY14.</p> <table style="width:100%; border: none;"> <tr> <td style="width:40%;">Total FY14 Projected Expenditures:</td> <td align="right">24,930,575</td> </tr> <tr> <td>FY14 Core</td> <td align="right"><u>24,909,384</u></td> </tr> <tr> <td>FY14 Supplemental Need:</td> <td align="right">21,191</td> </tr> </table> | | | | | | Total FY14 Projected Expenditures: | 24,930,575 | FY14 Core | <u>24,909,384</u> | FY14 Supplemental Need: | 21,191 |
| Total FY14 Projected Expenditures: | 24,930,575 | | | | | | | | | | |
| FY14 Core | <u>24,909,384</u> | | | | | | | | | | |
| FY14 Supplemental Need: | 21,191 | | | | | | | | | | |
| 4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS. | | | | | | | | | | | |
| Budget Object Class/Job Class | Dept Req GR DOLLARS | Dept Req GR FTE | Dept Req FED DOLLARS | Dept Req FED FTE | Dept Req OTHER DOLLARS | Dept Req OTHER FTE | Dept Req TOTAL DOLLARS | Dept Req TOTAL FTE | Dept Req One-Time DOLLARS | | |
| Program Distributions | 21,191 | | 0 | | 0 | | 21,191 | | 21,191 | | |
| Total PSD | <u>21,191</u> | | <u>0</u> | | <u>0</u> | | <u>21,191</u> | | <u>21,191</u> | | |
| Grand Total | <u>21,191</u> | 0.0 | 0 | 0.0 | 0 | 0.0 | 21,191 | 0.0 | 21,191 | | |
| Budget Object Class/Job Class | Gov Rec GR DOLLARS | Gov Rec GR FTE | Gov Rec FED DOLLARS | Gov Rec FED FTE | Gov Rec OTHER DOLLARS | Gov Rec OTHER FTE | Gov Rec TOTAL DOLLARS | Gov Rec TOTAL FTE | Gov Rec One-Time DOLLARS | | |
| Program Distributions | 21,191 | | 0 | | 0 | | 21,191 | | 21,191 | | |
| Total PSD | <u>21,191</u> | | <u>0</u> | | <u>0</u> | | <u>21,191</u> | | <u>21,191</u> | | |
| Grand Total | <u>21,191</u> | 0.0 | 0 | 0.0 | 0 | 0.0 | 21,191 | 0.0 | 21,191 | | |

SUPPLEMENTAL NEW DECISION ITEM

| | | |
|-------------------------------|-------------|---|
| Department of Social Services | Budget Unit | 90140C |
| Family Support Division | | |
| Supplemental Nursing Care | DI# 2886007 | Original FY 2014 House Bill Section, if applicable 11.120 |

5. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional funding.)

5a. Provide an effectiveness measure.

This appropriation affects programs in the Family Support Division. Effectiveness measures affecting healthy, safe and prosperous Missourians are incorporated in the appropriate core appropriations.

5b. Provide an efficiency measure.

This appropriation affects programs in the Family Support Division. Effectiveness measures affecting healthy, safe and prosperous Missourians are incorporated in the appropriate core appropriations.

5c. Provide the number of clients/individuals served, if applicable.

Residential Care Facility (RCF I)
Average Monthly Caseload

| Year | Projected Average Monthly Caseload | Actual Average Monthly Caseload |
|--------|------------------------------------|---------------------------------|
| SFY 11 | 2,009 | 2,069 |
| SFY 12 | 2,107 | 2,101 |
| SFY 13 | 2,145 | 1,994 |
| SFY 14 | 2,000 | |
| SFY 15 | 2,000 | |
| SFY 16 | 2,000 | |

Assisted Living Facility (formally RCF II)
Average Monthly Caseload

| Year | Projected Average Monthly Caseload | Actual Average Monthly Caseload |
|--------|------------------------------------|---------------------------------|
| SFY 11 | 5,314 | 4,921 |
| SFY 12 | 4,655 | 4,886 |
| SFY 13 | 4,796 | 4,843 |
| SFY 14 | 4,850 | |
| SFY 15 | 4,850 | |
| SFY 16 | 4,850 | |

Skilled Nursing Intermediate Care
Average Monthly Caseload

| Year | Projected Average Monthly Caseload | Actual Average Monthly Caseload |
|--------|------------------------------------|---------------------------------|
| SFY 11 | 173 | 398 |
| SFY 12 | 453 | 359 |
| SFY 13 | 422 | 327 |
| SFY 14 | 330 | |
| SFY 15 | 330 | |
| SFY 16 | 330 | |

5d. Provide a customer satisfaction measure, if N/A

6. STRATEGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGETS:

N/A

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|---|------------------|------------------|--------------|--------------------|--|------------------|----------------|--------------|------------------|
| Department: Social Services | | | | | Budget Unit: 90185C, 90195C, 90215C, 90216C | | | | |
| Division: Children's Division | | | | | | | | | |
| DI Name: Child Welfare Shortfall | | | | DI# 2886006 | Original FY 2014 House Bill Section, if applicable 11.210, 11.220, 11.235 | | | | |
| 1. AMOUNT OF REQUEST | | | | | | | | | |
| FY 2014 Supplemental Budget Request | | | | | FY 2014 Supplemental Governor's Recommendation | | | | |
| | GR | Federal | Other | Total | | GR | Federal | Other | Total |
| PS | 0 | 0 | 0 | 0 | PS | 0 | 0 | 0 | 0 |
| EE | 0 | 0 | 0 | 0 | EE | 0 | 0 | 0 | 0 |
| PSD | 4,010,704 | 1,558,467 | 0 | 5,569,171 | PSD | 2,418,437 | 499,705 | 0 | 2,918,142 |
| TRF | 0 | 0 | 0 | 0 | TRF | 0 | 0 | 0 | 0 |
| Total | 4,010,704 | 1,558,467 | 0 | 5,569,171 | Total | 2,418,437 | 499,705 | 0 | 2,918,142 |
| FTE | 0.00 | 0.00 | 0.00 | 0.00 | FTE | 0.00 | 0.00 | 0.00 | 0.00 |
| POSITIONS | 0 | 0 | 0 | 0 | POSITIONS | 0 | 0 | 0 | 0 |
| NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | | NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | |
| Est. Fringe | 0 | 0 | 0 | 0 | Est. Fringe | 0 | 0 | 0 | 0 |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | |
| Other Funds: | | | | | Other Funds: | | | | |
| 2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM. | | | | | | | | | |
| <p>This request continues funding for services for children in the care and custody of Children's Division. Shortfalls are projected in programs funding children's placement costs such as Foster Care, Case Management, and in programs funding services such as Children's Treatment Services. Shortfalls are due to an increase in the number of children entering the care and custody of the Children's Division. The number of children has increased 4.9% from an average of 10,727 in FY 12 to an average 11,257 in FY 13.</p> <p>State Statute RSMo 211.031; 453.315. 42 USC Sections 670 and 5101.</p> | | | | | | | | | |

SUPPLEMENTAL NEW DECISION ITEM

| | | |
|---|--------------------|--|
| Department: Social Services | | Budget Unit: 90185C, 90195C, 90215C, 90216C |
| Division: Children's Division | | |
| DI Name: Child Welfare Shortfall | DI# 2886006 | Original FY 2014 House Bill Section, if applicable 11.210, 11.220, 11.235 |

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.)

There is an anticipated shortfall totaling \$5.1 million for child welfare service for children in state custody due to caseload growth. Caseloads are increasing by 4.9%, equating to 568 children. Based on a three month average of actual expenditures, the Department of Social Services no longer anticipates needing the full \$5.6 million as initially requested, and has lowered its request for the Governor's recommendation.

| | GR | FF | Other | Total |
|-------------------------|------------------|------------------|----------|------------------|
| Total Need | 4,010,704 | 2,958,612 | 0 | 6,969,316 |
| Reserve Release | | (1,400,145) | | (1,400,145) |
| Requested Amount | 4,010,704 | 1,558,467 | 0 | 5,569,171 |
| Foster Care | 1,983,863 | 1,378,617 | 0 | 3,362,480 |
| Case Management | 180,119 | 60,040 | 0 | 240,159 |
| Children's Treatment | 132,730 | 0 | 0 | 132,730 |
| Residential Treatment | 1,713,992 | 119,810 | 0 | 1,833,802 |

The Governor has recommended as follows:

| | GR | FF | Other | Total |
|-------------------------|------------------|------------------|----------|------------------|
| Total Need | 3,221,152 | 1,899,850 | 0 | 5,121,002 |
| Reserve Release | (802,715) | (1,400,145) | | (2,202,860) |
| Requested Amount | 2,418,437 | 499,705 | 0 | 2,918,142 |
| Foster Care | 1,843,442 | 438,711 | 0 | 2,282,153 |
| Case Management | 182,984 | 60,994 | 0 | 243,978 |
| Children's Treatment | 392,011 | 0 | 0 | 392,011 |

SUPPLEMENTAL NEW DECISION ITEM

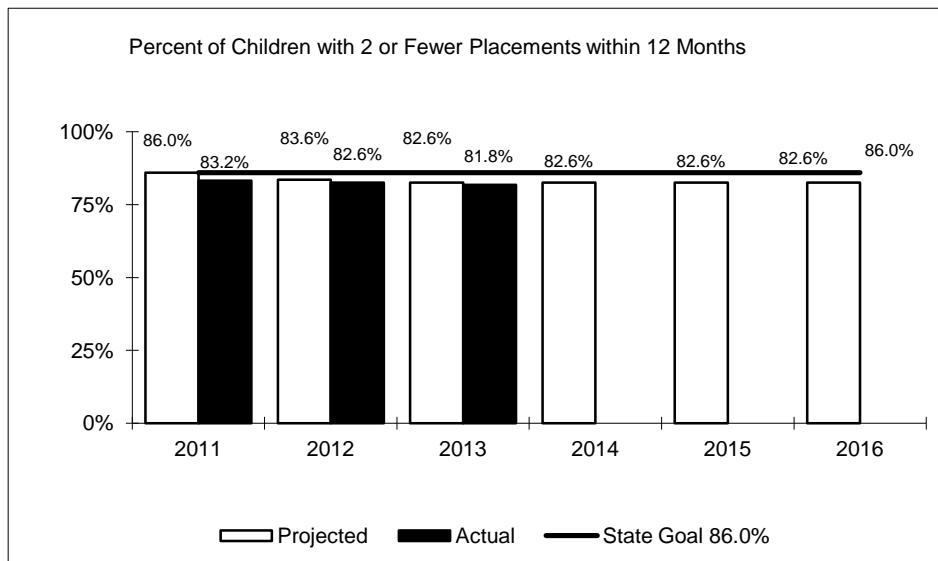
| | | | | | | | | | |
|--|----------------|----------------|-----------------|--|-------------------|-------------------|-------------------|------------------------|----------------------|
| Department: Social Services | | | | Budget Unit: 90185C, 90195C, 90215C, 90216C | | | | | |
| Division: Children's Division | | | | | | | | | |
| DI Name: Child Welfare Shortfall | | DI# 2886006 | | Original FY 2014 House Bill Section, if applicable | | | | 11.210, 11.220, 11.235 | |
| 4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS. | | | | | | | | | |
| | Dept Req GR | Dept Req GR | Dept Req FED | Dept Req FED | Dept Req OTHER | Dept Req OTHER | Dept Req TOTAL | Dept Req TOTAL | Dept Req One-Time |
| Budget Object Class/Job Class | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS |
| Program Distributions | 4,010,704 | | 1,558,467 | | 0 | | 5,569,171 | | 5,569,171 |
| Total PSD | 4,010,704 | | 1,558,467 | | 0 | | 5,569,171 | | 5,569,171 |
| Grand Total | 4,010,704 | 0.0 | 1,558,467 | 0.0 | 0 | 0.0 | 5,569,171 | 0.0 | 5,569,171 |
| | | | | | | | | | |
| | Gov Rec GR | Gov Rec GR | Gov Rec FED | Gov Rec FED | Gov Rec OTHER | Gov Rec OTHER | Gov Rec TOTAL | Gov Rec TOTAL | Gov Rec One-Time |
| Budget Object Class/Job Class | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS |
| Program Distributions | 2,418,437 | | 499,705 | | 0 | | 2,918,142 | | 2,918,142 |
| Total PSD | 2,418,437 | | 499,705 | | 0 | | 2,918,142 | | 2,918,142 |
| Grand Total | 2,418,437 | 0.0 | 499,705 | 0.0 | 0 | 0.0 | 2,918,142 | 0.0 | 2,918,142 |
| | | | | | | | | | |

SUPPLEMENTAL NEW DECISION ITEM

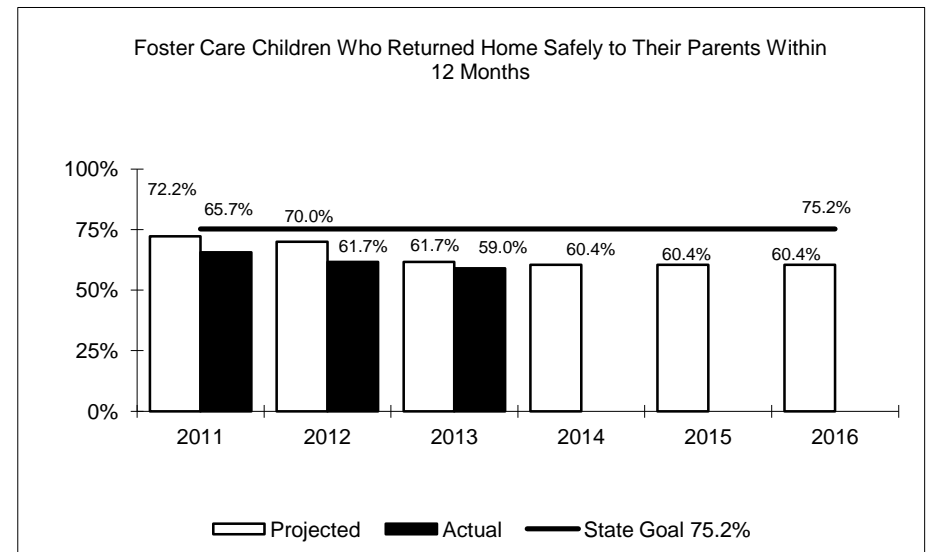
| | | | |
|----------------------------------|-------------|---|--|
| Department: Social Services | | Budget Unit: 90185C, 90195C, 90215C, 90216C | |
| Division: Children's Division | | | |
| DI Name: Child Welfare Shortfall | DI# 2886006 | Original FY 2014 House Bill Section, if applicable 11.210, 11.220, 11.235 | |

5. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional funding.)

5a. Provide an effectiveness measure.



5b. Provide an efficiency measure.



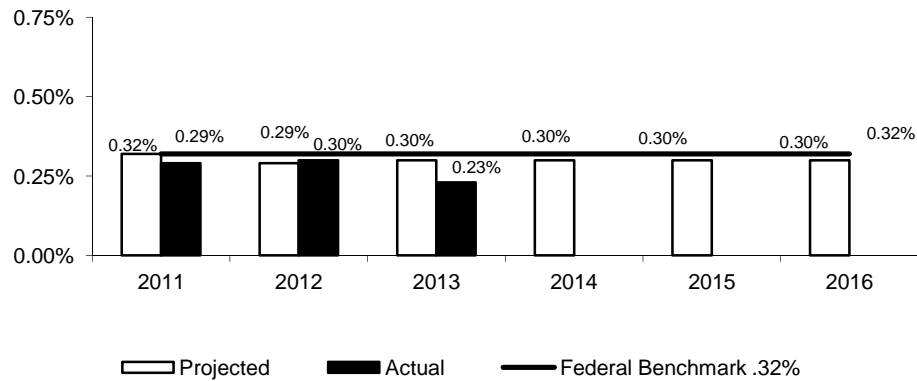
SUPPLEMENTAL NEW DECISION ITEM

Department: Social Services
Division: Children's Division
DI Name: Child Welfare Shortfall **DI#** 2886006

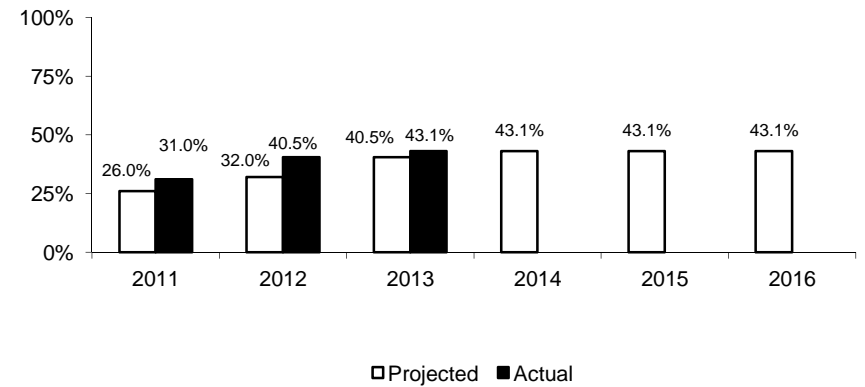
Budget Unit: 90185C, 90195C, 90215C, 90216C

Original FY 2014 House Bill Section, if applicable 11.210, 11.220, 11.235

Percent of Children Abused/Neglected
While in Foster Care Within the Past 12 Months



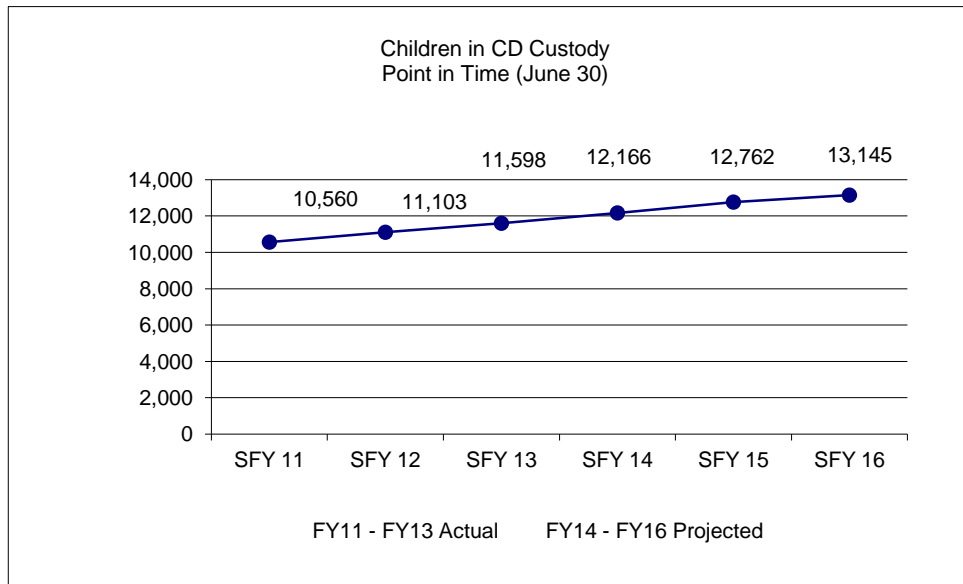
Children in Children's Division Custody With Relative/Kinship
Placement



SUPPLEMENTAL NEW DECISION ITEM

| | | |
|---|--------------------|--|
| Department: Social Services | | Budget Unit: 90185C, 90195C, 90215C, 90216C |
| Division: Children's Division | | |
| DI Name: Child Welfare Shortfall | DI# 2886006 | Original FY 2014 House Bill Section, if applicable 11.210, 11.220, 11.235 |

5c. Provide the number of clients/individuals served, if applicable.



5d. Provide a customer satisfaction measure, if available.

N/A

6. STRATEGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGETS:

N/A

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|--|-------------|----------------|--------------|---------------------------|--|-------------------|----------------|--------------|-------------------|
| Department of Social Services | | | | | Budget Unit <u>90541C, 90544C, 90546C, 90551C, 90550C, 90552C</u> | | | | |
| MO HealthNet | | | | | | | | | |
| GR Pick Up for Tobacco Settlement Funds | | | | DI# <u>2886004</u> | Original FY 2014 House Bill Sections: <u>11.440, 11.460, 11.465</u> <u>11.490, 11.505, 11.510</u> | | | | |
| 1. AMOUNT OF REQUEST | | | | | | | | | |
| FY 2014 Supplemental Budget Request | | | | | FY 2014 Supplemental Governor's Recommendation | | | | |
| | GR | Federal | Other | Total | | GR | Federal | Other | Total |
| PS | 0 | 0 | 0 | 0 | PS | 0 | 0 | 0 | 0 |
| EE | 0 | 0 | 0 | 0 | EE | 0 | 0 | 0 | 0 |
| PSD | 0 | 0 | 0 | 0 | PSD | 69,194,922 | 0 | 0 | 69,194,922 |
| TRF | 0 | 0 | 0 | 0 | TRF | 0 | 0 | 0 | 0 |
| Total | 0 | 0 | 0 | 0 | Total | 69,194,922 | 0 | 0 | 69,194,922 |
| FTE | 0.00 | 0.00 | 0.00 | 0.00 | FTE | 0.00 | 0.00 | 0.00 | 0.00 |
| POSITIONS | 0 | 0 | 0 | 0 | POSITIONS | 0 | 0 | 0 | 0 |
| NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | | NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | |
| Est. Fringe | 0 | 0 | 0 | 0 | Est. Fringe | 0 | 0 | 0 | 0 |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | |
| *As the department submitted the request after the October 1st deadline, the request amount shows zero. | | | | | | | | | |
| 2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM. | | | | | | | | | |
| <p>In 1998, Missouri was one of 46 states that reached an agreement with the four largest U.S. tobacco companies to settle various lawsuits against the tobacco industry. The agreement, known as the Tobacco Master Settlement Agreement (MSA), required the participating tobacco companies to pay approximately \$200 billion to states over the next 25 years to help cover healthcare costs associated with smoking. An arbitration ruling in September 2013 regarding the enforcement of tobacco laws will result in more tobacco funds for nine states, and reduced funds for six states. Missouri is one of the six states receiving reduced funds. It is anticipated Missouri will receive a reduction of \$70 million from the projected \$130 million Tobacco MSA in FY 2014. General Revenue is requested to replace the shortfall that will decrease revenues to the Life Sciences Research Trust Fund, which gets 25% of all tobacco settlement dollars, and the Health Families Trust Fund.</p> | | | | | | | | | |

SUPPLEMENTAL NEW DECISION ITEM

| Department of Social Services | | Budget Unit <u>90541C, 90544C, 90546C, 90551C, 90550C, 90552C</u> | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|---|--|--|----------------------|--|--|-----------------------------|----------------------|----------------|--|--|--|----------|------------|-----------|------------|-----------|--|-----------|-----------|--------|--|---------|---------|----------------------------|--|---------|---------|--------------|--|-----------|-----------|----------|--|------------|------------|----------------|--|------------|------------|-------------------|------------|------------|------------|
| MO HealthNet | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| GR Pick Up for Tobacco Settlement Funds | DI# 2886004 | Original FY 2014 House Bill Sections: <u>11.440, 11.460, 11.465</u> <u>11.490, 11.505, 11.510</u> | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <p>Missouri is one of the six states receiving reduced funds and it is anticipated Missouri will receive a reduction of \$70 million from the projected \$130 million Tobacco MSA in FY 2014. General Revenue is recommended to replace the \$70 million shortfall less the available fund balance, reducing the request to \$69.2 million.</p> <table border="1" style="margin-left: auto; margin-right: auto; border-collapse: collapse; text-align: center;"> <thead> <tr> <th style="padding: 5px;"></th> <th style="padding: 5px;">Life Science Research Trust Fund</th> <th style="padding: 5px;">Health Family Trust Fund</th> <th style="padding: 5px;">GR Pick Up Amount</th> </tr> </thead> <tbody> <tr> <td style="padding: 5px;">Section</td> <td></td> <td></td> <td></td> </tr> <tr> <td style="padding: 5px;">Pharmacy</td> <td style="padding: 5px;">16,757,214</td> <td style="padding: 5px;">1,041,034</td> <td style="padding: 5px;">17,798,248</td> </tr> <tr> <td style="padding: 5px;">Physician</td> <td></td> <td style="padding: 5px;">6,041,034</td> <td style="padding: 5px;">6,041,034</td> </tr> <tr> <td style="padding: 5px;">Dental</td> <td></td> <td style="padding: 5px;">495,098</td> <td style="padding: 5px;">495,098</td> </tr> <tr> <td style="padding: 5px;">Rehab & Specialty Services</td> <td></td> <td style="padding: 5px;">495,098</td> <td style="padding: 5px;">495,098</td> </tr> <tr> <td style="padding: 5px;">Managed Care</td> <td></td> <td style="padding: 5px;">4,000,000</td> <td style="padding: 5px;">4,000,000</td> </tr> <tr> <td style="padding: 5px;">Hospital</td> <td></td> <td style="padding: 5px;">10,000,000</td> <td style="padding: 5px;">10,000,000</td> </tr> <tr> <td style="padding: 5px;">DSS Safety Net</td> <td></td> <td style="padding: 5px;">30,365,444</td> <td style="padding: 5px;">30,365,444</td> </tr> <tr> <td style="padding: 5px;">Supplemental Need</td> <td style="padding: 5px;">16,757,214</td> <td style="padding: 5px;">52,437,708</td> <td style="padding: 5px;">69,194,922</td> </tr> </tbody> </table> | | | | | Life Science Research Trust Fund | Health Family Trust Fund | GR Pick Up Amount | Section | | | | Pharmacy | 16,757,214 | 1,041,034 | 17,798,248 | Physician | | 6,041,034 | 6,041,034 | Dental | | 495,098 | 495,098 | Rehab & Specialty Services | | 495,098 | 495,098 | Managed Care | | 4,000,000 | 4,000,000 | Hospital | | 10,000,000 | 10,000,000 | DSS Safety Net | | 30,365,444 | 30,365,444 | Supplemental Need | 16,757,214 | 52,437,708 | 69,194,922 |
| | Life Science Research Trust Fund | Health Family Trust Fund | GR Pick Up Amount | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Section | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Pharmacy | 16,757,214 | 1,041,034 | 17,798,248 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Physician | | 6,041,034 | 6,041,034 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Dental | | 495,098 | 495,098 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Rehab & Specialty Services | | 495,098 | 495,098 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Managed Care | | 4,000,000 | 4,000,000 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Hospital | | 10,000,000 | 10,000,000 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| DSS Safety Net | | 30,365,444 | 30,365,444 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Supplemental Need | 16,757,214 | 52,437,708 | 69,194,922 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|--|---------------------------|-----------------------|--|------------------------|------------------------------|--------------------------|------------------------------|--------------------------|---------------------------------|
| Department of Social Services | | | Budget Unit 90541C, 90544C, 90546C, 90551C, 90550C, 90552C | | | | | | |
| MO HealthNet | | | | | | | | | |
| GR Pick Up for Tobbaco Settlement Funds | | DI# 2886004 | Original FY 2014 House Bill Sections: 11.440, 11.460, 11.465 | | | | | | |
| | | | 11.490, 11.505, 11.510 | | | | | | |
| 4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS. | | | | | | | | | |
| | | | | | | | | | |
| Budget Object Class/Job Class | Dept Req GR DOLLARS | Dept Req GR FTE | Dept Req FED DOLLAR S | Dept Req FED FTE | Dept Req OTHER DOLLARS | Dept Req OTHER FTE | Dept Req TOTAL DOLLARS | Dept Req TOTAL FTE | Dept Req One-Time DOLLARS |
| Program Distributions | 0 | | 0 | | 0 | | 0 | | 0 |
| Total PSD | 0 | | 0 | | 0 | | 0 | | 0 |
| Grand Total | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 |
| | | | | | | | | | |
| Budget Object Class/Job Class | Gov Rec GR DOLLARS | Gov Rec GR FTE | Gov Rec FED DOLLAR S | Gov Rec FED FTE | Gov Rec OTHER DOLLARS | Gov Rec OTHER FTE | Gov Rec TOTAL DOLLARS | Gov Rec TOTAL FTE | Gov Rec One-Time DOLLARS |
| Program Distributions | 69,194,922 | | 0 | | 0 | | 69,194,922 | | 69,194,922 |
| Total PSD | 69,194,922 | | 0 | | 0 | | 69,194,922 | | 69,194,922 |
| Grand Total | 69,194,922 | 0.0 | 0 | 0.0 | 0 | 0.0 | 69,194,922 | 0.0 | 69,194,922 |

14.300, 14.305, 14.335

14.340, and 14.345

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|--|-------------------|----------|-------------------|-------------------|---|-------------------|----------|-------------------|-------------------|
| Department of Social Services | | | | | Budget Unit: <u>90535C, 90537C, 90570C, 90850C, 90855C</u> | | | | |
| MO HealthNet | | | | | | | | | |
| FY14 Transfer Authority | | | | | Original FY 2014 House Bill Section, if applicable | | | | |
| DI# 2886002 | | | | | <u>11.450, 11.455, 11.535,</u> <u>11.575, 11.580</u> | | | | |
| 1. AMOUNT OF REQUEST | | | | | | | | | |
| FY 2014 Supplemental Budget Request | | | | | FY 2014 Supplemental Governor's Recommendation | | | | |
| | GR | Federal | Other | Total | | GR | Federal | Other | Total |
| PS | 0 | 0 | 0 | 0 | PS | 0 | 0 | 0 | 0 |
| EE | 0 | 0 | 0 | 0 | EE | 0 | 0 | 0 | 0 |
| PSD | 0 | 0 | 0 | 0 | PSD | 0 | 0 | 0 | 0 |
| TRF | 30,141,191 | 0 | 32,003,271 | 62,144,462 | TRF | 24,508,436 | 0 | 26,370,516 | 50,878,952 |
| Total | <u>30,141,191</u> | <u>0</u> | <u>32,003,271</u> | <u>62,144,462</u> | Total | <u>24,508,436</u> | <u>0</u> | <u>26,370,516</u> | <u>50,878,952</u> |
| FTE | 0.00 | 0.00 | 0.00 | 0.00 | FTE | | | | |
| POSITIONS | 0 | 0 | 0 | 0 | POSITIONS | 0 | 0 | 0 | 0 |
| NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | | NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | |
| Est. Fringe | <u>0</u> | <u>0</u> | <u>0</u> | <u>0</u> | Est. Fringe | <u>0</u> | <u>0</u> | <u>0</u> | <u>0</u> |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | |
| Other Funds: Intergovernmental Transfer Fund (0139) Nursing Facility Reimbursement Allowance Fund (0196) | | | | | Other Funds: Intergovernmental Transfer Fund (0319) Nursing Facility Reimbursement Allowance Fund (0196) Pharmacy Reimbursement Allowance Fund (0144) | | | | |
| 2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM. | | | | | | | | | |
| Federal Medicaid regulation (42 CFR 433.51) allows state and local governmental units (including public providers) to transfer to the Medicaid agency the non-federal share of Medicaid payments. The amounts transferred are used as the state match to earn federal participation. For purposes of earning Federal funds on the provider tax assessments, this is accomplished by transferring cash from GR to the respective provider tax fund and then back to GR. Based on projected MO HealthNet transfers for the remainder of fiscal year 2014, it is anticipated that additional appropriation authority will be necessary to operate MO HealthNet transfers for fiscal year 2014. Estimated appropriation shortfalls totaling \$50.9 million include the Pharmacy Reimbursement Allowance Fund Transfer, Nursing Facility Reimbursement Allowance Fund Transfer and Intergovernmental Transfer Fund. | | | | | | | | | |

SUPPLEMENTAL NEW DECISION ITEM

| | | | |
|--------------------------------------|--------------------|---|---|
| Department of Social Services | | Budget Unit: | 90535C, 90537C, 90570C, 90850C, 90855C |
| MO HealthNet | | | |
| FY14 Transfer Authority | DI# 2886002 | Original FY 2014 House Bill Section, if applicable | <u>11.450, 11.455, 11.535,</u> <u>11.575, 11.580</u> |

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.)

Based on FY 2014 transfer projections additional authority is needed as follows:

| | GR | Other | Total |
|--|--------------|--------------|--------------|
| Estimated Shortfalls | | | |
| Nursing Facility Reimbursement Allowance | \$30,141,191 | \$30,141,191 | \$60,282,382 |
| Intergovernmental Transfer | \$0 | \$1,862,080 | \$1,862,080 |
| Supplemental Need | \$30,141,191 | \$32,003,271 | \$62,144,462 |

The Governor has recommended the following supplemental based on November projections:

| | GR | Other | Total |
|--|--------------|--------------|--------------|
| Estimated Shortfalls | | | |
| Nursing Facility Reimbursement Allowance | \$22,680,582 | \$22,680,582 | \$45,361,164 |
| Pharmacy Reimbursement Allowance | \$1,827,854 | \$1,827,854 | \$3,655,708 |
| Intergovernmental Transfer | \$0 | \$1,862,080 | \$1,862,080 |
| Supplemental Need | \$24,508,436 | \$26,370,516 | \$50,878,952 |

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | | | |
|--|--|-------------|--|---|--|-------------|--|--|--|---------------|--|
| Department of Social Services | | | | Budget Unit: 90535C, 90537C, 90570C, 90850C, 90855C | | | | | | | |
| MO HealthNet | | | | | | | | | | | |
| FY14 Transfer Authority | | DI# 2886002 | | Original FY 2014 House Bill Section, if applicable | | | | 11.450, 11.455, 11.535, 11.575, 11.580 | | | |
| 4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS. | | | | | | | | | | | |
| Budget Object | | Dept Req | | Dept Req | | Dept Req | | Dept Req | | Dept Req | |
| Class/Job | | Dept Req | | FED | | Dept Req | | OTHER | | OTHER | |
| Class | | GR DOLLARS | | GR FTE | | FED DOLLARS | | FED FTE | | OTHER DOLLARS | |
| Transfers | | 30,141,191 | | | | 0 | | 32,003,271 | | 62,144,462 | |
| Total TRF | | 30,141,191 | | | | 0 | | 32,003,271 | | 62,144,462 | |
| Grand Total | | 30,141,191 | | 0.0 | | 0 | | 0.0 | | 62,144,462 | |
| | | | | | | | | | | | |
| Budget Object | | Gov Rec | | Gov Rec | | Gov Rec | | Gov Rec | | Gov Rec | |
| Class/Job | | Gov Rec | | FED | | Gov Rec | | OTHER | | OTHER | |
| Class | | GR DOLLARS | | GR FTE | | FED DOLLARS | | FED FTE | | OTHER DOLLARS | |
| Transfers | | 24,508,436 | | | | 0 | | 26,370,516 | | 50,878,952 | |
| Total TRF | | 24,508,436 | | | | 0 | | 26,370,516 | | 50,878,952 | |
| Grand Total | | 24,508,436 | | 0.0 | | 0 | | 0.0 | | 50,878,952 | |
| | | | | | | | | | | | |
| | | | | | | | | | | | |

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|--|-------------------|----------|----------|-------------------|--|------------------|----------|------------------|-------------------|
| Department of Social Services | | | | | Budget Unit: <u>90582C</u> | | | | |
| MO HealthNet | | | | | | | | | |
| MO HealthNet Programs | | | | | DI# 2886003 | | | | |
| | | | | | Original FY 2014 House Bill Section, if applicable <u>11.605</u> | | | | |
| 1. AMOUNT OF REQUEST | | | | | | | | | |
| FY 2014 Supplemental Budget Request | | | | | FY 2014 Supplemental Governor's Recommendation | | | | |
| | GR | Federal | Other | Total | | GR | Federal | Other | Total |
| PS | 0 | 0 | 0 | 0 | PS | 0 | 0 | 0 | 0 |
| EE | 0 | 0 | 0 | 0 | EE | 0 | 0 | 0 | 0 |
| PSD | 23,064,941 | 0 | 0 | 23,064,941 | PSD | 8,838,527 | 0 | 3,800,000 | 12,638,527 |
| TRF | 0 | 0 | 0 | 0 | TRF | 0 | 0 | 0 | 0 |
| Total | <u>23,064,941</u> | <u>0</u> | <u>0</u> | <u>23,064,941</u> | Total | <u>8,838,527</u> | <u>0</u> | <u>3,800,000</u> | <u>12,638,527</u> |
| FTE | 0.00 | 0.00 | 0.00 | 0.00 | FTE | | | | |
| POSITIONS | 0 | 0 | 0 | 0 | POSITIONS | 0 | 0 | 0 | 0 |
| NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | | NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | |
| <i>Est. Fringe</i> | 0 | 0 | 0 | 0 | <i>Est. Fringe</i> | 0 | 0 | 0 | 0 |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | |
| Other Funds: | | | | | Other Funds: Uncompensated Care Fund (0108) | | | | |
| 2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM. | | | | | | | | | |
| Based on actual MO HealthNet program expenditures through November 2013, it is anticipated that additional funding will be necessary to operate current MO HealthNet programs for fiscal year 2014. Estimated shortfalls totaling \$12.6 million state share include Pharmacy, Physician Related Services, Premiums, PACE, CHIP and Blind Medical. | | | | | | | | | |

SUPPLEMENTAL NEW DECISION ITEM

| | | |
|-------------------------------|-------------|--|
| Department of Social Services | | Budget Unit: <u>90582C</u> |
| MO HealthNet | | |
| MO HealthNet Programs | DI# 2886003 | Original FY 2014 House Bill Section, if applicable <u>11.605</u> |

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.)

Department Request

Based on actual expenditures through August 2013, additional funding is needed as follows:

Estimated Shortfalls

| | GR/Other | Federal | Total |
|-----------------------------------|---------------------|---------------------|---------------------|
| Pharmacy | \$26,840,411 | \$0 | \$26,840,411 |
| Physician | \$4,896,953 | \$0 | \$4,896,953 |
| Premiums | \$6,943,220 | \$11,077,625 | \$18,020,845 |
| Home Health | \$155,671 | \$246,221 | \$401,892 |
| PACE | \$190,250 | \$308,826 | \$499,076 |
| Women's Health | \$126,860 | | \$126,860 |
| Blind Medical | \$6,446,982 | | \$6,446,982 |
| | <u>\$45,600,347</u> | <u>\$11,632,672</u> | <u>\$57,233,019</u> |
| Less Available Managed Care Lapse | \$10,944,810 | \$21,454,202 | \$32,399,012 |
| Less Supplemental Pool | \$11,590,596 | \$24,107,486 | \$35,698,082 |
| | <u>\$22,535,406</u> | <u>\$45,561,688</u> | <u>\$68,097,094</u> |
| Supplemental Need | \$23,064,941 | \$0 | \$23,064,941 |

SUPPLEMENTAL NEW DECISION ITEM

| | | | |
|---|----------------------------|---|---|
| Department of Social Services | | Budget Unit: 90582C | |
| MO HealthNet | | | |
| MO HealthNet Programs | DI# 2886003 | Original FY 2014 House Bill Section, if applicable | 11.605 |
| 3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (Continued) | | | |
| The Governor has recommended additional funding based on actual expenditures through November 2013: | | | |
| Estimated Shortfalls | GR/Other | Federal | Total |
| Pharmacy | \$20,581,962 | \$0 | \$20,581,962 Pharmacy Tax appropriation higher than estimated revenues from tax; PMPM higher than projected |
| Physician | \$3,107,010 | \$0 | \$3,107,010 Core cuts based on projected lapse; Actual lapse was lower than projected |
| Premiums | \$4,985,398 | \$7,946,220 | \$12,931,618 Increase in number of dual eligibles (Medicare/Medicaid) participating in Part B Buy-In |
| PACE | \$70,746 | \$245,878 | \$316,624 Shortfall based on November program projections. |
| CHIP | \$1,194,198 | \$0 | \$1,194,198 Higher than projected PMPM. |
| Blind Medical | \$4,580,479 | \$0 | \$4,580,479 Core funding reduced in FY14; No premium collections to support appropriation from Blind Pension Premium Fund |
| | <u>\$34,519,793</u> | <u>\$8,192,098</u> | <u>\$42,711,891</u> |
| Less Available Managed Care Lapse | \$10,290,670 | \$21,038,834 | \$31,329,504 |
| Less Supplemental Pool | <u>\$11,590,596</u> | <u>\$24,107,486</u> | <u>\$35,698,082</u> |
| | <u>\$21,881,266</u> | <u>\$45,146,320</u> | <u>\$67,027,586</u> |
| Supplemental Need | <u>\$12,638,527</u> | \$0 | |
| General Revenue: | \$8,838,527 | | |
| Uncompensated Care Fund: | \$3,800,000 | | |
| <u>Supplemental Pool - Other Funds include:</u> | | | |
| Third Party Liability (TPL) | \$7,571,156 | | |
| NF FRA | \$181,500 | | |
| Premium Fund | <u>\$3,837,940</u> | | |
| | <u>\$11,590,596</u> | | |

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|--|------------|-------------|-----|--|----------|-----------|----------|------------|----------|
| Department of Social Services | | | | Budget Unit: 90582C | | | | | |
| MO HealthNet | | | | | | | | | |
| MO HealthNet Programs | | DI# 2886003 | | Original FY 2014 House Bill Section, if applicable | | | | 11.605 | |
| | | | | | | | | | |
| 4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS. | | | | | | | | | |
| | Dept Req | | | Dept Req | Dept Req | Dept Req | Dept Req | Dept Req | Dept Req |
| | GR | Dept Req | | FED | FED | OTHER | OTHER | TOTAL | TOTAL |
| Budget Object Class/Job Class | DOLLARS | GR | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE |
| Program Distributions | 23,064,941 | | | | | | | 23,064,941 | |
| Total PSD | 23,064,941 | | | 0 | | 0 | | 23,064,941 | |
| Grand Total | 23,064,941 | | 0.0 | 0 | 0.0 | 0 | 0.0 | 23,064,941 | 0.0 |
| | Gov Rec | | | Gov Rec | Gov Rec | Gov Rec | Gov Rec | Gov Rec | Gov Rec |
| | GR | Gov Rec | | FED | FED | OTHER | OTHER | TOTAL | TOTAL |
| Budget Object Class/Job Class | DOLLARS | GR | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE |
| Program Distributions | 8,838,527 | | | | | 3,800,000 | | 12,638,527 | |
| Total PSD | 8,838,527 | | | 0 | | 3,800,000 | | 12,638,527 | |
| Grand Total | 8,838,527 | | 0.0 | 0 | 0.0 | 3,800,000 | 0.0 | 12,638,527 | 0.0 |
| | | | | | | | | | |

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|--|----------------|----------|----------|----------------|--|----------------|----------|----------|----------------|
| Office of the Secretary of State | | | | | Budget Unit <u>23140C</u> | | | | |
| Elections | | | | | | | | | |
| Military and Absentee Ballots | | | | | DI#: 2231001 | | | | |
| | | | | | Original FY 2014 House Bill Section, if applicable <u>12.035</u> | | | | |
| 1. AMOUNT OF REQUEST | | | | | | | | | |
| FY 2014 Supplemental Budget Request | | | | | FY 2014 Supplemental Governor's Recommendation | | | | |
| | GR | Federal | Other | Total | | GR | Federal | Other | Total |
| PS | 0 | 0 | 0 | 0 | PS | 0 | 0 | 0 | 0 |
| EE | 100,000 | 0 | 0 | 100,000 | EE | 100,000 | 0 | 0 | 100,000 |
| PSD | 0 | 0 | 0 | 0 | PSD | 0 | 0 | 0 | 0 |
| TRF | 0 | 0 | 0 | 0 | TRF | 0 | 0 | 0 | 0 |
| Total | 100,000 | 0 | 0 | 100,000 | Total | 100,000 | 0 | 0 | 100,000 |
| FTE | 0.00 | 0.00 | 0.00 | 0.00 | FTE | 0.00 | 0.00 | 0.00 | 0.00 |
| POSITIONS | 0 | 0 | 0 | 0 | POSITIONS | 0 | 0 | 0 | 0 |
| NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | | NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | |
| Est. Fringe | 0 | 0 | 0 | 0 | Est. Fringe | 0 | 0 | 0 | 0 |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | |
| 2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM. | | | | | | | | | |
| <p>SB 116 (2013) requires certain military and overseas voters be able to electronically request, receive, and in some instances, transmit, marked absentee ballots. SB 116 requires the Secretary of State (SOS) to develop electronic compliance means effective July 1, 2014. Due to the necessity for vendor engagement and preparation, a supplemental is requested. The number of military voters requesting an absentee ballot in 2012 was 11,715, and the number is estimated to increase in future elections.</p> | | | | | | | | | |

SUPPLEMENTAL NEW DECISION ITEM

| Office of the Secretary of State | | | | Budget Unit <u>23140C</u> | | | | | |
|---|---------------------------|-----------------------|----------------------------|---|------------------------------|--------------------------|------------------------------|--------------------------|---------------------------------|
| Elections | | | | | | | | | |
| Military and Absentee Ballots | | DI#: 2231001 | | Original FY 2014 House Bill Section, if applicable <u>12.035</u> | | | | | |
| 3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.) | | | | | | | | | |
| <p>The TAFP SB 116 fiscal note estimated the SOS will require \$246,800 to make the necessary changes to the Missouri Centralized Voter Registration System (MCVR) and implement the legislation. The Office requests \$100,000 to begin implementation during Fiscal Year 2014 and an additional \$100,000 is requested in the Fiscal Year 2015 budget.</p> | | | | | | | | | |
| 4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS. | | | | | | | | | |
| Budget Object Class/Job Class | Dept Req GR DOLLARS | Dept Req GR FTE | Dept Req FED DOLLARS | Dept Req FED FTE | Dept Req OTHER DOLLARS | Dept Req OTHER FTE | Dept Req TOTAL DOLLARS | Dept Req TOTAL FTE | Dept Req One-Time DOLLARS |
| 400/Professional Services | 100,000 | | 0 | | 0 | | 100,000 | | 100,000 |
| Total EE | 100,000 | | 0 | | 0 | | 100,000 | | 100,000 |
| Grand Total | 100,000 | 0.0 | 0 | 0.0 | 0 | 0.0 | 100,000 | 0.0 | 100,000 |
| Budget Object Class/Job Class | Gov Rec GR DOLLARS | Gov Rec GR FTE | Gov Rec FED DOLLARS | Gov Rec FED FTE | Gov Rec OTHER DOLLARS | Gov Rec OTHER FTE | Gov Rec TOTAL DOLLARS | Gov Rec TOTAL FTE | Gov Rec One-Time DOLLARS |
| 400/Professional Services | 100,000 | | 0 | | 0 | | 100,000 | | 100,000 |
| Total EE | 100,000 | | 0 | | 0 | | 100,000 | | 100,000 |
| Grand Total | 100,000 | 0.0 | 0 | 0.0 | 0 | 0.0 | 100,000 | 0.0 | 100,000 |

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|--|----------|----------|----------|------------|--|----------|----------|----------|------------|
| Office of the State Treasurer | | | | | Budget Unit <u>27420C</u> | | | | |
| Abandoned Fund to General Revenue Transfer DI# 2272001 | | | | | Original FY 2014 House Bill Section, if applicable <u>12.170</u> | | | | |
| 1. AMOUNT OF REQUEST | | | | | | | | | |
| FY 2014 Supplemental Budget Request | | | | | FY 2014 Supplemental Governor's Recommendation | | | | |
| | GR | Federal | Other | Total | | GR | Federal | Other | Total |
| PS | 0 | 0 | 0 | 0 | PS | 0 | 0 | 0 | 0 |
| EE | 0 | 0 | 0 | 0 | EE | 0 | 0 | 0 | 0 |
| PSD | 0 | 0 | 0 | 0 | PSD | 0 | 0 | 0 | 0 |
| TRF | 0 | 0 | 1 | 1 E | TRF | 0 | 0 | 1 | 1 E |
| Total | 0 | 0 | 1 | 1 E | Total | 0 | 0 | 1 | 1 E |
| FTE | 0.00 | 0.00 | 0.00 | 0.00 | FTE | 0.00 | 0.00 | 0.00 | 0.00 |
| POSITIONS | 0 | 0 | 0 | 0 | POSITIONS | 0 | 0 | 0 | 0 |
| NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | | NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | |
| Est. Fringe | 0 | 0 | 0 | 0 | Est. Fringe | 0 | 0 | 0 | 0 |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | |
| Other Funds: Abandoned Fund Account (0863) | | | | | Other Funds: Abandoned Fund Account (0863) | | | | |
| 2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM. | | | | | | | | | |
| <p>The Office of the State Treasurer (STO) is obligated to issue a check to the rightful owner of unclaimed property in accordance with Missouri statutes. The addition of an "E" allows the State Treasurer's Office to make additional excess balance transfers from the Abandoned Fund Account to General Revenue above the appropriated amounts. This financial flexibility provides additional transfer options for the STO.</p> <p>In accordance with Section 447.543, RSMo, the Abandoned Fund Account has the two-fold purpose of receiving funds that have remained unclaimed for a period of seven years and making the payment of valid claims. Any time the fund exceeds 1/12th of the prior year disbursements, the Treasurer may, and at least once every year shall, transfer the excess to general revenue. If verified claims for payment should reduce the balance in the account to less than 1/24th of the prior year's disbursements, the Treasurer shall transfer from general revenue an amount sufficient to restore the fund to 1/12th of the prior year's disbursements.</p> | | | | | | | | | |

SUPPLEMENTAL NEW DECISION ITEM

| Office of the State Treasurer | | | | Budget Unit <u>27420C</u> | | | | | |
|---|---------------------------|-----------------------|----------------------------|---------------------------|------------------------------|--|------------------------------|--------------------------|---------------------------------|
| Abandoned Fund to General Revenue Transfer | | | | DI# <u>2272001</u> | | Original FY 2014 House Bill Section, if applicable <u>12.170</u> | | | |
| 3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.) | | | | | | | | | |
| Receipts into the Abandoned Fund have increased over the last several fiscal years and occasional spikes in receipts are often unpredictable. | | | | | | | | | |
| 4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS. | | | | | | | | | |
| Budget Object Class/Job Class | Dept Req GR DOLLARS | Dept Req GR FTE | Dept Req FED DOLLARS | Dept Req FED FTE | Dept Req OTHER DOLLARS | Dept Req OTHER FTE | Dept Req TOTAL DOLLARS | Dept Req TOTAL FTE | Dept Req One-Time DOLLARS |
| Transfers | 0 | | 0 | | 1 | | 1 | | 1 |
| Total TRF | 0 | | 0 | | 1 | | 1 | | 1 |
| Grand Total | 0 | 0.0 | 0 | 0.0 | 1 | 0.0 | 1 | 0.0 | 1 |
| Budget Object Class/Job Class | Gov Rec GR DOLLARS | Gov Rec GR FTE | Gov Rec FED DOLLARS | Gov Rec FED FTE | Gov Rec OTHER DOLLARS | Gov Rec OTHER FTE | Gov Rec TOTAL DOLLARS | Gov Rec TOTAL FTE | Gov Rec One-Time DOLLARS |
| Transfers | 0 | | 0 | | 1 | | 1 | | 1 |
| Total TRF | 0 | | 0 | | 1 | | 1 | | 1 |
| Grand Total | 0 | 0.0 | 0 | 0.0 | 1 | 0.0 | 1 | 0.0 | 1 |

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|--|--------------|----------|----------|----------------|--|--------------|----------|----------|----------------|
| Office of the State Treasurer | | | | | Budget Unit <u>27450C</u> | | | | |
| Linked Deposit Refunds | | | | | DI# <u>2272002</u> | | | | |
| | | | | | Original FY 2014 House Bill Section, if applicable <u>12.175</u> | | | | |
| 1. AMOUNT OF REQUEST | | | | | | | | | |
| FY 2014 Supplemental Budget Request | | | | | FY 2014 Supplemental Governor's Recommendation | | | | |
| | GR | Federal | Other | Total | | GR | Federal | Other | Total |
| PS | 0 | 0 | 0 | 0 | PS | 0 | 0 | 0 | 0 |
| EE | 2,400 | 0 | 0 | 2,400 E | EE | 2,400 | 0 | 0 | 2,400 E |
| PSD | 0 | 0 | 0 | 0 | PSD | 0 | 0 | 0 | 0 |
| TRF | 0 | 0 | 0 | 0 | TRF | 0 | 0 | 0 | 0 |
| Total | <u>2,400</u> | <u>0</u> | <u>0</u> | <u>2,400 E</u> | Total | <u>2,400</u> | <u>0</u> | <u>0</u> | <u>2,400 E</u> |
| FTE | 0.00 | 0.00 | 0.00 | 0.00 | FTE | 0.00 | 0.00 | 0.00 | 0.00 |
| POSITIONS | 0 | 0 | 0 | 0 | POSITIONS | 0 | 0 | 0 | 0 |
| NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | | NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | |
| <i>Est. Fringe</i> | 0 | 0 | 0 | 0 | <i>Est. Fringe</i> | 0 | 0 | 0 | 0 |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | |
| 2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM. | | | | | | | | | |
| <p>The Office of the State Treasurer (STO) received an interest overpayment exceeding the current appropriation level of \$100. The current refunds due total \$530.05, and without an appropriation increase, the STO has no ability to refund the overpayment. Section 30.758.5 provides that "...the state shall receive market interest rates on any linked deposit or any portion thereof for any period of time for which there is no corresponding linked deposit loan outstanding to an eligible..." borrower. When a financial institution miscalculates and overpays the amount of market interest owed to the STO, the STO must have a mechanism to refund the overpayment.</p> | | | | | | | | | |

SUPPLEMENTAL NEW DECISION ITEM

| Office of the State Treasurer | | | | Budget Unit <u>27450C</u> | | | | | |
|---|---------------------------|-----------------------|----------------------------|--|------------------------------|--------------------------|------------------------------|--------------------------|---------------------------------|
| Linked Deposit Refunds | | DI# <u>2272002</u> | | Original FY 2014 House Bill Section, if applicable <u>12.175</u> | | | | | |
| 3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.) | | | | | | | | | |
| <p>The funding level requested is an estimate based on the refund amounts from the last five fiscal years and this current overpayment.</p> | | | | | | | | | |
| 4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS. | | | | | | | | | |
| Budget Object Class/Job Class | Dept Req GR DOLLARS | Dept Req GR FTE | Dept Req FED DOLLARS | Dept Req FED FTE | Dept Req OTHER DOLLARS | Dept Req OTHER FTE | Dept Req TOTAL DOLLARS | Dept Req TOTAL FTE | Dept Req One-Time DOLLARS |
| Refunds | 2,400 | | 0 | | 0 | | 2,400 | | 2,400 |
| Total EE | 2,400 | | 0 | | 0 | | 2,400 | | 2,400 |
| Grand Total | 2,400 | 0.0 | 0 | 0.0 | 0 | 0.0 | 2,400 | 0.0 | 2,400 |
| Budget Object Class/Job Class | Gov Rec GR DOLLARS | Gov Rec GR FTE | Gov Rec FED DOLLARS | Gov Rec FED FTE | Gov Rec OTHER DOLLARS | Gov Rec OTHER FTE | Gov Rec TOTAL DOLLARS | Gov Rec TOTAL FTE | Gov Rec One-Time DOLLARS |
| Refunds | 2,400 | | 0 | | 0 | | 2,400 | | 2,400 |
| Total EE | 2,400 | | 0 | | 0 | | 2,400 | | 2,400 |
| Grand Total | 2,400 | 0.0 | 0 | 0.0 | 0 | 0.0 | 2,400 | 0.0 | 2,400 |

SUPPLEMENTAL NEW DECISION ITEM

Judiciary
Office of the State Court Administrator
Transcripts for Public Defender Cases DI# 2100001

Budget Unit 11101C

Original FY 2014 House Bill Section, if applicable 12.305

1. AMOUNT OF REQUEST

| FY 2014 Supplemental Budget Request | | | | |
|-------------------------------------|--------|---------|-------|--------|
| | GR | Federal | Other | Total |
| PS | 0 | 0 | 0 | 0 |
| EE | 77,854 | 0 | 0 | 77,854 |
| PSD | 0 | 0 | 0 | 0 |
| TRF | 0 | 0 | 0 | 0 |
| Total | 77,854 | 0 | 0 | 77,854 |

FTE 0.00 0.00 0.00 0.00
POSITIONS 0 0 0 0

NUMBER OF MONTHS POSITIONS ARE NEEDED:

| | | | | |
|-------------|---|---|---|---|
| Est. Fringe | 0 | 0 | 0 | 0 |
|-------------|---|---|---|---|

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

| FY 2014 Supplemental Governor's Recommendation | | | | |
|--|--------|---------|-------|--------|
| | GR | Federal | Other | Total |
| PS | 0 | 0 | 0 | 0 |
| EE | 51,957 | 0 | 0 | 51,957 |
| PSD | 0 | 0 | 0 | 0 |
| TRF | 0 | 0 | 0 | 0 |
| Total | 51,957 | 0 | 0 | 51,957 |

FTE 0.00 0.00 0.00 0.00
POSITIONS 0 0 0 0

NUMBER OF MONTHS POSITIONS ARE NEEDED:

| | | | | |
|-------------|---|---|---|---|
| Est. Fringe | 0 | 0 | 0 | 0 |
|-------------|---|---|---|---|

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

Transcripts for Public Defender cases was transferred to OSCA in FY14. In FY14 OSCA paid for 299 transcripts at a cost of \$255,933.40 or average of \$855.96/transcript. In FY12-FY13 the PD paid for 1,360 transcripts over the two year period or an average of 680/year. 680 x \$855.96 is \$582,053.

The amount transferred from the Public Defender was \$530,096. The difference is \$51,957 (\$582,053 - \$530,096).

SUPPLEMENTAL NEW DECISION ITEM

| | |
|---|---|
| Judiciary | Budget Unit <u>11101C</u> |
| Office of the State Court Administrator | |
| Transcripts for Public Defender Cases <u>DI# 2100001</u> | Original FY 2014 House Bill Section, if applicable <u>12.305</u> |

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.)

Based on the first two months expenditures, the annualized cost is projected to be \$582,053. The amount transferred from the Public Defender was \$530,096.

4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS.

| Budget Object Class/Job Class | Dept Req GR DOLLARS | Dept Req GR FTE | Dept Req FED DOLLARS | Dept Req FED FTE | Dept Req OTHER DOLLARS | Dept Req OTHER FTE | Dept Req TOTAL DOLLARS | Dept Req TOTAL FTE | Dept Req One-Time DOLLARS |
|--------------------------------------|------------------------------------|--------------------------------|-------------------------------------|---------------------------------|---------------------------------------|-----------------------------------|---------------------------------------|-----------------------------------|--|
| 400/Professional Services | <u>77,854</u> | | <u>0</u> | | <u>0</u> | | <u>77,854</u> | | <u>77,854</u> |
| Total EE | <u>77,854</u> | | <u>0</u> | | <u>0</u> | | <u>77,854</u> | | <u>77,854</u> |
| Grand Total | <u>77,854</u> | <u>0.0</u> | <u>0</u> | <u>0.0</u> | <u>0</u> | <u>0.0</u> | <u>77,854</u> | <u>0.0</u> | <u>77,854</u> |

| Budget Object Class/Job Class | Gov Rec GR DOLLARS | Gov Rec GR FTE | Gov Rec FED DOLLARS | Gov Rec FED FTE | Gov Rec OTHER DOLLARS | Gov Rec OTHER FTE | Gov Rec TOTAL DOLLARS | Gov Rec TOTAL FTE | Gov Rec One-Time DOLLARS |
|--------------------------------------|-----------------------------------|-------------------------------|------------------------------------|--------------------------------|--------------------------------------|----------------------------------|--------------------------------------|----------------------------------|---|
| 400/Professional Services | <u>51,957</u> | | <u>0</u> | | <u>0</u> | | <u>51,957</u> | | <u>51,957</u> |
| Total EE | <u>51,957</u> | | <u>0</u> | | <u>0</u> | | <u>51,957</u> | | <u>51,957</u> |
| Grand Total | <u>51,957</u> | <u>0.0</u> | <u>0</u> | <u>0.0</u> | <u>0</u> | <u>0.0</u> | <u>51,957</u> | <u>0.0</u> | <u>51,957</u> |

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|---|---------------|----------|----------|---------------|--|---------------|----------|----------|---------------|
| Office of the State Public Defender | | | | | Budget Unit <u>15111C</u> | | | | |
| Legal Services Division | | | | | | | | | |
| Missouri Bar Dues Increase | | | | | DI# 2151002 | | | | |
| | | | | | Original FY 2014 House Bill Section, if applicable <u>HB 12.400</u> | | | | |
| 1. AMOUNT OF REQUEST | | | | | | | | | |
| FY 2014 Supplemental Budget Request | | | | | FY 2014 Supplemental Governor's Recommendation | | | | |
| | GR | Federal | Other | Total | | GR | Federal | Other | Total |
| PS | 0 | 0 | 0 | 0 | PS | 0 | 0 | 0 | 0 |
| EE | 22,215 | 0 | 0 | 22,125 | EE | 33,435 | 0 | 0 | 33,435 |
| PSD | 0 | 0 | 0 | 0 | PSD | 0 | 0 | 0 | 0 |
| TRF | 0 | 0 | 0 | 0 | TRF | 0 | 0 | 0 | 0 |
| Total | <u>22,215</u> | <u>0</u> | <u>0</u> | <u>22,125</u> | Total | <u>33,435</u> | <u>0</u> | <u>0</u> | <u>33,435</u> |
| FTE | 0.00 | 0.00 | 0.00 | 0.00 | FTE | 0.00 | 0.00 | 0.00 | 0.00 |
| POSITIONS | 0 | 0 | 0 | 0 | POSITIONS | 0 | 0 | 0 | 0 |
| NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | | NUMBER OF MONTHS POSITIONS ARE NEEDED: _____ | | | | |
| <i>Est. Fringe</i> | 0 | 0 | 0 | 0 | <i>Est. Fringe</i> | 0 | 0 | 0 | 0 |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> | | | | |
| 2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM. | | | | | | | | | |
| <p>The Missouri Bar Board of Governors voted to approve a proposed \$75 enrollment fee increase for members in Category 1 and Category 3, only the third increase in almost three decades. The increase, would take effect January 2014. Licensing fees for attorneys in Category 2 would stay the same. The request of \$22,125 was to cover the \$75 applied to 295 category 1 lawyers as an enrollment fee increase. The additional \$11,310 in the Governor's Recommendation is due to an the Missouri Supreme Court ordering an additional fee of \$30 per attorney to help provide civil representation for low income citizens.</p> <p>(These are not optional organization dues, but licensing fees required and necessary to practice law in the State of Missouri.)</p> | | | | | | | | | |

SUPPLEMENTAL NEW DECISION ITEM

| | |
|---|--|
| Office of the State Public Defender Legal Services Division Missouri Bar Dues Increase DI# 2151002 | Budget Unit <u>15111C</u> Original FY 2014 House Bill Section, if applicable <u>HB 12.400</u> |
| | |
| <p>3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.)</p> | |
| <p>Attorney Fee Categories</p> <ul style="list-style-type: none"> ▪ Category 1 - Licensed by examination for over three years or licensed by Rule 8.10 or 8.105, and either residing, practicing or employed in Missouri. Last FY there were 295 Public Defenders in this category. ▪ Category 2 – Licensed less than three years by examination and either residing, practicing or employed in Missouri. Last FY there were 82 Public Defenders in this category. ▪ Category 3 – Licensed but neither residing, practicing nor employed in Missouri. - Inactive fee pursuant to Rule 6.03(b) ▪ An additional \$75 per category 1 attorney would result in an annual increase of \$22,125 ▪ An additional \$30 per attorney would result in an annual increase of \$11,310 | |

SUPPLEMENTAL NEW DECISION ITEM

| | | | | | | | | | |
|--|----------|-------------|--|----------|----------|----------|----------|----------|----------|
| Office of the State Public Defender | | | Budget Unit 15111C | | | | | | |
| Legal Services Division | | | | | | | | | |
| Missouri Bar Dues Increase | | DI# 2151002 | Original FY 2014 House Bill Section, if applicable HB 12.400 | | | | | | |
| 4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS. | | | | | | | | | |
| | Dept Req | Dept Req | Dept Req | Dept Req | Dept Req | Dept Req | Dept Req | Dept Req | Dept Req |
| | GR | GR | FED | FED | OTHER | OTHER | TOTAL | TOTAL | One-Time |
| Budget Object Class/Job Class | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS |
| 320/Professional Development | 22,125 | | | | | | 22,125 | | 22,125 |
| Total EE | 22,125 | | 0 | | 0 | | 22,125 | | 22,125 |
| Grand Total | 22,125 | 0.0 | 0 | 0.0 | 0 | 0.0 | 22,125 | 0.0 | 22,125 |
| | | | | | | | | | |
| | Gov Rec | Gov Rec | Gov Rec | Gov Rec | Gov Rec | Gov Rec | Gov Rec | Gov Rec | Gov Rec |
| | GR | GR | FED | FED | OTHER | OTHER | TOTAL | TOTAL | One-Time |
| Budget Object Class/Job Class | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS | FTE | DOLLARS |
| 320/Professional Development | 33,435 | | | | | | 33,435 | | 33,435 |
| Total EE | 33,435 | | 0 | | 0 | | 33,435 | | 33,435 |
| Grand Total | 33,435 | 0.0 | 0 | 0.0 | 0 | 0.0 | 33,435 | 0.0 | 33,435 |